



Members of the Commission

John Frierson, Chairperson  
Eugene Hernandez, Vice-Chairperson  
VanBuren Lemons, MD  
Christopher Giza, MD  
Dean Grafilo

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Action may be taken on any item listed on  
the agenda except public comment.  
Agenda items may be taken out of order  
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# COMMISSION MEETING NOTICE October 8, 2012

Location:

Ronald Reagan State Building  
Auditorium  
300 South Spring Street  
Los Angeles, CA 90013

## *AMENDED AGENDA*

Monday, October 8, 2012  
9:00 a.m. – Conclusion of Business

### OPEN SESSION

1. Call to Order/Pledge of Allegiance/Roll Call
2. Chairman's Opening Remarks
3. Approval of August 8, 2012 Meeting Minutes
4. Approval of 2013-2015 Strategic Plan
5. Executive Officer's Report
  - a. Budget Update
  - b. Status of Regulations
  - c. Boxer's Pensions Fund/Neurological Examination Account Update
  - d. Staffing Update
  - e. Sunset Review Draft Report / Process
  - f. Past Audit Recommendations Progress Report
  - g. Update on Current Audits in Progress

6. Public Comment on Items not on the Agenda (*The Commission may not discuss or take action on any matter raised during this public comment section, except to decide whether to place the matter on the agenda of a future meeting pursuant to Government Code §§ 11125, 11125.7(a)*)
7. Petitions for Change of Decision (*Title 4 Cal. Code Regs. § 368*)
  - a. Walter Sarnoi
8. Applications for Licensure – Appearance Before Commission (*Business and Professions Code § 18662*)
  - a. Edward Soares – Promoter
  - b. Don McDaniels – Promoter
  - c. Michael Cook - Fighter
9. Appeal of Suspension/Fine (*Title 4 Cal. Code Regs. § 389*)
  - a. Rafael Custodio – Fighter/Drug Violation
  - b. Antonio Tarver – Fighter/Drug Violation
  - c. Fred Peterson – Timekeeper

#### CLOSED SESSION

10. Interviews and/or Selection of an Executive Officer (*Government Code section 11126(a)(1)*)

#### OPEN SESSION

11. Report from Closed Session
12. Future Agenda Items and Meeting Dates

#### ADJOURNMENT

NOTICE: The meeting is accessible to the physically disabled. A person who needs disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Kathi Burns at (916) 263-2195 or email [kathi.burns@dca.ca.gov](mailto:kathi.burns@dca.ca.gov) or sending a written request to Kathi Burns at the California State Athletic Commission, 2005 Evergreen Street, Suite 2010, Sacramento, CA 95815. Providing your request at least five (5) days before the meeting will help ensure availability of the requested accommodation. Requests for further information should be directed to Kathi Burns at the same address and telephone number.

Meetings of the California State Athletic Commission are open to the public except when specifically noticed otherwise in accordance with the Open Meetings Act. The audience will be given appropriate opportunities to comment on any issue presented.



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**CALIFORNIA STATE ATHLETIC COMMISSION**  
**MEETING MINUTES**  
Monday, June 4, 2012

Department of Consumer Affairs  
Hearing Room  
1625 North Market Blvd.  
Sacramento, CA 95834

**Commissioners Present**

Chairman John Frierson  
Vice-Chairman Eugene Hernandez  
VanBuren Lemons, MD  
Christopher Giza, MD  
Dean Grafilo

**Staff Present**

Kathi Burns, Interim Executive Officer  
Che Guevara, Chief Athletic Inspector  
Michael Santiago, Senior Staff Counsel, DCA

**DRAFT**

**Agenda Item 1 – Call to Order / Roll Call / Pledge of Allegiance**

The meeting was called to order at 10:30 a.m. and a quorum was present.

**Agenda Item 2 – Chairman Opening Remarks**

Mr. Frierson acknowledged that the Commission is going through changing times right now, but will continue to do its best by remaining transparent and by staying focused on its mission to protect the health and safety of fighters. He encouraged all stakeholders to remain in communication with the Commission's office to receive accurate information; as much of what is written about the Commission is incorrect.

**Agenda Item 3 – Appointment of Acting or Interim Executive Officer**

This item was heard in closed session from 10:45 a.m. to 11:50 a.m.

Item #3

**DRAFT**

**Agenda Item 4 – Report from Closed Session**

Mr. Hernandez reported that in the interest of maintaining continuity, the Commission requested that Kathi Burns, the Commission’s current Assistant Executive Officer, be appointed as the Interim Executive Officer. Ms. Burns accepted the appointment.

The process for selecting a new Executive Officer was discussed.

**Agenda Item 5 – Process for Selection of a New Executive Officer**

Mr. Hernandez reported that the Commission has requested the Department of Consumer Affairs to immediately commence with the selection process for a new Executive Officer. The Commission will review the prior selection process and establish a qualifications requirement.

Dr. Lemons and Mr. Grafilo will serve as the selection committee and will do the initial review of applications; bringing the final candidates before the Commission for interviews.

**Agenda Item 6 – Approval of Minutes**

**a. June 4, 2012**

Regular Meeting

It was (M)Giza / (S)Hernandez / (C) to approve the minutes as corrected.

VOTE: Unanimous

Strategic Planning Meeting

It was (M)Hernandez / (S)Giza / (C) to approve the minutes as corrected.

VOTE: Unanimous

**b. June 26, 2012**

It was (M)Grafilo / (S)Hernandez / (C) to approve the minutes as corrected.

VOTE: Unanimous

**c. June 30, 2012**

It was (M)Hernandez / (S)Giza / (C) to approve the minutes as corrected.

VOTE: Unanimous

**d. July 16, 2012**

It was (M)Hernandez / (S)Giza / (C) to approve the minutes.

VOTE: Unanimous

**Agenda Item 7 – Executive Officer’s Report**

**a. Budget Update**

Ms. Burns described and presented documentation detailing the Commission's current fund balance and projections for future revenue and expenditures. She described and presented documentation of the steps taken to ensure the Commission remained solvent through the end of fiscal year 2011/12 and remarked that the Commission is

operating at minimum standards in order to ensure solvency month by month. She predicts that the Commission will need to operate within these standards for up to two years in order to obtain a healthy fund balance. On a positive note, Ms. Burns reported that this situation has caused the Commission to reevaluate its processes and consider ways to streamline and function with more efficiency.

Mr. Hernandez and Mr. Frierson extended their thanks on behalf of the Commission to the employee's that had to be let go and requested letters be sent in follow up.

**b. Status of Regulations**

Ms. Burns directed the Commission to the regulation table in the packet and noted that the hand wrap and asthma medication regulations have not moved forward due to the loss of the Commission's regulations analyst. Ms. Burns hopes to move them forward within the next 90 days.

**c. Staffing Update**

In addition to the temporary staff members that were let go to assist the Commission in finishing the fiscal year solvent, Ms. Burns reported that in mid-June the Commission declared layoff of two more Office Technicians and the Staff Services Manager Position, also known as the Assistant Executive Officer position. Due to the reduced number of rank and file employees, a Staff Services Manager position is no longer warranted. If need for more employees is warranted in the future and the Commission's budget allows, temporary help could be brought in to assist until new permanent positions can be reestablished.

**d. Sunset Review Report Status**

Ms. Burns referred to the draft report in the packet and noted that she will be meeting with the Senate Committee that handles the Sunset Review process to ensure the Commission addresses all of the Committee's concerns.

**e. Report of July 15, 2012 Stakeholder's Conference Call**

Ms. Burns provided notes of the meeting and indicated that very few promoters and stakeholders participated in the call, possibly due to the day of the week and time the meeting was held. Therefore, Ms. Burns will canvas the stakeholders and determine when the next meeting should be held.

**Agenda Item 8 - Public Comment**

Roy Englebrecht, promoter, expressed concern about the regulations that were referenced in the earlier regulation report relating to the Boxer's Pension program. He questioned by he was not allowed to participate in developing these regulations given the fact that at a past Commission meeting he was asked to work on a subcommittee to consider revisions to the program. He expressed the importance of reevaluating the age of pension eligibility and the break in service requirement.

**DRAFT**

Ms. Burns explained that the regulations referred to in the regulation table are not related to the boxer's qualifications, but address only the method of calculating the assessment and these regulations have been pending for more than a year. Work on the regulation changes he is referring to has not yet begun.

Dr. Lemons commented that possibly the reason Mr. Englebrecht had not be contacted to further discuss this topic is because the Commission members on the Boxer's Pension Fund subcommittee are no longer with the Commission. He urged the Commission to get to a place to be able to reestablish this subcommittee.

Mr. Frierson agreed and hoped to be able to do this once the Commission was full again; as it is currently down 2 members.

**Agenda Item 9 – Petitions for Change of Decision**

**a. Issac De Jesus vs Adrian Diaz – MMA**

Mr. Dejesus appealed the bout based upon the possible premature stoppage of a professional mixed martial arts bout held on May 18, 2012 in Stockton, CA

The Commissioners watched a video of the bout, heard testimony and engaged in discussion with Mr. Dejesus and his manager Tom Call; as well as Chief Athletic Inspector Che Guevara.

It was (M)Hernandez / (S)Giza / (C) to deny the request for change of decision and let the referee's decision stand.

VOTE: Unanimous

**b. Dave Villescaz vs Michael Person – MMA**

Mr. Villescaz appealed the bout held on May 26, 2012 in Plymouth, CA based upon the fact that his bout contract was for three - three minute rounds; however, the first round went five minutes.

The Commissioners heard testimony and engaged in discussion with Mr. Villescaz and his trainer Jeff Baca; as well as Mr. Guevara. It was established that a Commission lead inspector failed to notify the timekeeper of the correct length of rounds, which resulted in the extended round length.

It was (M)Hernandez / (S)Grafilo / (C) to grant the request and change the decision to no contest due to a rule violation.

VOTE: Unanimous

Jason De La O from Topping Events requested that the Commission add to the bout agreement a space to indicate the contracted length of rounds. As it stands bout contracts do not have a space for this number so the length is usually just written in; although sometimes it's omitted altogether.

**c. Villagomez vs Madriz - MMA**

DRAFT

Mr. Villagomez appealed the bout held on May 12, 2012 in Woodland, CA based upon allegations that the ruling of a TKO loss was incorrect, as the stoppage that occurred was due to an illegal blow, and not a legal blow as the referee indicated.

The Commissioners watched video of the bout, heard testimony and engaged in discussion with Mr. Villagomez and his trainer Frank Aleman; and as well as Mr. Guevara.

It was (M)Hernandez / (S)Grafilo / (C) to grant the request and change the decision to no contest.

VOTE: Unanimous

Mr. Hernandez stressed his dislike for overturning referee's decision, especially without the benefit of speaking with the referee. He requested that referees be present when their bout decisions are appealed.

Dr. Giza agreed that overturning a referee's decision should not be taken lightly and that it's important to hold referees to the highest standards and in the end to do the right thing.

**Agenda Item 10 – Applications for Licensure – Appearance before Commission**

**a. Milton Wallace – MMA Referee**

Ms. Burns explained that Mr. Wallace met the requirements for licensure as a referee and recommended that he be issued a temporary license since his experience was derived from amateur bouts only. Once he demonstrates competency to senior officials, a permanent license should be issued.

JT Steel of CAMO spoke in support of Mr. Wallace obtaining a professional MMA referee license.

It was (M)Grafilo / (S)Hernandez / (C) to grant Mr. Wallace a temporary professional MMA referee license and upon favorable evaluation by senior officials, be issued a permanent MMA referee license.

VOTE: Unanimous

**Agenda Item 11 – Request for Renewal of License**

**a. Thor Skancke – Fighter**

Ms. Burns summarized Mr. Skancke's history with the Commission including the issuance of a suspension and a \$2,500 fine for testing positive for steroid use.

Mr. Skancke apologized for his conduct and described the difficulties he's faced since the action occurred. He is requesting an opportunity to prove he is not a cheater.

It was (M)Hernandez / (S)Giza / (C) to renew Mr. Skancke's license once he has taken and passed a random drug test and that he be drug tested every time he fights in California.

VOTE: Unanimous

Dr. Lemons expressed his appreciation that Mr. Skancke admitted his guilt and did not provided excuses,

Mr. Hernandez also recognized Mr. Skacke for adhering to the suspension and not fighting elsewhere during the suspension period.

### **Agenda Item 12 – Future Agenda Items and Meeting Dates**

Future meeting date is October 8, 2012 in the Los Angeles area.

Future Agenda Items:

- Strategic Plan
- Sunset Review Report

Dr. Giza requested the Advisory Committee on Medical Safety Standards meeting be held in September if possible. Ms. Burns advised that it could be held telephonically and will move forward with scheduling.

Mr. Frierson went on record stating that the delegation to two members to handle significant budget issues has ended now that the solvency threat is over. All significant budget issues are to go before the full commission.

The meeting adjourned at approximately 1:30 p.m.





State of California

Department of Consumer Affairs  
State Athletic Commission

Strategic Plan  
2013 - 2015

CALIFORNIA STATE



ATHLETIC COMMISSION

*Item #4*

4-1

## MISSION STATEMENT

The California State Athletic Commission is dedicated to the health, safety and welfare of participants in regulated competitive sporting events, through ethical and professional service.

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## VISION STATEMENT

California is the premier model for the safety and fairness of regulated sporting events.

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### Goal #1 Health & Safety

The Commission will continue to foster a safe and healthy environment for regulated competitive sporting events.

**Objective 1.** Diligently examine and analyze potential medical issues in the regulated sports, including traumatic brain injury, in order to maximize athlete safety. Ongoing.

**Objective 2.** Establish a medical database for surveillance of health and safety sport-related issues among fighters licensed in California by January 1, 2014.

**Objective 3.** Continue to evaluate and update new safety standards and products with the assistance of the Advisory Committee on Medical Safety Standards and at the direction of the Commission. Ongoing.

**Objective 4.** Eliminate use of performance-enhancing substances by regulated athletes in California. Ongoing.

**Objective 5.** Review and update regulations pertaining to safety at events. Ongoing.

**Objective 6.** Implement procedures for evaluating officials, including identifying qualified evaluators. By June 30, 2013.

**Objective 7.** Reevaluate and maximize training for inspectors, officials and physicians, as mandated by the Business and Professions Code. Ongoing.

**Objective 8.** Ensure that all licensing requirements been met prior to licensure. Ongoing

**Objective 9.** Seek legislation to modify the fine cap to permit a fine of \$2,500 or a percentage of the purse in order to more effectively deter those who violate commission laws and regulations. By June 30, 2014.

**Objective 10.** Evaluate procedures for shutting down illegal events and consider augmenting resources and establishing better relationships with law enforcement agencies for effectiveness. By January 30, 2013.

**Objective 11.** Explore the potential need for blood tests of amateur athletes over the age of 16 that are similar to those required for professional athletes. By June 30, 2013.

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## **Goal #2 Operational Efficiency & Effectiveness**

The Commission will develop and maintain operational efficiency and effectiveness.

**Objective 1.** Implement the new DCA BreZE licensing database for all licensees. By December 31, 2013.

**Objective 2.** Review information technology and upgrade its application. By August 30, 2013.

**Objective 3.** Work with the Department of Consumer Affairs (DCA) to conduct process review and improvement of the Commission's business processes, including, but not limited to, licensing, cash handling, budget analysis, complaint handling and calculations at events. Ongoing.

**Objective 4.** Develop desk manuals for all business processes, and, where appropriate, checklists, as well as instructions and training for employees related to document maintenance and data entry into the BreZE system. By April 30, 2013.

**Objective 5.** Review the use and assignment of Athletic Inspectors, and modify current policy and procedures (including funding), if necessary. By January 1, 2013.

**Objective 6.** Provide regular performance evaluations to staff including Individual Development Plans for full-time employees. Ongoing.

**Objective 7.** Ensure that all data is maintained in central files at the Commission's headquarters and, as appropriate, entered into the computer system. Ongoing.

**Objective 8.** Review and modify California contracts and methods of paying officials to ensure they conform to the provisions of the Muhammad Ali Act. By January 30, 2013.

**Objective 9.** Develop procedures for all field activities. By January 1, 2013.

**Objective 10.** Seek legislation to change license renewal cycles from every 12 months to calendar year expiration on December 31 of each year. By December 31, 2014.

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### **Goal #3 National / International Leadership**

The Commission will work with national and international regulatory bodies to lead the development of optimal, uniform regulatory standards.

**Objective 1.** Work with Association of Boxing Commissioners (ABC) and other sanctioning bodies to ensure a parallel level of safety is maintained or exceeded. Ongoing.

**Objective 2.** Develop and implement a therapeutic use exemption process that is consistent with the highest international standards. By December 31, 2013.

**Objective 3.** Collect and share research on athlete safety with national and international bodies. Ongoing.

**Objective 4.** Explore ways to make California the optimal place to hold regulated combat sporting events in the United States. Ongoing.

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### **Goal #4 Promote Diversity**

The Commission will actively promote diversity in the Commission's staff and its licensees.

**Objective 1.** Conduct outreach within the communities from which the regulated combatant sports derive their participants. Ongoing.

**Objective 2.** Work with DCA's Human Resources Office and other interested groups to recruit for inspector positions and licensed officials from the diverse communities comprising the public and participants in California. Ongoing

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### **Goal #5 Pension Plan**

The Commission will assess the value, use and impact of the pension plan.

**Objective 1.** Adopt modifications, if necessary. By December 31, 2013.

**Objective 2.** Conduct an annual evaluation of the pension plan to ensure it meets the Commission's mission and vision. Ongoing.

**Objective 3.** Develop and implement an aggressive plan to locate boxers who are eligible to receive benefits. By January 30, 2013.

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### **Goal #6 Neurological Program**

The Commission will assess the value, use and impact of the neurological program.

**Objective 1.:** Seek legislative and regulatory authority to allow the neurological program to fund creation and maintenance of a medical database using information gained from neurological examinations and other required examination. By January 1, 2013.

**Objective 2.** Improve the current neurological examination. By June 30, 2013.

**Objective 3.** Create a plan to use money in the existing Neurological Examination Account to improve athlete health and safety.

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### **Goal #7 Promote Commission Public Awareness**

The Commission will proactively communicate its mission, vision and goals to stakeholders.

**Objective 1.** Establish a standard for existing outreach, information and presentations to other state commissions, including the Association of Boxing Commissioners (ABC). Ongoing.

**Objective 2.** Continue development of educational and informational materials. Ongoing.

**Objective 3.** Working with DCA's Public Affairs Office, develop a communications plan for the Commission, which will include meetings with appropriate sports media (e.g., writers, editorial boards, television, etc.). By January 30, 2013.

**Objective 4.** Work with DCA's Public Affairs Office to develop and distribute proactive news releases and respond, as appropriate, to statements about boxing. Ongoing.

**Objective 5.** Redesign and update the commission's web site to provide fill-in applications forms and make public licensee and enforcement information available on-line. By April 30, 2014.

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### **Goal #8 Resource Optimization**

The Commission will develop and maintain adequate resources to achieve the Commission's goals.

**Objective 1.** Review staffing levels and workloads. By January 1, 2013.

**Objective 2.** Evaluate existing funding sources and make modifications if necessary. Ongoing.

**Objective 3.** Develop and implement a plan to locate and obtain fees due to the commission from low profile wrestling events, and issue fines to those failing to pay. By January 30, 2013.

**CALIFORNIA STATE ATHLETIC COMMISSION (SUPPORT) FUND - 0326**  
**Budget Report**  
**FY 2012-13 Expenditure Projection**  
**Prepared 9-24-2012**

Current Fiscal Month: 2

Months Remaining: 10

OBJECT DESCRIPTION	FY 2011-12		FY 2012-13				
	ACTUAL EXPENDITURES (MONTH 13)	EXPENDITURES AS OF 8/31/2011	BUDGET ALLOTMENT	EXPENDITURES AS OF 8/31/2012	PERCENT OF BUDGET SPENT	PROJECTIONS TO YEAR END	UNENCUMBERED BALANCE
<b>PERSONAL SERVICES:</b>							
Salaries and Wages							
Civil Service-Perm	314,971	48,117	407,722	51,831	12.7%	233,364	174,358
Athletic Inspectors	431,220	34,909	299,279	20,382 <sup>①</sup>	6.8%	146,680	152,599
Temp Help	41,378	4,195	0	22,412 <sup>②</sup>	0.0%	22,412	(22,412)
Statutory-Exempt (EO)	88,437	14,278	89,611	7,139	8.0%	59,880	29,731
Board/Commission	7,100	100	2,887	0	0.0%	4,500	(1,613)
Overtime	7,013	553	0	0	0.0%	0	0
Staff Benefits	200,919	26,938	278,533	34,825	12.5%	138,969	139,564
<b>TOTAL, PERSONAL SVC</b>	<b>1,091,038</b>	<b>129,090</b>	<b>1,078,032</b>	<b>136,589</b>	<b>12.7%</b>	<b>605,805</b>	<b>472,227</b>
<b>OPERATING EXPENSE AND EQUIPMENT</b>							
Fingerprints	519	0	0	0	0.0%	0	0
General Expense	38,110	1,634	41,435	486	1.2%	18,000	23,435
Printing	5,575	3,700	5,472	222	4.1%	2,000	3,472
Communication	6,926	122	12,362	416	3.4%	3,000	9,362
Postage	1,990	280	9,098	201	2.2%	1,200	7,898
Travel In State	207,847	12,552	287,098	78 <sup>③</sup>	0.3%	72,600	214,498
Travel Out-of-State	0	0	0	0	0.0%	0	0
Training	1,499	0	5,472	0	0.0%	0	5,472
Facilities Operations	62,417	60,526	72,211	61,398	85.0%	62,640	9,571
C/P Services - Internal	3,924	0	2,360	58	2.5%	25,000	(22,640)
C/P Services - External	80,867	110,850	0	0	0.0%	31,860	(31,860)
DCA Pro Rata	211,709	0	200,146	50,012	25.0%	200,146	0
<b>DEPARTMENTAL SERVICES</b>							
DP Maintenance & Supplies	4,397	0	3,797	0	0.0%	0	3,797
Central (State) Adm Pro Rata	82,221	20,555	122,920	30,730	25.0%	122,920	0
Other Items of Expense	0	0	0	0	0.0%	0	0
Vehical Operations	0	0	0	0	0.0%	0	0
<b>ENFORCEMENT</b>							
Attorney General	21,355	1,470	95,697	1,483	1.5%	48,000	47,697
Court Reporters	1,734	0	0	0	0.0%	0	0
Major Equipment	0	0	0	0	0.0%	0	0
Minor Equipment	1,111	0	2,900	0	0.0%	0	2,900
<b>TOTALS, OE&amp;E</b>	<b>732,201</b>	<b>211,689</b>	<b>860,968</b>	<b>145,790</b>	<b>16.9%</b>	<b>587,366</b>	<b>273,602</b>
<b>TOTAL EXPENSE</b>	<b>1,823,239</b>	<b>340,779</b>	<b>1,939,000</b>	<b>282,379</b>	<b>14.6%</b>	<b>1,193,171</b>	<b>745,829</b>
<b>SURPLUS/(DEFICIT):</b>							<b>38.46%</b>

- ① 1 month behind in reporting.
- ② 2 months behind in reporting.
- ③ Payout of former E.O. leave time.

Item 5

# DEPARTMENT OF CONSUMER AFFAIRS

## BUDGET REPORT

AS OF 8/31/2012

FM 02

RUN DATE 9/13/2012

PAGE 1

ATHLETIC COMMISSION  
ATHLETIC COMMISSION SUPPORT

STATE ATHLETIC COMMISSION

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>PERSONAL SERVICES</b>								
<b>SALARIES AND WAGES</b>								
	003 00 CIVIL SERVICE-PERM	407,722	26,084	51,831	0	51,831	355,891	
	033 02 ATH INSP MSC 906,9	0	20,382	20,382	0	20,382	(20,382)	
	033 03 PHYS FITNESS INCEN	299,279	0	0	0	0	299,279	
	033 04 TEMP HELP (907)	0	0	22,412	0	22,412	(22,412)	
	063 00 STATUTORY-EXEMPT	89,611	0	7,139	0	7,139	82,472	
	063 01 BD/COMMSN (901,920)	2,887	0	0	0	0	2,887	
	<b>TOTAL SALARIES AND WAGES</b>	<b>799,499</b>	<b>46,467</b>	<b>101,765</b>	<b>0</b>	<b>101,765</b>	<b>697,734</b>	<b>87.27%</b>
<b>STAFF BENEFITS</b>								
	103 00 OASDI	46,217	2,081	5,424	0	5,424	40,793	
	104 00 DENTAL INSURANCE	4,365	301	527	0	527	3,838	
	105 00 HEALTH/WELFARE INS	93,449	4,182	7,187	0	7,187	86,262	
	106 01 RETIREMENT	109,705	5,751	12,494	0	12,494	97,211	
	125 00 WORKERS' COMPENSAT	20,900	0	0	0	0	20,900	
	125 15 SCIF ALLOCATION CO	0	714	851	0	851	(851)	
	134 00 OTHER-STAFF BENEFI	201	2,782	6,800	0	6,800	(6,599)	
	135 00 LIFE INSURANCE	0	7	22	0	22	(22)	
	136 00 VISION CARE	3,180	52	95	0	95	3,085	
	137 00 MEDICARE TAXATION	516	643	1,425	0	1,425	(909)	
	<b>TOTAL STAFF BENEFITS</b>	<b>278,533</b>	<b>16,515</b>	<b>34,825</b>	<b>0</b>	<b>34,825</b>	<b>243,708</b>	<b>87.50%</b>
	<b>TOTAL PERSONAL SERVICES</b>	<b>1,078,032</b>	<b>62,981</b>	<b>136,590</b>	<b>0</b>	<b>136,590</b>	<b>941,442</b>	<b>87.33%</b>
<b>OPERATING EXPENSES &amp; EQUIPMENT</b>								
<b>GENERAL EXPENSE</b>								
	201 00 GENERAL EXPENSE	41,435	0	0	0	0	41,435	
	207 00 FREIGHT & DRAYAGE	0	364	486	0	486	(486)	
	<b>TOTAL GENERAL EXPENSE</b>	<b>41,435</b>	<b>364</b>	<b>486</b>	<b>0</b>	<b>486</b>	<b>40,949</b>	<b>98.83%</b>
<b>PRINTING</b>								
	241 00 PRINTING	5,472	0	0	0	0	5,472	
	244 00 OFFICE COPIER EXP	0	0	0	222	222	(222)	
	<b>TOTAL PRINTING</b>	<b>5,472</b>	<b>0</b>	<b>0</b>	<b>222</b>	<b>222</b>	<b>5,250</b>	<b>95.94%</b>
<b>COMMUNICATIONS</b>								
	251 00 COMMUNICATIONS	12,362	0	0	0	0	12,362	

5A-2



# DEPARTMENT OF CONSUMER AFFAIRS

## BUDGET REPORT

AS OF 8/31/2012

FM 02

RUN DATE 9/13/2012

PAGE 2

ATHLETIC COMMISSION  
ATHLETIC COMMISSION SUPPORT

STATE ATHLETIC COMMISSION

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
	257 01 TELEPHONE EXCHANGE	0	412	416	0	416	(416)	
	<b>TOTAL COMMUNICATIONS</b>	<b>12,362</b>	<b>412</b>	<b>416</b>	<b>0</b>	<b>416</b>	<b>11,946</b>	<b>96.64%</b>
	<b>POSTAGE</b>							
	261 00 POSTAGE	9,098	0	0	0	0	9,098	
	263 05 DCA POSTAGE ALLO	0	201	201	0	201	(201)	
	<b>TOTAL POSTAGE</b>	<b>9,098</b>	<b>201</b>	<b>201</b>	<b>0</b>	<b>201</b>	<b>8,897</b>	<b>97.79%</b>
	<b>TRAVEL: IN-STATE</b>							
	291 00 TRAVEL: IN-STATE	287,098	0	0	0	0	287,098	
	294 00 COMMERCIAL AIR-I/S	0	784	784	0	784	(784)	
	<b>TOTAL TRAVEL: IN-STATE</b>	<b>287,098</b>	<b>784</b>	<b>784</b>	<b>0</b>	<b>784</b>	<b>286,314</b>	<b>99.73%</b>
	<b>TRAINING</b>							
	331 00 TRAINING	5,472	0	0	0	0	5,472	
	<b>TOTAL TRAINING</b>	<b>5,472</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,472</b>	<b>100.00%</b>
	<b>FACILITIES OPERATIONS</b>							
	341 00 FACILITIES OPERATI	72,211	0	0	0	0	72,211	
	343 00 RENT-BLDG/GRND(NON	0	5,117	10,233	51,165	61,398	(61,398)	
	<b>TOTAL FACILITIES OPERATIONS</b>	<b>72,211</b>	<b>5,117</b>	<b>10,233</b>	<b>51,165</b>	<b>61,398</b>	<b>10,813</b>	<b>14.97%</b>
	<b>C/P SVS - INTERDEPARTMENTAL</b>							
	382 00 CONSULT/PROF-INTER	2,360	0	0	0	0	2,360	
	393 00 HLTH & MED-INTERDE	0	58	58	0	58	(58)	
	<b>TOTAL C/P SVS - INTERDEPARTMENTAL</b>	<b>2,360</b>	<b>58</b>	<b>58</b>	<b>0</b>	<b>58</b>	<b>2,302</b>	<b>97.54%</b>
	<b>DEPARTMENTAL SERVICES</b>							
	424 03 OIS PRO RATA	57,642	14,411	14,411	0	14,411	43,231	
	427 00 INDIRECT DISTRB CO	122,242	30,561	30,561	0	30,561	91,681	
	427 01 INTERAGENCY SERVS	105	0	0	0	0	105	
	427 30 DOI - PRO RATA	4,888	1,222	1,222	0	1,222	3,666	
	427 34 PUBLIC AFFAIRS PRO	6,910	1,728	1,728	0	1,728	5,182	
	427 35 CCED PRO RATA	8,359	2,090	2,090	0	2,090	6,269	
	<b>TOTAL DEPARTMENTAL SERVICES</b>	<b>200,146</b>	<b>50,012</b>	<b>50,012</b>	<b>0</b>	<b>50,012</b>	<b>150,134</b>	<b>75.01%</b>
	<b>DATA PROCESSING</b>							
	431 00 INFORMATION TECHNO	3,797	0	0	0	0	3,797	
	<b>TOTAL DATA PROCESSING</b>	<b>3,797</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,797</b>	<b>100.00%</b>
	<b>CENTRAL ADMINISTRATIVE SERVICES</b>							
	438 00 PRO RATA	122,920	30,730	30,730	0	30,730	92,190	

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**DEPARTMENT OF CONSUMER AFFAIRS**

**BUDGET REPORT**

AS OF 8/31/2012

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ATHLETIC COMMISSION  
ATHLETIC COMMISSION SUPPORT

STATE ATHLETIC COMMISSION

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>TOTAL</b> CENTRAL ADMINISTRATIVE SERVICES	122,920	30,730	30,730	0	30,730	92,190	75.00%
<b>ENFORCEMENT</b>							
396 00 ATTORNEY GENL-INTE	95,697	1,483	1,483	0	1,483	94,215	
<b>TOTAL</b> ENFORCEMENT	95,697	1,483	1,483	0	1,483	94,215	98.45%
<b>MINOR EQUIPMENT</b>							
226 00 MINOR EQUIPMENT	2,900	0	0	0	0	2,900	
<b>TOTAL</b> MINOR EQUIPMENT	2,900	0	0	0	0	2,900	100.00%
<b>TOTAL</b> OPERATING EXPENSES & EQUIPMEN	860,968	89,160	94,402	51,387	145,789	715,179	83.07%
<b>STATE ATHLETIC COMMISSION</b>	1,939,000	152,141	230,992	51,387	282,379	1,656,621	85.44%
	1,939,000	152,141	230,992	51,387	282,379	1,656,621	85.44%

# DEPARTMENT OF CONSUMER AFFAIRS

## BUDGET REPORT

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ATHLETIC COMMISSION  
ATHLETIC COMMISSION - NEUROLOGICAL

FM 02

STATE ATHLETIC COMM - BOXERS NEURO ACCT

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>PERSONAL SERVICES</b>							
<b>SALARIES AND WAGES</b>							
003 00 CIVIL SERVICE-PERM	30,493	1,529	1,529	0	1,529	28,964	
<b>TOTAL SALARIES AND WAGES</b>	<b>30,493</b>	<b>1,529</b>	<b>1,529</b>	<b>0</b>	<b>1,529</b>	<b>28,964</b>	<b>94.98%</b>
<b>STAFF BENEFITS</b>							
103 00 OASDI	2,265	80	80	0	80	2,185	
104 00 DENTAL INSURANCE	617	69	69	0	69	548	
105 00 HEALTH/WELFARE INS	8,792	1,180	1,180	0	1,180	7,612	
106 01 RETIREMENT	4,954	314	314	0	314	4,640	
125 00 WORKERS' COMPENSAT	287	0	0	0	0	287	
125 15 SCIF ALLOCATION CO	0	10	12	0	12	(12)	
134 00 OTHER-STAFF BENEFI	313	3	3	0	3	310	
136 00 VISION CARE	201	9	9	0	9	192	
137 00 MEDICARE TAXATION	51	19	19	0	19	32	
<b>TOTAL STAFF BENEFITS</b>	<b>17,480</b>	<b>1,683</b>	<b>1,685</b>	<b>0</b>	<b>1,685</b>	<b>15,795</b>	<b>90.36%</b>
<b>TOTAL PERSONAL SERVICES</b>	<b>47,973</b>	<b>3,212</b>	<b>3,214</b>	<b>0</b>	<b>3,214</b>	<b>44,759</b>	<b>93.30%</b>
<b>OPERATING EXPENSES &amp; EQUIPMENT</b>							
<b>GENERAL EXPENSE</b>							
201 00 GENERAL EXPENSE	30,042	0	0	0	0	30,042	
<b>TOTAL GENERAL EXPENSE</b>	<b>30,042</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>30,042</b>	<b>100.00%</b>
<b>PRINTING</b>							
241 00 PRINTING	690	0	0	0	0	690	
<b>TOTAL PRINTING</b>	<b>690</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>690</b>	<b>100.00%</b>
<b>COMMUNICATIONS</b>							
251 00 COMMUNICATIONS	217	0	0	0	0	217	
<b>TOTAL COMMUNICATIONS</b>	<b>217</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>217</b>	<b>100.00%</b>
<b>POSTAGE</b>							
261 00 POSTAGE	1,463	0	0	0	0	1,463	
<b>TOTAL POSTAGE</b>	<b>1,463</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,463</b>	<b>100.00%</b>
<b>TRAINING</b>							
331 00 TRAINING	246	0	0	0	0	246	
<b>TOTAL TRAINING</b>	<b>246</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>246</b>	<b>100.00%</b>

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# DEPARTMENT OF CONSUMER AFFAIRS

## BUDGET REPORT

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ATHLETIC COMMISSION  
ATHLETIC COMMISSION - NEUROLOGICAL

STATE ATHLETIC COMM - BOXERS NEURO ACCT

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>FACILITIES OPERATIONS</b>							
341 00 FACILITIES OPERATI	2,779	0	0	0	0	2,779	
<b>TOTAL FACILITIES OPERATIONS</b>	<b>2,779</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,779</b>	<b>100.00%</b>
<b>C/P SVS - EXTERNAL</b>							
402 00 CONSULT/PROF SERV-	20,329	0	0	0	0	20,329	
<b>TOTAL C/P SVS - EXTERNAL</b>	<b>20,329</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,329</b>	<b>100.00%</b>
<b>DEPARTMENTAL SERVICES</b>							
424 03 OIS PRO RATA	3,030	758	758	0	758	2,272	
427 00 INDIRECT DISTRB CO	7,800	1,950	1,950	0	1,950	5,850	
427 01 INTERAGENCY SERVS	13	0	0	0	0	13	
427 30 DOI - PRO RATA	312	78	78	0	78	234	
427 34 PUBLIC AFFAIRS PRO	434	109	109	0	109	325	
427 35 CCED PRO RATA	534	134	134	0	134	400	
<b>TOTAL DEPARTMENTAL SERVICES</b>	<b>12,123</b>	<b>3,029</b>	<b>3,029</b>	<b>0</b>	<b>3,029</b>	<b>9,094</b>	<b>75.01%</b>
<b>CENTRAL ADMINISTRATIVE SERVICES</b>							
438 00 PRO RATA	5,138	1,285	1,285	0	1,285	3,854	
<b>TOTAL CENTRAL ADMINISTRATIVE SERVICES</b>	<b>5,138</b>	<b>1,285</b>	<b>1,285</b>	<b>0</b>	<b>1,285</b>	<b>3,854</b>	<b>75.00%</b>
<b>TOTAL OPERATING EXPENSES &amp; EQUIPMEN</b>	<b>73,027</b>	<b>4,314</b>	<b>4,314</b>	<b>0</b>	<b>4,314</b>	<b>68,714</b>	<b>94.09%</b>
<hr/>							
<b>ATE ATHLETIC COMM - BOXERS NEURO ACCT</b>	<b>121,000</b>	<b>7,526</b>	<b>7,528</b>	<b>0</b>	<b>7,528</b>	<b>113,472</b>	<b>93.78%</b>
<hr/>							
	<b>121,000</b>	<b>7,526</b>	<b>7,528</b>	<b>0</b>	<b>7,528</b>	<b>113,472</b>	<b>93.78%</b>

# DEPARTMENT OF CONSUMER AFFAIRS

## BUDGET REPORT

AS OF 8/31/2012

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ATHLETIC COMMISSION

ATHLETIC COMMISSION - BOXERS PENSION FUND 9250

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BOXERS PENSION FUND 9250

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>PERSONAL SERVICES</b>							
<b>SALARIES AND WAGES</b>							
003 00 CIVIL SERVICE-PERM	30,493	1,529	1,529	0	1,529	28,964	
<b>TOTAL SALARIES AND WAGES</b>	<b>30,493</b>	<b>1,529</b>	<b>1,529</b>	<b>0</b>	<b>1,529</b>	<b>28,964</b>	<b>94.98%</b>
<b>STAFF BENEFITS</b>							
103 00 OASDI	1,719	95	95	0	95	1,624	
104 00 DENTAL INSURANCE	15	0	0	0	0	15	
105 00 HEALTH/WELFARE INS	2,493	0	0	0	0	2,493	
106 01 RETIREMENT	2,468	314	314	0	314	2,154	
125 00 WORKERS' COMPENSAT	151	0	0	0	0	151	
125 15 SCIF ALLOCATION CO	0	5	6	0	6	(6)	
136 00 VISION CARE	3	0	0	0	0	3	
137 00 MEDICARE TAXATION	28	22	22	0	22	6	
<b>TOTAL STAFF BENEFITS</b>	<b>6,877</b>	<b>436</b>	<b>437</b>	<b>0</b>	<b>437</b>	<b>6,440</b>	<b>93.65%</b>
<b>TOTAL PERSONAL SERVICES</b>	<b>37,370</b>	<b>1,965</b>	<b>1,966</b>	<b>0</b>	<b>1,966</b>	<b>35,404</b>	<b>94.74%</b>
<b>OPERATING EXPENSES &amp; EQUIPMENT</b>							
<b>GENERAL EXPENSE</b>							
201 00 GENERAL EXPENSE	11,137	0	0	0	0	11,137	
<b>TOTAL GENERAL EXPENSE</b>	<b>11,137</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,137</b>	<b>100.00%</b>
<b>POSTAGE</b>							
261 00 POSTAGE	1,034	0	0	0	0	1,034	
<b>TOTAL POSTAGE</b>	<b>1,034</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,034</b>	<b>100.00%</b>
<b>TRAVEL: IN-STATE</b>							
291 00 TRAVEL: IN-STATE	889	0	0	0	0	889	
<b>TOTAL TRAVEL: IN-STATE</b>	<b>889</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>889</b>	<b>100.00%</b>
<b>C/P SVS - EXTERNAL</b>							
402 00 CONSULT/PROF SERV-	42,112	0	0	0	0	42,112	
418 00 OTHER EXTERNAL SVS	0	0	0	7,500	7,500	(7,500)	
418 02 CONS/PROF SVS-EXTR	0	0	0	31,950	31,950	(31,950)	
<b>TOTAL C/P SVS - EXTERNAL</b>	<b>42,112</b>	<b>0</b>	<b>0</b>	<b>39,450</b>	<b>39,450</b>	<b>2,662</b>	<b>6.32%</b>
<b>DEPARTMENTAL SERVICES</b>							
424 03 OIS PRO RATA	1,784	446	446	0	446	1,338	

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**DEPARTMENT OF CONSUMER AFFAIRS**

**BUDGET REPORT**

AS OF 8/31/2012

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**ATHLETIC COMMISSION**

ATHLETIC COMMISSION - BOXERS PENSION FUND 9250

**BOXERS PENSION FUND 9250**

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
427 00	INDIRECT DISTRB CO	4,334	1,084	1,084	0	1,084	3,250	
427 01	INTERAGENCY SERVS	8	0	0	0	0	8	
427 30	DOI - PRO RATA	174	44	44	0	44	130	
427 34	PUBLIC AFFAIRS PRO	241	60	60	0	60	181	
427 35	CCED PRO RATA	296	74	74	0	74	222	
<b>TOTAL</b>	<b>DEPARTMENTAL SERVICES</b>	<b>6,837</b>	<b>1,708</b>	<b>1,708</b>	<b>0</b>	<b>1,708</b>	<b>5,129</b>	<b>75.02%</b>
	<b>CENTRAL ADMINISTRATIVE SERVICES</b>							
438 00	PRO RATA	4,621	1,155	1,155	0	1,155	3,466	
<b>TOTAL</b>	<b>CENTRAL ADMINISTRATIVE SERVICES</b>	<b>4,621</b>	<b>1,155</b>	<b>1,155</b>	<b>0</b>	<b>1,155</b>	<b>3,466</b>	<b>75.00%</b>
<b>TOTAL</b>	<b>OPERATING EXPENSES &amp; EQUIPMEN</b>	<b>66,630</b>	<b>2,863</b>	<b>2,863</b>	<b>39,450</b>	<b>42,313</b>	<b>24,317</b>	<b>36.50%</b>
	<b>BOXERS PENSION FUND 9250</b>	<b>104,000</b>	<b>4,828</b>	<b>4,829</b>	<b>39,450</b>	<b>44,279</b>	<b>59,721</b>	<b>57.42%</b>
		<b>104,000</b>	<b>4,828</b>	<b>4,829</b>	<b>39,450</b>	<b>44,279</b>	<b>59,721</b>	<b>57.42%</b>

**DEPARTMENT OF CONSUMER AFFAIRS**

**BUDGET REPORT**

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ATHLETIC COMMISSION

BOXERS PENSION CONTINUOUSLY APPROPRIATED 9250

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BOXERS PENSION CONTINUOUSLY APPROPRIATED

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>SPECIAL ITEMS OF EXPENSE</b>							
<b>RETIREMENT DISBURSEMENTS</b>							
623 00 RETIREMT DISBURSMN	0	9,286	9,286	0	9,286	(9,286)	
<b>TOTAL RETIREMENT DISBURSEMENTS</b>	<b>0</b>	<b>9,286</b>	<b>9,286</b>	<b>0</b>	<b>9,286</b>	<b>(9,286)</b>	<b>0.00%</b>
<b>TOTAL SPECIAL ITEMS OF EXPENSE</b>	<b>0</b>	<b>9,286</b>	<b>9,286</b>	<b>0</b>	<b>9,286</b>	<b>(9,286)</b>	<b>0.00%</b>
<b>ERS PENSION CONTINUOUSLY APPROPRIATED</b>							
	0	9,286	9,286	0	9,286	(9,286)	0.00%
	0	9,286	9,286	0	9,286	(9,286)	0.00%

DEPARTMENT OF CONSUMER AFFAIRS  
ENCUMBRANCE REPORT

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09250 BOXERS PENSION FUND 9250

DOCUMENT	VENDOR	ORIG. AMOUNT	ADJUSTMENTS	LIQUIDATIONS	BALANCE
<b>C/P SVS - EXTERNAL</b>					
418 009-4125-7	0000071737-00 RAYMOND JAMES FIN	\$7,500.00	\$0.00	\$0.00	\$7,500.00
418 02 REQ00083-58	0000071780-00 BENEFIT RESOURCES	\$31,950.00	\$0.00	\$0.00	\$31,950.00
<b>TOTAL C/P SVS - EXTERNAL</b>					<b>\$39,450.00</b>

09250 BOXERS PENSION FUND 9250

\$39,450.00



**DEPARTMENT OF CONSUMER AFFAIRS  
ENCUMBRANCE REPORT**

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**09326 STATE ATHLETIC COMMISSION**

DOCUMENT		VENDOR		ORIG. AMOUNT	ADJUSTMENTS	LIQUIDATIONS	BALANCE
<b>PRINTING</b>							
244	7040C181-26	0000065284-00	SHARP ELECTRONICS	\$222.00	\$0.00	\$0.00	\$222.00
<b>TOTAL PRINTING</b>							<b>\$222.00</b>
<b>FACILITIES OPERATIONS</b>							
343	2367-007-A0	0000067839-00	JB MANAGEMENT LP	\$61,436.52	\$0.00	(\$10,271.52)	\$51,165.00
<b>TOTAL FACILITIES OPERATIONS</b>							<b>\$51,165.00</b>

**09326 STATE ATHLETIC COMMISSION**

**\$51,387.00**

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
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FFY: 12  
 PCA: 09-10-000-000-09326 STATE ATHLETIC COMMISSION

SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION	CUR MONTH	EXP						
-----																			
INVOICE	DOC	DATE	REF	DOC	SX	CUR	DOC	SX	CLAIM	NO	BATCH	HDR	PR	DATE	TC	R	VENDOR NAME	CUR MONTH	EXP
-----																			
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	01	003	00	CIVIL SERVICE-PERM								283.02
						LABOR DISTRIB					CL01008100								25,801.22
						LABOR DISTRIB					CL01014600								
*TOTAL AGENCY OBJECT 00 CIVIL SERVICE-PERM																		26,084.24	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	01	033	02	ATH INSP MSC 906,910								1,218.92
						LABOR DISTRIB					CL01008100								10,140.29
						LABOR DISTRIB					CL01012100								1,455.49
						LABOR DISTRIB					CL01012600								640.46
						LABOR DISTRIB					CL01013000								6,927.14
						LABOR DISTRIB					CL01015300								
*TOTAL AGENCY OBJECT 02 ATH INSP MSC 906,910																		20,382.30	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	103	00	OASDI								4.25
						LABOR DISTRIB					CL01008100								43.89
						LABOR DISTRIB					CL01012100								38.36
						LABOR DISTRIB					CL01012600								39.71
						LABOR DISTRIB					CL01013000								1,525.62
						LABOR DISTRIB					CL01014600								429.48
						LABOR DISTRIB					CL01015300								
*TOTAL AGENCY OBJECT 00 OASDI																		2,081.31	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	104	00	DENTAL INSURANCE								72.36
						LABOR DISTRIB					CL01008100								229.06
						LABOR DISTRIB					CL01014600								
*TOTAL AGENCY OBJECT 00 DENTAL INSURANCE																		301.42	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	105	00	HEALTH/WELFARE INS								1,180.63
						LABOR DISTRIB					CL01008100								3,001.44
						LABOR DISTRIB					CL01014600								
*TOTAL AGENCY OBJECT 00 HEALTH/WELFARE INS																		4,182.07	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	106	01	RETIREMENT								58.03
						LABOR DISTRIB					CL01008100								145.16
						LABOR DISTRIB					CL01012100								126.86
						LABOR DISTRIB					CL01012600								131.31
						LABOR DISTRIB					CL01013000								5,290.03
						LABOR DISTRIB					CL01014600								

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

FFY:	12																		
PCA:	09-10-000-000-09326	STATE ATHLETIC COMMISSION																	
SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION								
-----												CUR MONTH EXP							
INVOICE	DOC	DATE	REF	DOC	SX	CUR	DOC	SX	CLAIM	NO	BATCH	HDR	PR	DATE	TC	R	VENDOR NAME	-----	
*TOTAL AGENCY OBJECT 01 RETIREMENT																		5,751.39	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	125	15	SCIF ALLOCATION COST								714.00
						SCIF2012DJ					12091208017	09/13/12	242						-----
*TOTAL AGENCY OBJECT 15 SCIF ALLOCATION COST																		714.00	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	134	00	OTHER-STAFF BENEFITS								87.87
						CL260					12082207016	08/28/12	242						1.69
						LABOR DISTRIB					CL01008100								10.33
						LABOR DISTRIB					CL01012600								2,681.83
						LABOR DISTRIB					CL01014600								-----
*TOTAL AGENCY OBJECT 00 OTHER-STAFF BENEFITS																		2,781.72	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	135	00	LIFE INSURANCE								7.36
						LABOR DISTRIB					CL01014600								-----
*TOTAL AGENCY OBJECT 00 LIFE INSURANCE																		7.36	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	136	00	VISION CARE								8.64
						LABOR DISTRIB					CL01008100								43.20
						LABOR DISTRIB					CL01014600								-----
*TOTAL AGENCY OBJECT 00 VISION CARE																		51.84	
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	137	00	MEDICARE TAXATION								18.67
						LABOR DISTRIB					CL01008100								137.18
						LABOR DISTRIB					CL01012100								21.10
						LABOR DISTRIB					CL01012600								9.29
						LABOR DISTRIB					CL01013000								356.79
						LABOR DISTRIB					CL01014600								100.45
						LABOR DISTRIB					CL01015300								-----
*TOTAL AGENCY OBJECT 00 MEDICARE TAXATION																		643.48	
09	00	00	00	00	0900	ATHLETIC COMMISSION	3	11	207	00	FREIGHT & DRAYAGE								65.38
						345132228					1200167	12080704003	08/07/12	231	FEDERAL EXPRESS CORP				101.41
						345132228					1200300	12082104174	08/21/12	231	FEDERAL EXPRESS CORP				159.17
						345132228					1200300	12082104174	08/21/12	231	FEDERAL EXPRESS CORP				37.78
						345132228					1200325	12082404198	08/24/12	231	FEDERAL EXPRESS CORP				-----
*TOTAL AGENCY OBJECT 00 FREIGHT & DRAYAGE																		363.74	

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA ) 4(AGYOBJ) 0(NOFUND) FUND(ALL ) GL(ALL )  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

FFY:	12																			
PCA:	09-10-000-000-09326	STATE ATHLETIC COMMISSION																		
SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION									
INVOICE	DOC	DATE	REF	DOC	SX	CUR	DOC	SX	CLAIM	NO	BATCH	HDR	PR	DATE	TC	R	VENDOR NAME	CUR	MONTH	EXP
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	13	257	01	TELEPHONE EXCHANGE							
SVO41442		08/20/12							1200371		12083004227	08/30/12	231	VERIZON BUSINESS SERVICE				395.68		
600393005205		08/11/12							1200325		12082404198	08/24/12	231	COMCAST				3.75		
9162632195027		08/10/12							1200371		12083004227	08/30/12	231	AT&T				12.60		
*TOTAL AGENCY OBJECT 01 TELEPHONE EXCHANGE																			412.03	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	14	263	05	DCA POSTAGE ALLO							
AUG12 POSTAGE						POST AUG12					12090607051	09/07/12	242					127.45		
JULY12 POSTAGE						POST JUL12					12090607050	09/07/12	242					73.70		
*TOTAL AGENCY OBJECT 05 DCA POSTAGE ALLO																			201.15	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	17	294	00	COMMERCIAL AIR-I/S							
									1200180		12080904125	08/09/12	231	AM EXPRESS 3782-940798-41006				783.80		
*TOTAL AGENCY OBJECT 00 COMMERCIAL AIR-I/S																			783.80	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	23	343	00	RENT-BLDG/GRND(NON STATE)							
AUG 2012 RENT		08/01/12	2367-007A0						1200195		12081304137	08/13/12	232	JB MANAGEMENT LP				5,116.50		
*TOTAL AGENCY OBJECT 00 RENT-BLDG/GRND(NON STATE)																			5,116.50	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	25	393	00	HLTH & MED-INTERDEPT							
HZ00039628		07/31/12							1200300		12082104174	08/21/12	231	PHAMATECH INC				58.00		
*TOTAL AGENCY OBJECT 00 HLTH & MED-INTERDEPT																			58.00	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	25	396	00	ATTORNEY GENL-INTERDEPT							
925078		08/23/12				JUS0000098					12082407019	08/24/12	242	DEPT OF JUSTICE				1,482.50		
*TOTAL AGENCY OBJECT 00 ATTORNEY GENL-INTERDEPT																			1,482.50	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	27	424	03	OIS PRO RATA							
						PRORATA-12					12082708008	08/27/12	242					14,411.00		
*TOTAL AGENCY OBJECT 03 OIS PRO RATA																			14,411.00	
09	00	00	00	00	0900	ATHLETIC COMMISSION			3	27	427	00	INDIRECT DISTRB COST							
						PRORATA-12					12082708009	08/27/12	242					30,561.00		
*TOTAL AGENCY OBJECT 00 INDIRECT DISTRB COST																			30,561.00	

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

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FFY: 12  
 PCA: 09-10-000-000-09326 STATE ATHLETIC COMMISSION

SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION	CUR MONTH EXP
-----												
					INVOICE	DOC DATE REF DOC SX CUR DOC SX CLAIM NO		BATCH	HDR	PR	DATE TC R	VENDOR NAME
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27 427 30	DOI	-	PRO RATA 12082708010 08/27/12 242	1,222.00
*TOTAL AGENCY OBJECT 30 DOI - PRO RATA												1,222.00
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27 427 34	PUBLIC AFFAIRS	PRO RATA 12082708012 08/27/12 242	1,728.00	
*TOTAL AGENCY OBJECT 34 PUBLIC AFFAIRS PRO RATA												1,728.00
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27 427 35	CCED	PRO RATA 12082708013 08/27/12 242	2,090.00	
*TOTAL AGENCY OBJECT 35 CCED PRO RATA												2,090.00
09	00	00	00	00	0900	ATHLETIC COMMISSION 08/15/12 PRORATA-01 JE 04231	3	30 438 00	PRO RATA 12091007065 09/10/12 242	30,730.00		
*TOTAL AGENCY OBJECT 00 PRO RATA												30,730.00
*TOTAL INDEX 0900 ATHLETIC COMMISSION												152,140.85
*TOTAL PCA 09326 STATE ATHLETIC COMMISSION												152,140.85

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

FFY:	12																		
PCA:	09-20-000-000-09492	STATE ATHLETIC COMM - BOXERS NEURO ACCT																	
SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION								
-----												CUR MONTH EXP							
INVOICE	DOC	DATE	REF	DOC	SX	CUR	DOC	SX	CLAIM	NO	BATCH	HDR	PR	DATE	TC	R	VENDOR NAME	CUR MONTH EXP	
-----																			
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	01	003	00	CIVIL SERVICE-PERM								1,529.42
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 CIVIL SERVICE-PERM																			1,529.42
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	103	00	OASDI								80.02
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 OASDI																			80.02
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	104	00	DENTAL INSURANCE								68.54
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 DENTAL INSURANCE																			68.54
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	105	00	HEALTH/WELFARE INS								1,180.48
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 HEALTH/WELFARE INS																			1,180.48
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	106	01	RETIREMENT								313.58
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 01 RETIREMENT																			313.58
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	125	15	SCIF ALLOCATION COST								10.00
						LABOR DISTRIB					SCIF2012DJ								12091208017 09/13/12 242
-----																			
*TOTAL AGENCY OBJECT 15 SCIF ALLOCATION COST																			10.00
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	134	00	OTHER-STAFF BENEFITS								1.02
						LABOR DISTRIB					CLA260								12082207016 08/28/12 242
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 OTHER-STAFF BENEFITS																			2.71
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	136	00	VISION CARE								8.64
						LABOR DISTRIB					CL01014600								120904LG
-----																			
*TOTAL AGENCY OBJECT 00 VISION CARE																			8.64
09	00	00	00	00	0900	ATHLETIC COMMISSION	1	03	137	00	MEDICARE TAXATION								18.71
						LABOR DISTRIB					CL01014600								120904LG

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

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FFY: 12  
 PCA: 09-20-000-000-09492 STATE ATHLETIC COMM - BOXERS NEURO ACCT

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 SEC SS U SU SSU INDEX DESCRIPTION C OB OD AO DESCRIPTION

INVOICE	DOC DATE	REF DOC	SX CUR DOC	SX CLAIM NO	BATCH	HDR PR DATE	TC R	VENDOR NAME	CUR MONTH EXP
*TOTAL AGENCY OBJECT 00 MEDICARE TAXATION									18.71
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 27 424 03	OIS PRO RATA			758.00
		PRORATA-12			12082708008	08/27/12 242			
*TOTAL AGENCY OBJECT 03 OIS PRO RATA									758.00
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 27 427 00	INDIRECT DISTRB COST			1,950.00
		PRORATA-12			12082708009	08/27/12 242			
*TOTAL AGENCY OBJECT 00 INDIRECT DISTRB COST									1,950.00
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 27 427 30	DOI - PRO RATA			78.00
		PRORATA-12			12082708010	08/27/12 242			
*TOTAL AGENCY OBJECT 30 DOI - PRO RATA									78.00
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 27 427 34	PUBLIC AFFAIRS PRO RATA			109.00
		PRORATA-12			12082708012	08/27/12 242			
*TOTAL AGENCY OBJECT 34 PUBLIC AFFAIRS PRO RATA									109.00
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 27 427 35	CCED PRO RATA			134.00
		PRORATA-12			12082708013	08/27/12 242			
*TOTAL AGENCY OBJECT 35 CCED PRO RATA									134.00
09 00 00 00 00	0900	ATHLETIC COMMISSION			3 30 438 00	PRO RATA			1,284.50
		08/15/12 PRORATA-01 JE 04289			12091007065	09/10/12 242			
*TOTAL AGENCY OBJECT 00 PRO RATA									1,284.50
*TOTAL INDEX 0900 ATHLETIC COMMISSION									7,525.60
*TOTAL PCA 09492 STATE ATHLETIC COMM - BOXERS NEURO ACCT									7,525.60

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CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

\*\*\*\*\*  
 FFY: 12  
 PCA: 09-40-000-000-09250 BOXERS PENSION FUND 9250  
 \*\*\*\*\*

SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION	VENDOR NAME	CUR MONTH EXP
09	00	00	00	00	0900	ATHLETIC COMMISSION LABOR DISTRIB	1	01	003	00	CIVIL SERVICE-PERM 120904LG		1,529.42
*TOTAL AGENCY OBJECT 00 CIVIL SERVICE-PERM													1,529.42
09	00	00	00	00	0900	ATHLETIC COMMISSION LABOR DISTRIB	1	03	103	00	OASDI 120904LG		94.82
*TOTAL AGENCY OBJECT 00 OASDI													94.82
09	00	00	00	00	0900	ATHLETIC COMMISSION LABOR DISTRIB	1	03	106	01	RETIREMENT 120904LG		313.58
*TOTAL AGENCY OBJECT 01 RETIREMENT													313.58
09	00	00	00	00	0900	ATHLETIC COMMISSION SCIF2012DJ	1	03	125	15	SCIF ALLOCATION COST 12091208017 09/13/12 242		5.00
*TOTAL AGENCY OBJECT 15 SCIF ALLOCATION COST													5.00
09	00	00	00	00	0900	ATHLETIC COMMISSION LABOR DISTRIB	1	03	137	00	MEDICARE TAXATION 120904LG		22.18
*TOTAL AGENCY OBJECT 00 MEDICARE TAXATION													22.18
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27	424	03	OIS PRO RATA 12082708008 08/27/12 242		446.00
*TOTAL AGENCY OBJECT 03 OIS PRO RATA													446.00
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27	427	00	INDIRECT DISTRB COST 12082708009 08/27/12 242		1,084.00
*TOTAL AGENCY OBJECT 00 INDIRECT DISTRB COST													1,084.00
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27	427	30	DOI - PRO RATA 12082708010 08/27/12 242		44.00
*TOTAL AGENCY OBJECT 30 DOI - PRO RATA													44.00
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27	427	34	PUBLIC AFFAIRS PRO RATA 12082708012 08/27/12 242		60.00
*TOTAL AGENCY OBJECT 34 PUBLIC AFFAIRS PRO RATA													60.00

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CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA) 4(AGYOBJ) 0(NOFUND) FUND(ALL) GL(ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

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FFY: 12  
 PCA: 09-40-000-000-09250 BOXERS PENSION FUND 9250

SEC	SS	U	SU	SSU	INDEX	DESCRIPTION	C	OB	OD	AO	DESCRIPTION	VENDOR NAME	CUR MONTH EXP
09	00	00	00	00	0900	ATHLETIC COMMISSION PRORATA-12	3	27	427	35	CCED PRO RATA 12082708013 08/27/12 242		74.00
*TOTAL AGENCY OBJECT 35 CCED PRO RATA													74.00
09	00	00	00	00	0900	ATHLETIC COMMISSION 08/15/12 PRORATA-01 JE 04478	3	30	438	00	PRO RATA 12091007065 09/10/12 242		1,155.25
*TOTAL AGENCY OBJECT 00 PRO RATA													1,155.25
*TOTAL INDEX 0900 ATHLETIC COMMISSION													4,828.25
*TOTAL PCA 09250 BOXERS PENSION FUND 9250													4,828.25

CSTARH10 1110 (DEST: A1 CAL2) PM,C,6,5,4,0,  
 FISCAL MONTH: 02 AUGUST 6 (INDEX) 5 (PCA) 4 (AGYOBJ) 0 (NOFUND) FUND (ALL) GL (ALL)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT  
 AS OF 08/31/12

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FFY: 12  
 PCA: 09-45-000-000-BOXPN BOXERS PENSION CONTINUOUSLY APPROPRIATED

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 SEC SS U SU SSU INDEX DESCRIPTION C OB OD AO DESCRIPTION

INVOICE	DOC DATE	REF DOC	SX	CUR DOC	SX	CLAIM NO	BATCH	HDR	PR	DATE	TC	R	VENDOR NAME	CUR MONTH EXP
09 00 00 00 00	BOXR	BOXER PENSION CONTINUOUSLY APPR	4	49	623	00	RETIREMT DISBURSMNTS							
PENSION DIST	09/07/12			1200409		1200409	12090704233	09/07/12	240				CHONDELL JONES	3,316.34
PENSION DIST	09/07/12			1200409		1200409	12090704233	09/07/12	240				LUCKRISA JONES	2,984.70
PENSION DIST	09/07/12			1200409		1200409	12090704233	09/07/12	240				JONATHAN GREENE	2,984.70

\*TOTAL AGENCY OBJECT 00 RETIREMT DISBURSMNTS 9,285.74

\*TOTAL INDEX BOXR BOXER PENSION CONTINUOUSLY APPR 9,285.74

\*TOTAL PCA BOXPN BOXERS PENSION CONTINUOUSLY APPROPRIATED 9,285.74

CSTARQ24 1110 (DEST: A1 CAL2) PM,C,6,5,2,0, ,6212,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCAS) 2(AGYSRC) 0(NOFUND) FUND(ALL) GL(6212)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 RECEIPTS BY ORGANIZATION AND SOURCE  
 AS OF 08/31/12

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ENY: 12 FFY: 12  
 SECTION: 09 ATHLETIC COMMISSION  
 SUB-SECTION: 00  
 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 0900 ATHLETIC COMMISSION

PROGRAM  
 PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	ACTUAL RECEIPTS CURRENT MONTH	RECEIPTS YEAR-TO-DATE	BALANCE
09 10	000 000	80326	ATHLETIC COMMISSION FD 0326				
980	125600	CA	BOXING - GATE TAXES	0.00	32,087.03	52,384.38	52,384.38-
980	125600	CB	WRESTLING - GATE TAXES	0.00	9,690.25	9,690.25	9,690.25-
980	125600	CD	RADIO AND TV - GATE TAXES	0.00	57,400.00	93,400.00	93,400.00-
980	125600	00	OTHER REGULATORY FEES	1,179,274.00	0.00	0.00	1,179,274.00
980	125600	13	COMMISSION FINES VARIABLE	0.00	6,357.11	8,882.41	8,882.41-
980	125600	19	MIXED MARTIAL ARTS FEES/TAXES-VAR	0.00	9,815.00	10,815.00	10,815.00-
*TOTAL SOURCE 125600				1,179,274.00	115,349.39	175,172.04	1,004,101.96
980	125700	CK	PRO CLUB-LICENSE FEE \$1000	0.00	2,000.00	5,000.00	5,000.00-
980	125700	CN	AMATEUR CLUB-LICENSE FEE \$250	0.00	250.00	250.00	250.00-
980	125700	CV	DRUG/ALCOHOL SCREEN	0.00	300.00	300.00	300.00-
980	125700	CY	BOXER - LICENSE FEE \$60	0.00	3,780.00	8,040.00	8,040.00-
980	125700	C3	MATCHMAKER LICENSE \$200	0.00	200.00	400.00	400.00-
980	125700	00	OTHER REGULATORY LICENSES AND PER	91,400.00	0.00	0.00	91,400.00
980	125700	3C	PROFESSIONAL LIC FEE-KICKBOXER -\$	0.00	120.00	120.00	120.00-
980	125700	3D	PROFESSIONAL LIC FEE-MARTIAL ARTS	0.00	1,500.00	4,240.00	4,240.00-
980	125700	3K	MANAGER LIC FEE-\$150	0.00	1,350.00	2,550.00	2,550.00-
980	125700	37	SECOND LIC FEE-\$50	0.00	8,250.00	16,390.00	16,390.00-
980	125700	92	PRIOR YEAR REVENUE ADJUSTMENT	0.00	0.00	8,421.69-	8,421.69
*TOTAL SOURCE 125700				91,400.00	17,750.00	28,868.31	62,531.69
980	125800	00	RENEWAL FEES	108,620.00	0.00	0.00	108,620.00
980	125800	1W	PROFESSIONAL REFEREE RENEWAL FEE-	0.00	150.00	150.00	150.00-
*TOTAL SOURCE 125800				108,620.00	150.00	150.00	108,470.00
980	150300	00	INCOME FROM SURPLUS MONEY INVESTM	1,000.00	0.00	0.00	1,000.00
*TOTAL SOURCE 150300				1,000.00	0.00	0.00	1,000.00
980	161400	00	MISCELLANEOUS REVENUE	1,000.00	0.00	0.00	1,000.00

CSTARQ24 1110 (DEST: A1 CAL2) PM,C,6,5,2,0, ,6212, ,  
 FISCAL MONTH: 02 AUGUST 6 (INDEX) 5 (PCA ) 2 (AGYSRC) 0 (NOFUND) FUND (ALL ) GL (6212)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 RECEIPTS BY ORGANIZATION AND SOURCE  
 AS OF 08/31/12

\*\*\*\*\* RUN:09/13/12 TIME:18.41

\*\*\*\*\* PAGE 10

ENY: 12 FFY: 12  
 SECTION: 09 ATHLETIC COMMISSION  
 SUB-SECTION: 00  
 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 0900 ATHLETIC COMMISSION

PROGRAM  
 PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	ACTUAL RECEIPTS CURRENT MONTH	RECEIPTS YEAR-TO-DATE	BALANCE
980	161400	90	MISCELLANEOUS INCOME	0.00	113.23	113.23	113.23-
*TOTAL SOURCE 161400				1,000.00	113.23	113.23	886.77
09 20 000 000	84920		BOXERS NEURO EXAM ACCT				
980	125600	CG	BOXER'S NEUROLOGICAL EXAM - VAR	0.00	3,145.81	3,829.34	3,829.34-
980	125600	00	OTHER REGULATORY FEES	152,000.00	0.00	0.00	152,000.00
980	125600	92	PRIOR YEAR REVENUE ADJUSTMENT	0.00	4.29-	4.29-	4.29
*TOTAL SOURCE 125600				152,000.00	3,141.52	3,825.05	148,174.95
980	125700	92	PRIOR YEAR REVENUE ADJUSTMENT	0.00	4.29	0.00	0.00
*TOTAL SOURCE 125700				0.00	4.29	0.00	0.00
980	150300	00	INCOME FROM SURPLUS MONEY INVESTM	8,000.00	0.00	0.00	8,000.00
*TOTAL SOURCE 150300				8,000.00	0.00	0.00	8,000.00
09 40 000 000	89250		BOXERS PENSION REVENUE 9250				
980	125600	00	OTHER REGULATORY FEES	79,000.00	0.00	0.00	79,000.00
*TOTAL SOURCE 125600				79,000.00	0.00	0.00	79,000.00
980	150300	00	INCOME FROM SURPLUS MONEY INVESTM	2,000.00	0.00	0.00	2,000.00
*TOTAL SOURCE 150300				2,000.00	0.00	0.00	2,000.00
980	221600	01	BOXERS PENSION FUND 9250	0.00	9,716.70	16,626.41	16,626.41-
980	221600	92	PRIOR YEAR ADJUSTMENT FUND 9250	0.00	0.00	377.52-	377.52
*TOTAL SOURCE 221600				0.00	9,716.70	16,248.89	16,248.89-

CSTARQ24 1110 (DEST: A1 CAL2) PM,C,6,5,2,0, ,6212,  
 FISCAL MONTH: 02 AUGUST 6(INDEX) 5(PCA ) 2(AGYSRC) 0(NOFUND) FUND(ALL ) GL(6212)  
 DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 RECEIPTS BY ORGANIZATION AND SOURCE  
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\*\*\*\*\* PAGE 11

ENY: 12 FFY: 12  
 SECTION: 09 ATHLETIC COMMISSION  
 SUB-SECTION: 00  
 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 0900 ATHLETIC COMMISSION

PROGRAM  
 PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE ASRC DESCRIPTION	PLANNED RECEIPTS	ACTUAL CURRENT MONTH	RECEIPTS YEAR-TO-DATE	BALANCE
*TOTAL	PROG 09	1,622,294.00	146,225.13	224,377.52	1,397,916.48
*TOTAL	REFERENCE 980	1,622,294.00	146,225.13	224,377.52	1,397,916.48
*TOTAL	INDEX 0900	1,622,294.00	146,225.13	224,377.52	1,397,916.48
*TOTAL	SEC 09	1,622,294.00	146,225.13	224,377.52	1,397,916.48

**California State Athletic Commission –Regulation Summary**  
**as of September 24, 2012**

<b>Regulation</b>	<b>Current Status</b>	<b>Next Steps</b>	<b>Comments</b>
Hand Wraps (323)	15-day public comment period ended July 7, 2012	Prepare FSR and submit to OAL	KB working on submittal package
Asthma Medications (303)		Prepare FST and submit to OAL	KB working on submittal packager
Therapeutic Use Exemption (303.1)	Assigned to ad hoc committee	Committee to report to Commission with recommendations	Language to be presented at next CSAC MAC meeting in Nov or Dec
Boxer Pension Program (403)	Language drafted/approved – revisions forthcoming	Prep 45-day notice/set for hearing	May be including revisions to 403
Officials Licensure (372, 379, 543 & 547)	Language drafted/approved – Revisions forthcoming	Prep 45-day notice/set for hearing	Further revisions necessary
Change mechanics prior to ending round (345)	Information/research stage-official's association	Submit draft language to Commission once available	

State of California  
Athletic Commission  
Professional Boxers' Pension Plan

Annual Pension Report  
For the year 1/1/2011-12/31/2011

INCOME STATEMENT

INCOME		
Fees and licenses	0.00	
Promoter contributions	101,722.04	
Gain/(loss) on investments	41,129.13	
Interest/dividends	172,485.42	
<b>TOTAL INCOME</b>		<b>315,336.59</b>
EXPENSES		
Statewide/Departmental prorata	11,756.00	
Staff Services Analyst position	37,455.04	
Admin overhead and expenses	137.00	
Plan administration fees	0.00	
Investment expenses	20,174.24	
Distributions paid to participants*	218,768.00	
Distributions payable	0.00	
Other expenses	1,607.65	
<b>TOTAL EXPENSES</b>		<b>289,897.93</b>
NET INCOME/(LOSS)		25,438.66
Trust balance at 1/1/2011		5,366,913.29
Trust balance at 12/31/2011		5,392,351.95

<i>Participants (with account balance) by classification:</i>	<i># of boxers</i>	<i>account totals</i>
Covered, fully vested boxers (C)	86	910,024
Covered boxers with Break in Service (C/B)	379	3,899,707
Covered boxers now pending (C/P)	1	5,702
Pending boxers not yet vested (P)	1,006	541,469
Non-vested boxers with Break in Service (B/P)	50	35,451
Boxers who had a Break in Service this year (B)	359	0
Boxers denied benefits		

**Boxers eligible for benefits this year**

*\*Distributions paid by benefit category*

Normal retirement	212,242.00
Death	6,526.00
Vocational early retirement	-
	<u>218,768.00</u>

State of California  
Athletic Commission  
Professional Boxers' Pension Plan

Annual Pension Report  
12/31/2011

BALANCE SHEET

Accrued interest receivable	0.00
SMIF account	456,578.09
Raymond James #56658065	<u>4,935,773.86</u>
TOTAL ASSETS	<u><u>5,392,351.95</u></u>
LIABILITIES & PARTICIPANT EQUITY	
Distribution pending	0.00
Participant equity	<u>5,392,351.95</u>
TOTAL LIABILITIES & PARTICIPANT EQUITY	<u><u>5,392,351.95</u></u>



**Quarterly Portfolio Summary**

**George Dodd TTEE**  
**U/A Dtd Jul 1, 1981**

Quarter Ending: 06/30/2012  
Financial Advisor: CYRIL SHAH

Market Value Current Quarter:	\$5,119,920
Net Contributions (Withdrawals) Current Quarter:	\$0
Market Value Previous Quarter:	\$5,132,313
Net Performance Current Quarter:	-0.24%
Net Performance Annualized Since Inception:	4.24%

Please see your Performance Summary for additional information.

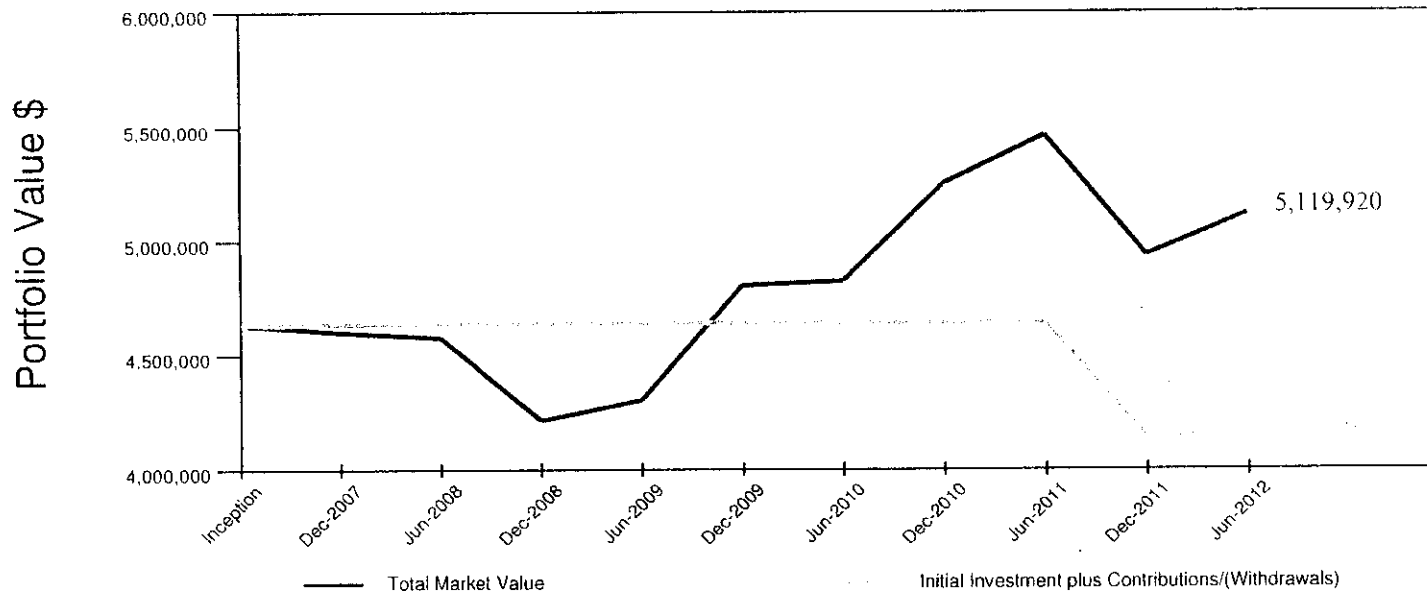


# RAYMOND JAMES®

ASSET MANAGEMENT SERVICES

## Total Portfolio Value Since Inception Quarter Ending June 30, 2012

Inception Date 10/24/07  
Inception Market Value 4,633,222



Period Ending	Net				Ending Portfolio Value
	Beginning Portfolio Value	Contributions (Withdrawals)	Change in Market Value	Other Income and Expenses	
Jun-2012	4,937,467	0	132,928	49,525	5,119,920
Dec-2011	5,464,168	(500,000)	(103,074)	76,373	4,937,467
Jun-2011	5,249,656	0	144,204	70,308	5,464,168
Dec-2010	4,825,737	0	349,213	74,706	5,249,656
Jun-2010	4,805,193	568	(40,983)	60,959	4,825,737
Dec-2009	4,301,853	0	413,928	89,412	4,805,193

**Managed Account Performance Summary**  
**Quarter Ending June 30, 2012**

<b>Managed Portfolio Values</b>	<b>Current Quarter</b>	<b>Previous Quarter</b>	<b>At Inception (10/24/07)</b>
	\$5,119,920	\$5,132,313	\$4,633,222

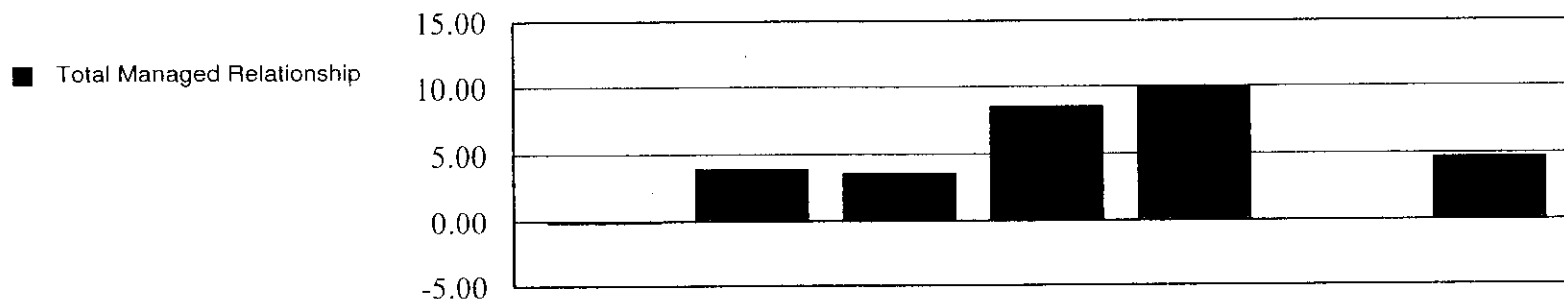
<b>Gross Performance</b>	<b>Current Quarter</b>	<b>Year to Date</b>	<b>Annualized Since Inception (10/24/07)</b>
Managed Portfolio Return	-0.14%	3.89%	4.64%

<b>Market Benchmarks:</b>	<b>Current Quarter</b>	<b>Year to Date</b>	<b>Annualized Since Inception</b>
S&P 500 Index Total Return	-2.75%	9.49%	-0.06%
Russell 2000 Index	-6.21%	5.46%	0.48%
Dow Jones Ind Avg Total Return	-1.90%	6.84%	1.54%
MSCI EAFE Net Dividend	-7.13%	2.96%	-6.86%
Barclays Aggr Bnd Comp Indx	2.30%	2.72%	6.34%
Citi 3 Month T-Bill	0.02%	0.03%	0.68%

The gross returns shown for your account(s) are based on total assets, are time-weighted, include cash in the total returns and are shown before asset based fees and trading costs. The deduction of fees will reduce gross returns at a compounded rate. *Since Inception* returns for periods less than one year are not annualized. Indices are unmanaged and do not include the deduction of brokerage and management fees or trading costs. An investor that purchases an investment product that attempts to mimic the performance of an index will incur such expenses, which will reduce the above index returns at a compounded rate. Thus the performance represented for each index is a "gross" return. These indices were selected to broadly represent segments of the capital markets and not to reflect the holdings in a given account or portfolio. *Since Inception* returns for market benchmarks are subject to the availability of pricing information for each index and may not coincide with the inception date of the portfolio. In the event that index data is not available to within 93 days of the inception of the portfolio, no *Since Inception* performance is shown for the index. For descriptions of the above indices, please refer to the Benchmark Descriptions at the end of this report.



**Performance Summary - Portfolio and By Account**  
**Quarter Ending June 30, 2012**



**INVESTMENT RETURN (%)**

	Account Number	Current Qtr	Year To Date	1-Year	2-Year	3-Year	5-Year	Annualized Since Inception	Inception Date
<b>Total Managed Relationship</b>		-0.14	3.89	3.55	8.48	9.83	N/A	4.64	10/24/2007

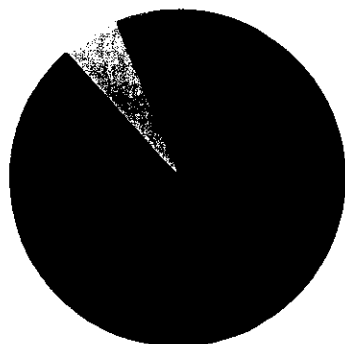
**GROSS PERFORMANCE BY ACCOUNT**

Account	Account Number	Current Qtr	Year To Date	1-Year	2-Year	3-Year	5-Year	Annualized Since Inception	Inception Date
Freedom	56658065	-0.14	3.89	3.55	8.48	9.83	N/A	4.64	10/24/2007
BCAG 70%/S&P 500 30%*		0.67	4.58	7.25	9.38	10.10	5.18	4.96	
S&P 500 Index		-3.29	8.31	3.14	14.93	13.99	-1.95	-2.26	
Barclays Aggr Bnd Comp Indx		2.30	2.72	7.72	5.78	7.01	6.83	6.34	

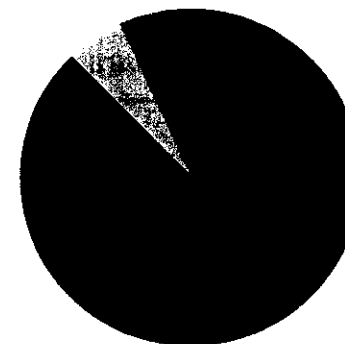
The Total Managed Relationship and individual managed account returns shown are based on total assets, are time-weighted, include cash in the total returns and are shown gross of all fees relative to their respective benchmarks. The deduction of fees will reduce gross returns at a compound rate. *Since Inception* returns for a period less than one year are not annualized. *Since Inception* returns for benchmarks are subject to the availability of pricing information for each index and may not coincide with the inception date of the portfolio. In the event that index data is not available to within 93 days of the inception of the portfolio, no *Since Inception* performance is shown for the index. For descriptions of the above indices, please refer to the Benchmark Descriptions at the end of this report.

**Model Allocation vs. Actual Allocation**  
**Quarter Ending June 30, 2012**

Model Allocation



Actual Allocation



ASSET CLASS	ASSET STYLE	MODEL	ACTUAL	DIFFERENCE
■ Fixed Income	Domestic	44.00%	43.88%	(0.12%)
	Fixed Income Emerging Markets	6.00%	6.06%	0.06%
	High Yield	6.00%	5.86%	(0.14%)
	International	4.00%	3.92%	(0.08%)
	Short Duration Bond	8.00%	7.96%	(0.04%)
■ Large Cap	Growth	7.00%	6.95%	(0.05%)
	Value	7.00%	7.02%	0.02%
■ International	Blend	6.50%	6.10%	(0.40%)
	SMID Cap	5.50%	5.47%	(0.03%)
■ Equity Income		4.00%	3.99%	(0.01%)
■ Cash		2.00%	2.79%	0.79%
	<b>Total Market Value</b>	<b>100.00%</b>	<b>100.00%</b>	



## Benchmark Descriptions

Information contained in this report has been obtained from third party sources Raymond James believes to be reliable, but does not guarantee the accuracy and completeness of such information.

- Barclays Capital Aggregate Bond Composite Index - This index is a measure of the investment grade, fixed-rate, taxable bond market of roughly 6,000 SEC-registered securities with intermediate maturities averaging approximately 10 years. The index includes bonds from the Treasury, Government-Related, Corporate, MBS, ABS, and CMBS sectors.
- BCAG 70%/S&P 500 30%\* - A blended benchmark that reflects the weighted-average trailing returns of the 20% S&P 500 Total Return/80% Barclays Capital Aggregate Bond Index through 6/30/07 and 30% S&P Total Return/70% Barclays Capital Agg Bond going forward. The Broad Market Benchmark returns are shown to illustrate the returns of the broad equity and fixed income markets and do not reflect the performance of any Freedom Strategy.
- CITI Broad Investment Grade Bond Index (3 Month T-Bill) - This index is a measurement of the movement of 3-month T-Bills. The income used to calculate the monthly return is derived by subtracting the original amount invested from the maturity value.
- Dow Jones Industrial Average Total Return - This index covers 30 major NYSE industrial companies. The Dow represents about 25% of the NYSE market capitalization and less than 2% of NYSE issues. It is a priced-weighted arithmetic average, with the divisor adjusted for stock splits. This Index includes the effects of reinvested dividends.
- MSCI EAFE Net Dividend - A free float-adjusted market capitalization index that is designed to measure the equity market performance of developed markets, excluding the United States & Canada. As of June 2007 the MSCI EAFE Index consisted of the following 21 developed market countries: Australia, Austria, Belgium, Denmark, Finland, France, Germany, Greece, Hong Kong, Ireland, Italy, Japan, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, and the United Kingdom. (Total Return Index) - With Net Dividends: Approximates the minimum possible dividend reinvestment. The dividend is reinvested after deduction of withholding tax, applying the rate to non-resident individuals who do not benefit from double taxation treaties. MSCI Barra uses withholding tax rates applicable to Luxembourg holding companies, as Luxembourg applies the highest rates.
- Russell 2000 Index - This index covers 2000 of the smallest companies in the Russell 3000 index, which ranks the 3000 largest U.S. companies by market capitalization. The Russell 2000 represents approximately 10% of the Russell 3000 total market capitalization. This Index includes the effects of reinvested dividends.
- S&P 500 Index Total Return - This index is a broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. It consists of 400 industrial, 40 utility, 20 transportation, and 40 financial companies listed on U.S. market exchanges. This is a capitalization-weighted calculated on a total return basis with dividends reinvested. The S&P represents about 75% of the NYSE market capitalization.
- S&P 500 Index - This index is a broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. It consists of 400 industrial, 40 utility, 20 transportation, and 40 financial companies listed on U.S. market exchanges. This index does not include the effects of reinvested dividends.

# RAYMOND JAMES®

## California State Athletic Comm Account Summary

Account No. 56658065

Closing Value \$5,178,682.64

GEORGE DODD TTEE  
U/A DTD JUL 1, 1981  
CALIFORNIA STATE ATHLETIC COMM  
FBO PROF BOXERS P/PL  
2005 EVERGREEN ST STE 2010  
SACRAMENTO CA 95815-3897104

CYRIL SHAH  
Raymond James Financial Services, Inc.  
RAYMOND JAMES FINANCIAL SVCS | 555 UNIVERSITY AVENUE | SUITE 120 | SACRAMENTO, CA  
95825 | (916) 448-3754  
raymondjames.com/theshahgroup | Cyril.Shah@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378)  
Monday - Friday 8 a.m. to 6 p.m. ET  
Online Account Access | raymondjames.com/investoraccess

Statement Copies to: BETH HARRINGTON, JOHN FRIERSON

### Investment Objectives

Primary: Growth with a medium risk tolerance and a time horizon exceeding 10 years.  
Secondary: Growth with a high risk tolerance and a time horizon exceeding 10 years.

### Activity

		This Statement		Year to Date
Beginning Balance	\$	5,119,919.77	\$	4,935,773.86
Deposits	\$	0.00	\$	0.00
Income	\$	12,265.37	\$	73,185.18
Withdrawals	\$	0.00	\$	0.00
Expenses	\$	(4,987.03)	\$	(14,688.80)
Change in Value	\$	51,484.53	\$	184,412.40
Ending Balance	\$	5,178,682.64	\$	5,178,682.64
Purchases	\$	0.00	\$	(536,500.66)
Sales/Redemptions	\$	0.00	\$	519,589.19

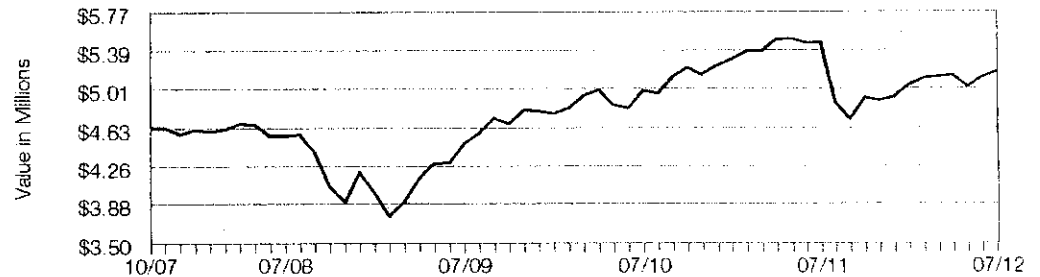
### Time-Weighted Performance

See Understanding Your Statement for important information about these calculations.

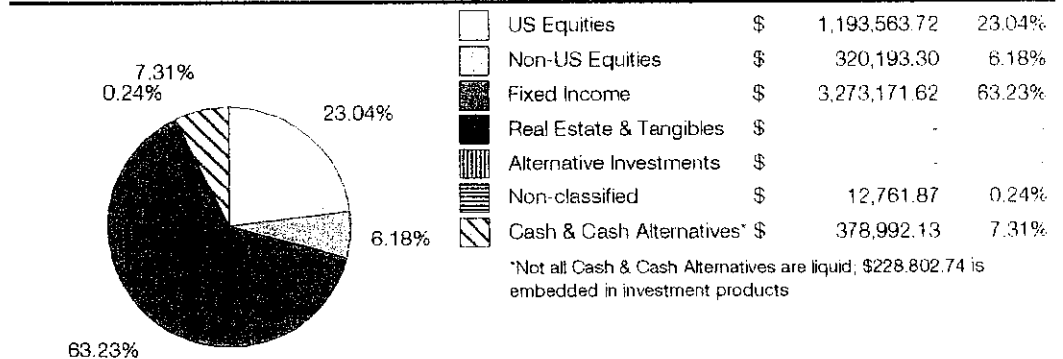
Performance Inception	YTD	2011	2010
10/24/07	4.88%	3.54%	9.23%

Excludes some limited partnerships and unpriced securities. Annuity and RJ Bank CD performance may not be all inclusive

### Value Over Time



### Asset Allocation Analysis



Morningstar asset allocation information is as of 07/31/2012 (mutual funds & annuities) and 07/19/2012 (529s).

5C-9



## Understanding Your Statement

California State Athletic Comm Account No. 56658065

Raymond James & Associates, Inc. (RJ&A), member New York Stock Exchange/SIPC, carries your account and acts as custodian for funds and securities deposited with us, directly by you, through Raymond James Financial Services, Inc. (RJFS), or as a result of transactions we process for your account. RJFS maintains written agreements with financial institutions. Unless otherwise specified, products purchased through RJFS or held at RJ&A are not insured by the FDIC, NCUA, other financial institution insurance or government agencies, are not deposits or other obligations of and are not guaranteed by the financial institution, and are subject to investment risks, including possible loss of principal invested. Our independent financial advisors may also be involved in other business entities, including their own registered investment advisor firms and/or independent insurance relationships, unrelated to their association with RJFS. These entities are completely independent of Raymond James. RJFS serves as the broker/dealer of record for your investment securities accounts only. Checks for the purchase of investment securities should be made payable to Raymond James & Associates unless you are submitting your investment directly to a recognized mutual fund or insurance company. Information about commissions, service fees and other charges related to your transactions is included on your transaction confirmations. All financial products you have purchased or sold through your Raymond James financial advisor should appear on a trade confirmation and your account statements. Please contact your financial advisor and Raymond James Client Services at 800-647-7378 if you do not see any such purchase or sale reported on your trade confirmation or account statements; if you have questions about the securities positions, balances and transactions in your account; or if you note any other inaccuracy on your account statement. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act. Raymond James' financial statement is available for your inspection at its offices or at raymondjames.com, or a copy will be mailed upon your written request. All other inquiries, including updates to your investment profile and questions about the following information regarding the investments held in your account, should be directed to your financial advisor.

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**Cost Basis** - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations.

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

Unrealized gains or losses are not calculated for depreciated gifted securities, referred to as dual basis, indicated by a "d." Both Total Cost and Gift FMV exist, as the actual gain/loss cannot be determined until the position is sold.

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various".

**FINRA Disclosure** - For additional background information on any firm or representative registered with the Financial Industry Regulatory Authority (FINRA), please contact FINRA at 800-289-9999 or finra.org and request the public disclosure program brochure.

**Time Weighted Performance Reporting** - The time-weighted performance results represented in this statement provide a measure of investment manager performance. Performance returns for securities purchased on margin do not include the effects of leverage. Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Considering these exclusions, overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.



**Understanding Your Statement (continued)**

California State Athletic Comm Account No. 56658065

**Raymond James Bank Deposit Program with Client Interest Program** - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at [fdic.gov](http://fdic.gov). Any cash balances exceeding available FDIC coverage will be directed to the Client Interest Program (CIP), which is a short-term cash sweep program for funds awaiting investment. CIP funds are, by regulation, required to be placed in overnight repurchase agreements that are fully collateralized by U.S. Treasury securities and/or deposited in qualifying trust accounts with major U.S. banks. CIP balances are included in the coverage provided by the Securities Investor Protection Corporation (SIPC) and excess SIPC.

The Raymond James Bank Deposit Program and/or Client Interest Program rate(s) displayed in the Cash & Cash Alternatives section of your statement are the established rates for the last business day of the reported month. Estimated Annual Income is calculated using these rates and, therefore, is solely an estimated value for the month and may not reflect your actual income.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJBDP participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about Raymond James' cash sweep programs, including the current Bank Deposit Program Priority Lists, is available at [raymondjames.com/cash\\_sweep.htm](http://raymondjames.com/cash_sweep.htm).

**Estimated Annual Income and Estimated Income Yield** - The Estimated Annual Income (EAI) and Estimated Income Yield (EY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. EAI and EY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

**Pricing** - While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor evaluations. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities is obtained from a variety of sources, which may include issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

**Asset Allocation Analysis** - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See [raymondjames.com/asset\\_allocation/faq](http://raymondjames.com/asset_allocation/faq) to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.



### Cash & Cash Alternatives

California State Athletic Comm Account No. 56658065

#### Raymond James Bank Deposit Program †

Description	(Symbol)	Value	Est. Income Yield	Est. Annual Income
<b>Raymond James Bank Deposit Program †</b>			0.04%	\$60.07
Raymond James Bank N.A.		\$89,836.19		
American Express Centurion		\$46,254.26		
Regions Bank		\$13,317.02		
American Express Bank FSB		\$781.92		
<b>Raymond James Bank Deposit Program Total</b>		<b>\$150,189.39</b>		<b>\$60.07</b>

Your bank priority state: CA

Participating banks recently added: GE Capital Retail Bank, added on 07/20/2012; Glacier Bank, added on 05/01/2012; Regions Bank, added on 04/09/2012

<b>Cash &amp; Cash Alternatives Total</b>	<b>\$150,189.39</b>	<b>\$60.07</b>
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†Please See the Raymond James Bank Deposit Program on the Understanding Your Statement page.

### Mutual Funds

#### Closed-End Funds

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
ISHARES TR BARCLY USAGG B (AGG)		16,962.000		\$102.397	\$1,736,859.70	\$112.590	<b>\$1,909,751.58</b>	2.48%	\$47,408.79	\$172,891.88
LOT 1		12,600.000	10/25/2007	\$101.130	\$1,274,238.00	\$112.590	\$1,418,634.00	2.48%	\$35,217.00	\$144,396.00
LOT 2		3,062.000	10/29/2009	\$104.530	\$320,070.86	\$112.590	\$344,750.58	2.48%	\$8,558.29	\$24,679.72
LOT 3		361.000	11/01/2010	\$108.380	\$39,125.18	\$112.590	\$40,644.99	2.48%	\$1,009.00	\$1,519.81
LOT 4		699.000	10/31/2011	\$109.992	\$76,884.06	\$112.590	\$78,700.41	2.48%	\$1,953.71	\$1,816.35
LOT 5		240.000	03/06/2012	\$110.590	\$26,541.60	\$112.590	\$27,021.60	2.48%	\$670.80	\$480.00
ISHARES TR BARCLYS 1-3 YR (SHY)		2,418.000		\$83.905	\$202,861.79	\$84.530	<b>\$204,393.54</b>	0.56%	\$1,146.13	\$1,511.75
LOT 1		835.000	01/11/2010	\$83.296	\$69,551.91	\$84.530	\$70,582.55	0.56%	\$395.71	\$1,030.64

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### Mutual Funds (continued)

#### Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	125.000	11/01/2010	\$84.440	\$10,555.00	\$84.530	\$10,566.25	0.56%	\$59.24	\$11.25
LOT 3	727.000	03/07/2011	\$83.890	\$60,988.03	\$84.530	\$61,453.31	0.56%	\$344.53	\$465.28
LOT 4	654.000	10/31/2011	\$84.538	\$55,288.05	\$84.530	\$55,282.62	0.56%	\$309.93	\$(5.43)
LOT 5	77.000	03/06/2012	\$84.400	\$6,498.80	\$84.530	\$6,508.81	0.56%	\$36.49	\$10.01
<b>ISHARES TR MSCI EAFE INDEX (EFA)</b>	<b>6,252.000</b>		<b>\$54.995</b>	<b>\$343,828.18</b>	<b>\$50.000</b>	<b>\$312,600.00</b>	<b>3.44%</b>	<b>\$10,740.94</b>	<b>\$(31,228.18)</b>
LOT 1	1,941.000	10/25/2007	\$63.250	\$161,588.25	\$50.000	\$97,050.00	3.44%	\$3,334.64	\$(64,538.25)
LOT 2	339.000	07/28/2008	\$66.834	\$22,656.56	\$50.000	\$16,950.00	3.44%	\$582.40	\$(5,706.56)
LOT 3	2,655.000	10/27/2008	\$38.259	\$101,576.32	\$50.000	\$132,750.00	3.44%	\$4,561.29	\$31,173.68
LOT 4	740.000	03/16/2009	\$35.646	\$26,378.26	\$50.000	\$37,000.00	3.44%	\$1,271.32	\$10,621.74
LOT 5	277.000	11/01/2010	\$57.120	\$15,822.24	\$50.000	\$13,850.00	3.44%	\$475.89	\$(1,972.24)
LOT 6	300.000	03/06/2012	\$52.689	\$15,806.55	\$50.000	\$15,000.00	3.44%	\$515.40	\$(806.55)
<b>ISHARES TR RUSSELL1000VAL (IWD)</b>	<b>5,269.000</b>		<b>\$49.673</b>	<b>\$261,729.18</b>	<b>\$68.810</b>	<b>\$362,559.89</b>	<b>2.21%</b>	<b>\$7,998.34</b>	<b>\$100,830.71</b>
LOT 1	970.000	10/25/2007	\$64.500	\$81,965.00	\$68.810	\$66,745.70	2.21%	\$1,472.36	\$(15,219.30)
LOT 2	1,241.000	10/27/2008	\$47.569	\$59,032.51	\$68.810	\$85,393.21	2.21%	\$1,883.71	\$26,360.70
LOT 3	3,058.000	03/16/2009	\$39.481	\$120,731.67	\$68.810	\$210,420.98	2.21%	\$4,641.74	\$89,689.31
<b>ISHARES TR RUSSELL1000GRW (IWF)</b>	<b>5,625.000</b>		<b>\$40.330</b>	<b>\$226,857.98</b>	<b>\$63.930</b>	<b>\$359,606.25</b>	<b>1.28%</b>	<b>\$4,618.13</b>	<b>\$132,748.27</b>
LOT 1	1,211.000	10/25/2007	\$62.200	\$75,324.20	\$63.930	\$77,419.23	1.28%	\$994.23	\$2,095.03
LOT 2	1,606.000	10/27/2008	\$35.166	\$56,477.08	\$63.930	\$102,671.58	1.28%	\$1,318.53	\$46,194.50
LOT 3	2,808.000	03/16/2009	\$33.852	\$95,056.70	\$63.930	\$179,515.44	1.28%	\$2,305.37	\$84,458.74
<b>ISHARES TR RUSSELL 2000 (IWM)</b>	<b>1,636.000</b>		<b>\$50.826</b>	<b>\$83,151.77</b>	<b>\$78.350</b>	<b>\$128,180.60</b>	<b>1.58%</b>	<b>\$2,025.37</b>	<b>\$45,028.83</b>
LOT 1	360.000	10/25/2007	\$80.990	\$29,156.40	\$78.350	\$28,206.00	1.58%	\$445.68	\$(950.40)



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### Mutual Funds (continued)

#### Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	491.000	10/27/2008	\$46.500	\$22,831.50	\$78.350	\$38,469.85	1.58%	\$607.86	\$15,638.35
LOT 3	785.000	03/16/2009	\$39.699	\$31,163.87	\$78.350	\$61,504.75	1.58%	\$971.83	\$30,340.88
ISHARES TR JPMORGAN USD (EMB)	2,706.000		\$108.585	\$293,831.41	\$118.550	<b>\$320,796.30</b>	4.43%	\$14,206.50	\$26,964.89
LOT 1	532.000	03/07/2011	\$106.000	\$56,391.89	\$118.550	\$63,068.60	4.43%	\$2,793.00	\$6,676.71
LOT 2	1,212.000	03/08/2011	\$106.248	\$128,773.18	\$118.550	\$143,682.60	4.43%	\$6,363.00	\$14,909.42
LOT 3	54.000	03/06/2012	\$112.770	\$6,089.58	\$118.550	\$6,401.70	4.43%	\$283.50	\$312.12
LOT 4	908.000	04/16/2012	\$112.970	\$102,576.76	\$118.550	\$107,643.40	4.43%	\$4,767.00	\$5,066.64
ISHARES TR BARCLYS 1-3YR CR (CSJ)	1,944.000		\$104.604	\$203,350.35	\$105.270	<b>\$204,644.88</b>	1.68%	\$3,436.99	\$1,294.53
LOT 1	668.000	01/11/2010	\$104.463	\$69,781.42	\$105.270	\$70,320.36	1.68%	\$1,180.96	\$538.94
LOT 2	114.000	11/01/2010	\$105.130	\$11,984.82	\$105.270	\$12,000.78	1.68%	\$201.54	\$15.96
LOT 3	580.000	03/07/2011	\$104.665	\$60,705.87	\$105.270	\$61,056.60	1.68%	\$1,025.38	\$350.73
LOT 4	134.000	10/31/2011	\$104.626	\$14,019.82	\$105.270	\$14,106.18	1.68%	\$236.90	\$86.36
LOT 5	346.000	11/01/2011	\$104.458	\$36,142.30	\$105.270	\$36,423.42	1.68%	\$611.69	\$281.12
LOT 6	102.000	03/06/2012	\$105.060	\$10,716.12	\$105.270	\$10,737.54	1.68%	\$180.33	\$21.42
SPDR BARCLAYS CAPITAL HIGH YIELD BOND (JNK)	7,607.000		\$37.950	\$288,684.98	\$39.880	<b>\$303,367.16</b>	7.15%	\$21,687.56	\$14,682.18
LOT 1	4,000.000	07/28/2008	\$43.343	\$173,370.40	\$39.880	\$159,520.00	7.15%	\$11,404.00	\$(13,850.40)
LOT 2	2,105.000	10/27/2008	\$31.750	\$66,833.33	\$39.880	\$83,947.40	7.15%	\$6,001.36	\$17,114.07
LOT 3	1,023.000	03/16/2009	\$28.404	\$29,057.80	\$39.880	\$40,797.24	7.15%	\$2,916.57	\$11,739.44
LOT 4	479.000	11/01/2010	\$40.550	\$19,423.45	\$39.880	\$19,102.52	7.15%	\$1,365.63	\$(320.93)
SPDR BARCLAYS CAPITAL INTL TREASURY BOND (BWX)	3,393.000		\$55.894	\$189,647.30	\$59.810	<b>\$202,935.33</b>	3.84%	\$7,790.33	\$13,288.03
LOT 1	1,941.000	07/28/2008	\$55.731	\$108,173.47	\$59.810	\$116,091.21	3.84%	\$4,456.54	\$7,917.74

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## Mutual Funds (continued)

### Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	570.000	03/16/2009	\$50.035	\$28,519.78	\$59.810	\$34,091.70	3.84%	\$1,308.72	\$5,571.92
LOT 3	281.000	10/29/2009	\$58.180	\$16,348.58	\$59.810	\$16,806.61	3.84%	\$645.16	\$458.03
LOT 4	401.000	11/01/2010	\$61.470	\$24,649.47	\$59.810	\$23,983.81	3.84%	\$920.70	\$(665.66)
LOT 5	200.000	03/06/2012	\$59.780	\$11,956.00	\$59.810	\$11,962.00	3.84%	\$459.20	\$6.00
SPDR BARCLAYS CAPITAL AGGREGATE BOND (LAG)	6,117.000	04/16/2012	\$58.250	\$356,315.25	\$59.290	<b>\$362,676.93</b>	2.22%	\$8,062.21	\$6,361.68
SPDR SERIES TRUST S&P DIVID ETF (SDY)	3,668.000	03/07/2011	\$53.458	\$196,083.21	\$56.469	<b>\$207,128.29</b>	3.17%	\$6,573.06	\$11,045.08
SPDR S&P MIDCAP 400 ETF TRUST (MDY)	875.000		\$92.325	\$80,784.34	\$171.260	<b>\$149,852.50</b>	0.99%	\$1,483.13	\$69,068.16
LOT 1	72.000	10/25/2007	\$161.400	\$11,620.80	\$171.260	\$12,330.72	0.99%	\$122.04	\$709.92
LOT 2	291.000	10/27/2008	\$90.206	\$26,249.95	\$171.260	\$49,836.66	0.99%	\$493.25	\$23,586.71
LOT 3	512.000	03/16/2009	\$83.816	\$42,913.59	\$171.260	\$87,685.12	0.99%	\$867.84	\$44,771.53
<b>Closed-End Funds Total</b>				<b>\$4,464,005.44</b>		<b>\$5,028,493.25</b>	<b>2.73%</b>	<b>\$137,177.48</b>	<b>\$564,487.81</b>
<b>Mutual Funds Total</b>				<b>\$4,464,005.44</b>		<b>\$5,028,493.25</b>	<b>2.73%</b>	<b>\$137,177.48</b>	<b>\$564,487.81</b>



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### Activity Summary

Income			Expenses			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Capital Gains - 2011	\$0.00	\$2,008.86	Fees	\$(4,987.03)	\$(14,688.80)	Purchases	\$0.00	\$(536,500.66)
Dividends	\$12,260.28	\$66,850.59	<b>Total Expenses</b>	<b>\$(4,987.03)</b>	<b>\$(14,688.80)</b>	<b>Total Purchases</b>	<b>\$0.00</b>	<b>\$(536,500.66)</b>
Dividends/Interest - 2011	\$0.00	\$4,296.73						
Interest at RJ Bank Deposit Program	\$5.09	\$29.00						
<b>Total Income</b>	<b>\$12,265.37</b>	<b>\$73,185.18</b>						

Sales / Redemptions		
Type	This Statement	Year to Date
Sales	\$0.00	\$519,589.19
<b>Total Sales/Redemptions</b>	<b>\$0.00</b>	<b>\$519,589.19</b>

Cash Sweep Transfers	
Type	This Statement
Transfers From	\$4,987.03
Transfers To	\$(12,260.26)
<b>Net Transfers</b>	<b>\$(7,273.25)</b>

### Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			<b>Beginning Balance</b>				\$0.00	\$142,911.05 RJ Bank Deposit Program Balance
07/02/2012	Income	Dividend	ISHARES TR RUSSELL1000GRW (IWF)			\$1,244.31	\$1,244.31	\$ 22121 per share x 5,625.000 shares
07/02/2012	Income	Dividend	ISHARES TR RUSSELL1000VAL (IWD)			\$1,990.10	\$3,234.41	\$ 37770 per share x 5,269.000 shares
07/02/2012	Income	Dividend	ISHARES TR RUSSELL 2000 (IWM)			\$617.05	\$3,851.46	\$ 37717 per share x 1,636.000 shares

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# RAYMOND JAMES®

**Your Activity (continued)**  
 California State Athletic Comm Account No. 56658065

## Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
07/02/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(3,851.46)	\$0.00	\$146,762.51 RJ Bank Deposit Program Balance
07/09/2012	Income	Dividend	ISHARES TR BARCLYS 1-3YR CR (CSJ)			\$274.05	\$274.05	\$14097 per share x 1,944,000 shares
07/09/2012	Income	Dividend	ISHARES TR JPMORGAN USD (EMB)			\$1,208.93	\$1,482.98	\$44676 per share x 2,706,000 shares
07/09/2012	Income	Dividend	ISHARES TR BARCLYS 1-3 YR (SHY)			\$63.18	\$1,546.16	\$02613 per share x 2,418,000 shares
07/09/2012	Income	Dividend	ISHARES TR BARCLY USAGG B (AGG)			\$3,786.60	\$5,332.76	\$22324 per share x 16,962,000 shares
07/09/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(5,332.76)	\$0.00	\$152,095.27 RJ Bank Deposit Program Balance
07/11/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(2,629.68)	\$(2,629.68)	\$154,724.95 RJ Bank Deposit Program Balance
07/11/2012	Income	Dividend	SPDR BARCLAYS CAPITAL AGGREGATE BOND (LAG)			\$514.75	\$(2,114.93)	\$08415 per share x 6,117,000 shares
07/11/2012	Income	Dividend	SPDR BARCLAYS CAPITAL INTL TREASURY BOND (BWX)			\$322.34	\$(1,792.59)	\$09500 per share x 3,393,000 shares
07/11/2012	Income	Dividend	SPDR BARCLAYS CAPITAL HIGH YIELD BOND (JNK)			\$1,792.59	\$0.00	\$23565 per share x 7,607,000 shares
07/18/2012	Expense	Fee	Cash			\$(4,987.03)	\$(4,987.03)	3Q Fees for 092/366 Days at 0.39% on \$5,119,919.77
07/18/2012	Cash Sweep	Transfer From	Raymond James Bank Deposit Program			\$4,987.03	\$0.00	\$149,737.92 RJ Bank Deposit Program Balance

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# RAYMOND JAMES®

## Your Activity (continued)

California State Athletic Comm Account No. 56658065

### Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
07/31/2012	Income	Dividend	SPDR S&P MIDCAP 400 ETF TRUST (MDY)			\$446.38	\$446.38	\$51015 per share x 875.000 shares
07/31/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(446.38)	\$0.00	\$150,184.30 RJ Bank Deposit Program Balance
07/31/2012	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$5.09	\$0.00	\$150,189.39 RJ Bank Deposit Program Balance

### Cash Sweep Activity Recap

#### RJ Bank Deposit Program

Date	Activity Type	Amount	Balance	Date	Activity Type	Amount	Balance
06/29/2012	Beginning Balance		\$142,911.05				
07/02/2012	Transfer To	\$3,851.46	\$146,762.51	07/18/2012	Transfer From	\$(4,987.03)	\$149,737.92
07/09/2012	Transfer To	\$5,332.76	\$152,095.27	07/31/2012	Transfer To	\$446.38	\$150,184.30
07/11/2012	Transfer To	\$2,629.68	\$154,724.95	07/31/2012	Interest at RJ Bank Deposit Program	\$5.09	\$150,189.39



# RAYMOND JAMES®

## California State Athletic Comm Account Summary

Account No. **56658065**

Closing Value **\$5,231,785.07**

GEORGE DODD TTEE  
U/A DTD JUL 1, 1981  
CALIFORNIA STATE ATHLETIC COMM  
FBO PROF BOXERS P/PL  
2005 EVERGREEN ST STE 2010  
SACRAMENTO CA 95815-3897104

CYRIL SHAH  
Raymond James Financial Services, Inc.  
RAYMOND JAMES FINANCIAL SVCS | 555 UNIVERSITY AVENUE | SUITE 120 | SACRAMENTO, CA  
95825 | (916) 448-3754  
raymondjames.com/theshahgroup | Cyril.Shah@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378)  
Monday - Friday 8 a.m. to 6 p.m. ET  
Online Account Access | raymondjames.com/investoraccess

Statement Copies to: BETH HARRINGTON, JOHN FRIERSON

### Investment Objectives

**Primary:** Growth with a medium risk tolerance and a time horizon exceeding 10 years.

**Secondary:** Growth with a high risk tolerance and a time horizon exceeding 10 years.

### Activity

	This Statement		Year to Date	
Beginning Balance	\$	5,178,682.64	\$	4,935,773.86
Deposits	\$	0.00	\$	0.00
Income	\$	7,853.25	\$	81,038.43
Withdrawals	\$	0.00	\$	0.00
Expenses	\$	0.00	\$	(14,688.80)
Change in Value	\$	45,249.18	\$	229,661.58
Ending Balance	\$	5,231,785.07	\$	5,231,785.07
Purchases	\$	0.00	\$	(536,500.66)
Sales/Redemptions	\$	0.00	\$	519,589.19

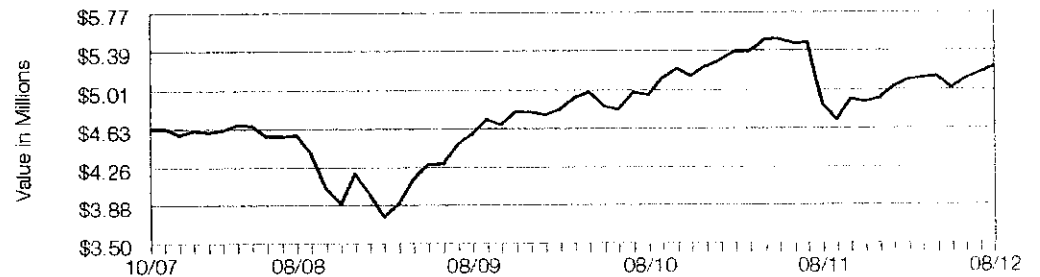
### Time-Weighted Performance

See Understanding Your Statement for important information about these calculations.

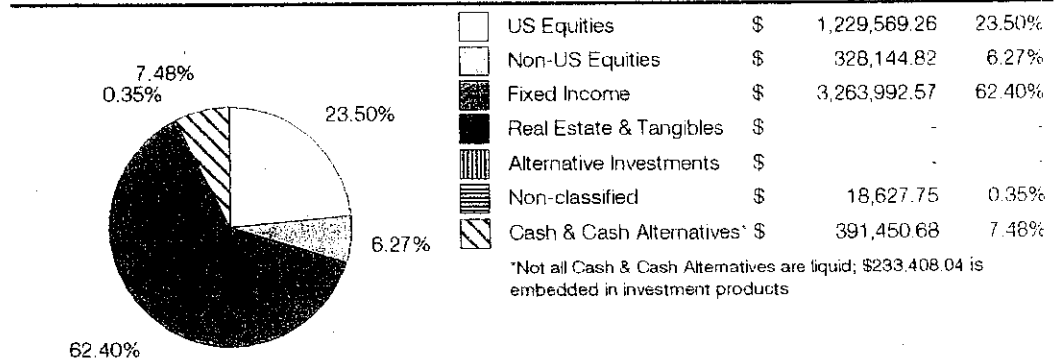
Performance Inception	YTD	2011	2010
10/24/07	5.96%	3.54%	9.23%

Excludes some limited partnerships and unpriced securities. Annuity and RJ Bank CD performance may not be all inclusive.

### Value Over Time



### Asset Allocation Analysis



Morningstar asset allocation information is as of 08/30/2012 (mutual funds & annuities) and 08/17/2012 (529s).



Raymond James & Associates, Inc. (RJ&A), member New York Stock Exchange/SIPC, carries your account and acts as custodian for funds and securities deposited with us, directly by you, through Raymond James Financial Services, Inc. (RJFS), or as a result of transactions we process for your account. RJFS maintains written agreements with financial institutions. Unless otherwise specified, products purchased through RJFS or held at RJ&A are not insured by the FDIC, NCUA, other financial institution insurance or government agencies, are not deposits or other obligations of and are not guaranteed by the financial institution, and are subject to investment risks, including possible loss of principal invested. Our independent financial advisors may also be involved in other business entities, including their own registered investment advisor firms and/or independent insurance relationships, unrelated to their association with RJFS. These entities are completely independent of Raymond James. RJFS serves as the broker/dealer of record for your investment securities accounts only. Checks for the purchase of investment securities should be made payable to Raymond James & Associates unless you are submitting your investment directly to a recognized mutual fund or insurance company. Information about commissions, service fees and other charges related to your transactions is included on your transaction confirmations. All financial products you have purchased or sold through your Raymond James financial advisor should appear on a trade confirmation and your account statements. Please contact your financial advisor and Raymond James Client Services at 800-647-7378 if you do not see any such purchase or sale reported on your trade confirmation or account statements; if you have questions about the securities positions, balances and transactions in your account; or if you note any other inaccuracy on your account statement. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act. Raymond James' financial statement is available for your inspection at its offices or at raymondjames.com, or a copy will be mailed upon your written request. All other inquiries, including updates to your investment profile and questions about the following information regarding the investments held in your account, should be directed to your financial advisor.

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**Cost Basis** - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations.

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

Unrealized gains or losses are not calculated for depreciated gifted securities, referred to as dual basis, indicated by a "d." Both Total Cost and Gift FMV exist, as the actual gain/loss cannot be determined until the position is sold.

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various".

**FINRA Disclosure** - For additional background information on any firm or representative registered with the Financial Industry Regulatory Authority (FINRA), please contact FINRA at 800-289-9999 or finra.org and request the public disclosure program brochure.

**Time Weighted Performance Reporting** - The time-weighted performance results represented in this statement provide a measure of investment manager performance. Performance returns for securities purchased on margin do not include the effects of leverage. Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Considering these exclusions, overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.

## Understanding Your Statement (continued)

California State Athletic Comm Account No. 56658065

**Raymond James Bank Deposit Program with Client Interest Program** - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at [fdic.gov](http://fdic.gov). Any cash balances exceeding available FDIC coverage will be directed to the Client Interest Program (CIP), which is a short-term cash sweep program for funds awaiting investment. CIP funds are, by regulation, required to be placed in overnight repurchase agreements that are fully collateralized by U.S. Treasury securities and/or deposited in qualifying trust accounts with major U.S. banks. CIP balances are included in the coverage provided by the Securities Investor Protection Corporation (SIPC) and excess SIPC.

The Raymond James Bank Deposit Program and/or Client Interest Program rate(s) displayed in the Cash & Cash Alternatives section of your statement are the established rates for the last business day of the reported month. Estimated Annual Income is calculated using these rates and, therefore, is solely an estimated value for the month and may not reflect your actual income.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJD/P participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about Raymond James' cash sweep programs, including the current Bank Deposit Program Priority Lists, is available at [raymondjames.com/cash\\_sweep.htm](http://raymondjames.com/cash_sweep.htm).

**Estimated Annual Income and Estimated Income Yield** - The Estimated Annual Income (EAI) and Estimated Income Yield (EY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. EAI and EY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

**Pricing** - While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor evaluations. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities is obtained from a variety of sources, which may include issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

**Asset Allocation Analysis** - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See [raymondjames.com/asset\\_allocation/faq](http://raymondjames.com/asset_allocation/faq) to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.



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# RAYMOND JAMES®

## Your Portfolio

### Cash & Cash Alternatives

California State Athletic Comm Account No. 56658065

#### Raymond James Bank Deposit Program †

Description	(Symbol)	Value	Est. Income Yield	Est. Annual Income
<b>Raymond James Bank Deposit Program †</b>			0.04%	\$63.21
Raymond James Bank N.A.		\$89,839.24		
American Express Centurion		\$54,103.99		
Regions Bank		\$13,317.47		
American Express Bank FSB		\$781.94		
<b>Raymond James Bank Deposit Program Total</b>		<b>\$158,042.64</b>		<b>\$63.21</b>

Your bank priority state: CA

Participating banks recently added: GE Capital Retail Bank, added on 07/20/2012; Glacier Bank, added on 05/01/2012

<b>Cash &amp; Cash Alternatives Total</b>	<b>\$158,042.64</b>	<b>\$63.21</b>
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†Please See the Raymond James Bank Deposit Program on the Understanding Your Statement page.

### Mutual Funds

#### Closed-End Funds

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
ISHARES TR BARCLY USAGG B (AGG)		16,962.000		\$102.397	\$1,736,859.70	\$112.370	<b>\$1,906,019.94</b>	2.42%	\$46,051.83	\$169,160.24
LOT 1		12,600.000	10/25/2007	\$101.130	\$1,274,238.00	\$112.370	\$1,415,862.00	2.42%	\$34,209.00	\$141,624.00
LOT 2		3,062.000	10/29/2009	\$104.530	\$320,070.86	\$112.370	\$344,076.94	2.42%	\$8,313.33	\$24,006.08
LOT 3		361.000	11/01/2010	\$108.380	\$39,125.18	\$112.370	\$40,565.57	2.42%	\$980.12	\$1,440.39
LOT 4		699.000	10/31/2011	\$109.992	\$76,884.06	\$112.370	\$78,546.63	2.42%	\$1,897.79	\$1,662.57
LOT 5		240.000	03/06/2012	\$110.590	\$26,541.60	\$112.370	\$26,968.80	2.42%	\$651.60	\$427.20
ISHARES TR BARCLYS 1-3 YR (SHY)		2,418.000		\$83.905	\$202,881.79	\$84.510	<b>\$204,345.18</b>	0.52%	\$1,061.50	\$1,463.39
LOT 1		835.000	01/11/2010	\$83.296	\$69,551.91	\$84.510	\$70,565.85	0.52%	\$366.48	\$1,013.94

## Mutual Funds (continued)

## Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	125.000	11/01/2010	\$84.440	\$10,555.00	\$84.510	\$10,563.75	0.52%	\$54.86	\$8.75
LOT 3	727.000	03/07/2011	\$83.890	\$60,988.03	\$84.510	\$61,438.77	0.52%	\$319.08	\$450.74
LOT 4	654.000	10/31/2011	\$84.538	\$55,288.05	\$84.510	\$55,269.54	0.52%	\$287.04	\$(18.51)
LOT 5	77.000	03/06/2012	\$84.400	\$6,498.80	\$84.510	\$6,507.27	0.52%	\$33.80	\$8.47
ISHARES TR MSCI EAFE INDEX (EFA)	6,252.000		\$54.995	\$343,828.18	\$51.600	\$322,603.20	3.33%	\$10,740.94	\$(21,224.98)
LOT 1	1,941.000	10/25/2007	\$83.250	\$161,588.25	\$51.600	\$100,155.60	3.33%	\$3,334.64	\$(61,432.65)
LOT 2	339.000	07/28/2008	\$66.834	\$22,656.56	\$51.600	\$17,492.40	3.33%	\$582.40	\$(5,164.16)
LOT 3	2,655.000	10/27/2008	\$38.259	\$101,576.32	\$51.600	\$136,998.00	3.33%	\$4,561.29	\$35,421.68
LOT 4	740.000	03/16/2009	\$35.646	\$26,378.26	\$51.600	\$38,184.00	3.33%	\$1,271.32	\$11,805.74
LOT 5	277.000	11/01/2010	\$57.120	\$15,822.24	\$51.600	\$14,293.20	3.33%	\$475.89	\$(1,529.04)
LOT 6	300.000	03/06/2012	\$52.689	\$15,806.55	\$51.600	\$15,480.00	3.33%	\$515.40	\$(326.55)
ISHARES TR RUSSELL1000VAL (IWD)	5,269.000		\$49.673	\$261,729.18	\$70.380	\$370,832.22	2.16%	\$7,998.34	\$109,103.04
LOT 1	970.000	10/25/2007	\$84.500	\$81,965.00	\$70.380	\$68,268.60	2.16%	\$1,472.36	\$(13,696.40)
LOT 2	1,241.000	10/27/2008	\$47.569	\$59,032.51	\$70.380	\$87,341.58	2.16%	\$1,883.71	\$28,309.07
LOT 3	3,058.000	03/16/2009	\$39.481	\$120,731.67	\$70.380	\$215,222.04	2.16%	\$4,641.74	\$94,490.37
ISHARES TR RUSSELL1000GRW (IWF)	5,625.000		\$40.330	\$226,857.98	\$66.010	\$371,306.25	1.24%	\$4,618.13	\$144,448.27
LOT 1	1,211.000	10/25/2007	\$62.200	\$75,324.20	\$66.010	\$79,938.11	1.24%	\$994.23	\$4,613.91
LOT 2	1,606.000	10/27/2008	\$35.166	\$56,477.08	\$66.010	\$106,012.06	1.24%	\$1,318.53	\$49,534.98
LOT 3	2,808.000	03/16/2009	\$33.852	\$95,056.70	\$66.010	\$185,356.08	1.24%	\$2,305.37	\$90,299.38
ISHARES TR RUSSELL 2000 (IWM)	1,636.000		\$50.826	\$83,151.77	\$81.120	\$132,712.32	1.53%	\$2,025.37	\$49,560.55
LOT 1	360.000	10/25/2007	\$80.990	\$29,156.40	\$81.120	\$29,203.20	1.53%	\$445.68	\$46.80



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## Mutual Funds (continued)

## Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	491.000	10/27/2008	\$46.500	\$22,831.50	\$81.120	\$39,829.92	1.53%	\$607.86	\$16,998.42
LOT 3	785.000	03/16/2009	\$39.699	\$31,163.87	\$81.120	\$63,679.20	1.53%	\$971.83	\$32,515.33
ISHARES TR JPMORGAN USD (EMB)	2,706.000		\$108.585	\$293,831.41	\$119.560	<b>\$323,529.36</b>	4.41%	\$14,279.56	\$29,697.95
LOT 1	532.000	03/07/2011	\$106.000	\$56,391.89	\$119.560	\$63,605.92	4.41%	\$2,807.31	\$7,214.03
LOT 2	1,212.000	03/08/2011	\$106.248	\$128,773.18	\$119.560	\$144,906.72	4.41%	\$6,395.60	\$16,133.54
LOT 3	54.000	03/06/2012	\$112.770	\$6,089.58	\$119.560	\$6,456.24	4.41%	\$284.95	\$366.66
LOT 4	908.000	04/16/2012	\$112.970	\$102,576.76	\$119.560	\$108,560.48	4.41%	\$4,791.43	\$5,983.72
ISHARES TR BARCLYS 1-3YR CR (CSJ)	1,944.000		\$104.604	\$203,350.35	\$105.510	<b>\$205,111.44</b>	1.65%	\$3,390.34	\$1,761.09
LOT 1	668.000	01/11/2010	\$104.463	\$69,781.42	\$105.510	\$70,480.66	1.65%	\$1,164.99	\$699.26
LOT 2	114.000	11/01/2010	\$105.130	\$11,984.82	\$105.510	\$12,028.14	1.65%	\$198.82	\$43.32
LOT 3	580.000	03/07/2011	\$104.665	\$60,705.87	\$105.510	\$61,195.80	1.65%	\$1,011.52	\$489.93
LOT 4	134.000	10/31/2011	\$104.626	\$14,019.82	\$105.510	\$14,138.34	1.65%	\$233.70	\$118.52
LOT 5	346.000	11/01/2011	\$104.458	\$36,142.30	\$105.510	\$36,506.46	1.65%	\$603.42	\$364.16
LOT 6	102.000	03/06/2012	\$105.060	\$10,716.12	\$105.510	\$10,762.02	1.65%	\$177.89	\$45.90
SPDR BARCLAYS CAPITAL HIGH YIELD BOND (JNK)	7,607.000		\$37.950	\$288,684.98	\$40.140	<b>\$305,344.98</b>	7.06%	\$21,543.02	\$16,660.00
LOT 1	4,000.000	07/28/2008	\$43.343	\$173,370.40	\$40.140	\$160,560.00	7.06%	\$11,327.60	\$(12,810.40)
LOT 2	2,105.000	10/27/2008	\$31.750	\$66,833.33	\$40.140	\$84,494.70	7.06%	\$5,961.15	\$17,661.37
LOT 3	1,023.000	03/16/2009	\$28.404	\$29,057.80	\$40.140	\$41,063.22	7.06%	\$2,897.03	\$12,005.42
LOT 4	479.000	11/01/2010	\$40.550	\$19,423.45	\$40.140	\$19,227.06	7.06%	\$1,356.48	\$(196.39)
SPDR BARCLAYS CAPITAL INTL TREASURY BOND (BWX)	3,393.000		\$55.894	\$189,647.30	\$60.450	<b>\$205,106.85</b>	3.61%	\$7,403.53	\$15,459.55
LOT 1	1,941.000	07/28/2008	\$55.731	\$108,173.47	\$60.450	\$117,333.45	3.61%	\$4,235.26	\$9,159.98

## Mutual Funds (continued)

## Closed-End Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss)
LOT 2	570.000	03/16/2009	\$50.035	\$28,519.78	\$60.450	\$34,456.50	3.61%	\$1,243.74	\$5,936.72
LOT 3	281.000	10/29/2009	\$58.180	\$16,348.58	\$60.450	\$16,986.45	3.61%	\$613.14	\$637.87
LOT 4	401.000	11/01/2010	\$61.470	\$24,649.47	\$60.450	\$24,240.45	3.61%	\$874.98	\$(409.02)
LOT 5	200.000	03/06/2012	\$59.780	\$11,956.00	\$60.450	\$12,090.00	3.61%	\$436.40	\$134.00
SPDR BARCLAYS CAPITAL AGGREGATE BOND (LAG)	6,117.000	04/16/2012	\$58.250	\$356,315.25	\$59.180	\$362,004.06	2.16%	\$7,835.88	\$5,688.81
SPDR SERIES TRUST S&P DIVID ETF (SDY)	3,668.000	03/07/2011	\$53.458	\$196,083.21	\$57.160	\$209,662.88	3.14%	\$6,573.06	\$13,579.67
SPDR S&P MIDCAP 400 ETF TRUST (MDY)	875.000		\$92.325	\$80,764.34	\$177.330	\$155,163.75	0.96%	\$1,483.13	\$74,379.41
LOT 1	72.000	10/25/2007	\$161.400	\$11,620.80	\$177.330	\$12,767.76	0.96%	\$122.04	\$1,146.96
LOT 2	291.000	10/27/2008	\$90.206	\$26,249.95	\$177.330	\$51,603.03	0.96%	\$493.25	\$25,353.08
LOT 3	512.000	03/16/2009	\$83.818	\$42,913.59	\$177.330	\$90,792.96	0.96%	\$867.84	\$47,879.37
<b>Closed-End Funds Total</b>				<b>\$4,464,005.44</b>		<b>\$5,073,742.43</b>	<b>2.66%</b>	<b>\$135,004.63</b>	<b>\$609,736.99</b>
<b>Mutual Funds Total</b>				<b>\$4,464,005.44</b>		<b>\$5,073,742.43</b>	<b>2.66%</b>	<b>\$135,004.63</b>	<b>\$609,736.99</b>



## Activity Summary

Income			Expenses			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Capital Gains - 2011	\$0.00	\$2,008.66	Fees	\$0.00	\$(14,688.80)	Purchases	\$0.00	\$(536,500.66)
Dividends	\$7,847.95	\$74,696.54	<b>Total Expenses</b>	<b>\$0.00</b>	<b>\$(14,688.80)</b>	<b>Total Purchases</b>	<b>\$0.00</b>	<b>\$(536,500.66)</b>
Dividends/Interest - 2011	\$0.00	\$4,296.73						
Interest at RJ Bank Deposit Program	\$5.30	\$34.30						
<b>Total Income</b>	<b>\$7,853.25</b>	<b>\$81,038.43</b>						

Sales / Redemptions		
Type	This Statement	Year to Date
Sales	\$0.00	\$519,589.19
<b>Total Sales/Redemptions</b>	<b>\$0.00</b>	<b>\$519,589.19</b>

Cash Sweep Transfers	
Type	This Statement
Transfers To	\$(7,847.95)
<b>Net Transfers</b>	<b>\$(7,847.95)</b>

## Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			<b>Beginning Balance</b>				\$0.00	\$150,189.39 RJ Bank Deposit Program Balance
08/07/2012	Income	Dividend	ISHARES TR BARCLYS 1-3YR CR (CSJ)			\$268.78	\$268.78	\$1.3826 per share x 1,944.000 shares
08/07/2012	Income	Dividend	ISHARES TR JPMORGAN USD (EMB)			\$1,208.96	\$1,477.74	\$4.4677 per share x 2,706.000 shares
08/07/2012	Income	Dividend	ISHARES TR BARCLYS 1-3 YR (SHY)			\$62.72	\$1,540.46	\$0.2594 per share x 2,418.000 shares



# RAYMOND JAMES®

**Your Activity (continued)**  
 California State Athletic Comm Account No. 56658065

## Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
08/07/2012	Income	Dividend	ISHARES TR BARCLY USAGG B (AGG)			\$3,733.17	\$5,273.63	\$22009 per share x 16,962.000 shares
08/07/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(5,273.63)	\$0.00	\$155,463.02 RJ Bank Deposit Program Balance
08/09/2012	Income	Dividend	SPDR BARCLAYS CAPITAL AGGREGATE BOND (LAG)			\$521.54	\$521.54	\$08526 per share x 6,117.000 shares
08/09/2012	Income	Dividend	SPDR BARCLAYS CAPITAL INTL TREASURY BOND (BWX)			\$305.98	\$827.52	\$09018 per share x 3,393.000 shares
08/09/2012	Income	Dividend	SPDR BARCLAYS CAPITAL HIGH YIELD BOND (JNK)			\$1,746.80	\$2,574.32	\$22963 per share x 7,607.000 shares
08/09/2012	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(2,574.32)	\$0.00	\$158,037.34 RJ Bank Deposit Program Balance
08/31/2012	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$5.30	\$0.00	\$158,042.64 RJ Bank Deposit Program Balance

## Cash Sweep Activity Recap

### RJ Bank Deposit Program

Date	Activity Type	Amount	Balance
07/31/2012	Beginning Balance		\$150,189.39
08/07/2012	Transfer To	\$5,273.63	\$155,463.02
08/09/2012	Transfer To	\$2,574.32	\$158,037.34

Date	Activity Type	Amount	Balance
08/31/2012	Interest at RJ Bank Deposit Program	\$5.30	\$158,042.64



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The following is important information related to your Raymond James accounts. If you have questions about this information, please contact your financial advisor or call Raymond James Client Services at 800-647-7378.

**Redesigned Trade Confirmation Coming This Fall** - The new, streamlined design of our trade confirmation will make it easier to read and understand. For added convenience, multiple trades done in one account on the same day will appear on one document, reducing the redundancy of information and potentially the number of envelopes you receive. We hope you'll enjoy these improvements and will find the updated format useful and informative. Take a tour of the redesigned trade confirmation at [raymondjames.com/confirmation.htm](http://raymondjames.com/confirmation.htm).



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Past Audit Issues:	Priority	Priority Reasons/Additional Notes:	Status	Contact	Actions Taken	Actions
<b>DCA - INTERNAL AUDITS - OPERATIONAL AUDIT 2002-107 - OCTOBER 2003</b>						
<b>1 The commission lacks a sound strategic planning process for measuring the effectiveness of its operations. (DCA 2002-107)</b>						
1.1	Low	Ensure plan has measurable performance goals and timelines.	Near Completion		2013-15 Strategic Plan nearly finished	Evaluate p
1.2	Low		Near Completion		Revised plan to be presented before the commissioners on 10/8/12.	Present to
1.3	Low	How will the commission measure progress?	Completed		Strategic plan will be looked at twice per year by the commission. (On agenda item.) Strategic Plan Binder Created.	None.
<b>2 The commission has a process in place to regulate professional boxing events, but needs to do more to improve many aspects of its operations. (DCA 2002-107)</b>						
2.1	Mod		Near Completion	CAI	The commission has developed a training module program for its inspectors and completed an inspector training manual.	Implemen
2.2	High	Current BSA Audit Objective 28.1, 29.1	Near Completion	EO	Spreadsheet always used in office but not always used in field due to lack of IT equipment for inspectors.	Obtain IT
2.3	High	Current BSA Audit Objective 29.1	Near Completion	CAI	The mechanism has been created but its use is not consistent.	Ensure m consistent balance th consisten inspector individual reconcile
2.4	Low	This is unreasonable - but we need to look at changing the requirements.	Near Completion	EO	The mechanisms have been created to ensure a reasonable time frame for collection but the 72 hour requirement is unreasonable.	Seek regu
2.5	Mod		Not Started	EO		
2.6	High	Current BSA Audit Objective 29. Fighter safety issue/concern.	Not Started	CAI	Fight Fax and Mixed Martial Arts.com databases are used. Lead inspector forwards suspensions at the end of each show is the formal process. During budget cutbacks, we allowed the lead inspectors to send suspension results to front office for further process. We have 48 hours after event to report to Fight Fax and the CAI is cc'd on the email.	Sometime suspensio the fighter reported to responsib suspensio before the field.

3	<p>The commission did not properly collect the fee for complimentary tickets. The commission is not applying the correct calculation for pension assessment. Inspectors used different calculations based on "used" versus "issued". (DCA 2002-107)</p>	High	<p>As of 2005, the commission stated they voted to change the way these fees and assessments were collected, but the changes were never incorporated in the applicable laws and regulations. Auditors stated the commission is mistaken when it contends the pension and neuro charges are based on attendance, not tickets issues.</p> <p>The commission misinterprets BPC section 18824 based on the gate tax not pension and neuro. CCR, Title 4, Division 2, Section 403(a) is clear on how pension assessments should be calculated. The commission incorrectly interpreted the applicability of working complimentary tickets. The commission should calculate the pension assessment on every ticket, according to CCR, Title 4, Division 2, Section 264, excluding working complimentary tickets, as required.</p>	Not Started	CAI		We need commission inform ath
4	<p>As of June 30, 2005, the commission had over \$901,000 in collected revenues that have not been properly deposited in the correct funds. As a result, its financial statements have been mistated. (DCA 2002-107)</p>	High	<p>Current BSA Audit Objective 29.1, 30, 30.2</p>	Near Completion	SSA	<p>We cashier our revenue into the appropriate accounts. Changes were made to the box office report and show payment balance sheets several years ago to better track funds.</p>	Add licens
<p><b>5 Show Package File Documentation Deficiencies: (DCA 2002-107)</b></p>							
5.1	<p>Lack of ticket manifests in files or if one was present, it was difficult to reconcile the tickets sold, deadwood and exempt passes to the commission's calculated revenues.</p>	High	<p>BSA Audit / financial condition</p> <p>All packets should be audited to make sure proper accounting was used. We propose that a line be added to Box Office Inspector's report under the signature: Audited by:</p>	Started		<p>Promoter check list updated to require manifest prior to event. OT staff directed to obtain manifest prior to event.</p>	Develop p
5.2	<p>Amounts payable (per Box Office Report) did not agree with amounts collected.</p>	High	<p>Current BSA Audit Objective 29.1</p>	Near Completion	EO	<p>Audit is being performed on every box office now to ensure the proper amount is collected. The box office report has been revised and needs to go through legal review.</p>	Legal Rev
5.3	<p>Illegible handwriting making it difficult to recalculate figures.</p>	High	<p>Current BSA Audit Objective 29.1</p>	Near Completion	EO	<p>Each form is reviewed and a new line has been added to the box office report requiring the printed name of the inspector for easy follow-up.</p>	Legal Rev
5.4	<p>No use of Excel Spreadsheets to ensure accurate calculations.</p>	High	<p>Current BSA Audit Objective 29.1</p>	Completed			
5.5	<p>Develop a post show results checklist.</p>	Mod		Not Started	CAI	<p>We do a post fight report. Recount of events that happened and who worked events.</p>	Check fin

Corrective Action Plan

5.6	Maintain a binder for fax confirmations.	Low		Completed		Faxes provided directly to applicable technician or filed in alphabetical files next to fax machine. Packet technicians regularly check alphabetical files.	
5.7	Create a letter to inform promoters of their payment responsibilities.	Low		Completed		Included in promoter's checklist. (Revised 2012)	
5.8	Determine whether licensing information can be loaded on laptops prior to events and develop the queries and report formats necessary to extract data.	Low		Not Started		Although this can be done, it has not been implemented due to the lack of IT hardware.	Procure IT
<b>6 The commission's licensing process could benefit from several improvements: (DCA 2002-6 107)</b>							
6.1	Establish written procedures to guide staff with licensing activities.	High		Started	EO	We started putting this together but need more.	Finish writing
6.2	Develop checklists for applicant files to ensure all official documentation is properly completed and retained in the files, and establish quality control reviews.	High		Not Started			
6.3	Adequately train inspectors to ensure they understand all licensing requirements.	Mod		Started		Initially completed in 2002, but will be enhanced once 6.1 and 6.2 are developed.	
6.4	Work with the DOJ to determine an "acceptable" time to submit No Longer Interested in Subsequent Arrest Notification Forms.	Low		Not Started			
<b>7 The commission needs to enhance its complaint handling processes. (DCA 2002-107)</b>							
7.1	Establish written procedures to guide staff with complaint handling processes.	Mod		Not Started	EO	Past Audit Finding Stated: The commission stated complaints are funneled through one staff, logged into a complaint binder and complaint-handling procedures had been written. However, the commission staff could not provide any proof that any of this happened.	
7.2	Monitor all complaints received to ensure proper documentation, accurate reporting, and timely resolution.	Mod		Not Started	EO		
7.3	Quality control reviews should be implemented to ensure complaints are handled properly and consistently.	Mod		Not Started	EO		
<b>8 The commission needs to explore ways to augment funding for its regulatory activities.</b>							
8.1	Seek a legal opinion regarding the possibility of requiring promoters to pay their fair share of taxes and assessments for events held on tribal lands (A staff attorney at the FTB stated restrictions on tribal taxation does not apply to promoters)	Low	What actions has the commission taken to address the taxation of events held on tribal lands?	Near Completion	EO	We believe an opinion established a flat fee and we need to locate it.	Locate an

Corrective Action Plan

8.2	Work with the department's Legislative and Regulatory Review Division on amending BPC Section 18711 to enable the Neuro Fund to be used to cover expenses associated with the mandated referee and physician training clinics.	Low	Given that a key purpose of these clinics is to enhance the recognition of serious life-threatening and neurological injuries, the amendment could be considered to align with the original intent of the law.	Not Started	EO	May be included in 2012-13 sunset review.	
8.3	Work with the combative sports industry, particular boxing, to identify ways to attract more "big-draw" venues to California.	High	New BSA Audit and points to our viability to sanction events and maintain a healthy balance sheet. See BSA Audit Objective 30.4	Not Started	EO		
9	<b>The commission's outdated information technology contributes to many of its inefficient operations.</b>						
9.1	A lack of computer literacy among many of the commission staff hinders its ability to take advantage of modern technology.	Low	New BreEZe and training required in the future.	Completed			
9.2	Work with the department's OIS to explore the feasibility of using its current information technology infrastructure to improve several aspects of its operations.	Low	Are we on a fixed BreEZe implementation timeline?	Completed		This was done, however it failed. BreEZe should solve the problem.	
9.3	The current AthCom only records a fighters current suspension; however, when the fighters suspension ends, the system no longer shows the boxers as having been suspended nor does it provide historical suspension information.	High	How does the commission record historical suspension data and ensure fighter safety?	Not Started	CAI		We agree
9.4	The commission inadequately reported many of the statistical information in its 2003 JLSRC report.	Low	For the licenses-issued category, staff stated the only way to report the correct figure was to physically count the license folders and this would take an enormous amount of time.	Started	EO	Started to use the Neuro Fund database to support financial information. But full implementation will not happen until BreEZe.	
9.5	Inspectors could download the current AthCom database to their laptop prior to an event and have updated information.	Low	IT project / BREEZE ??? Something different?	Not Started		SEE 5.8	
10	Personnel expenses for commission staff performing support activities are being charged to the Neuro Fund.	High	In the past 1FTE was funded. How many now? What is the appropriate number? Need support. Auditors thought 1FTE was too much.	Not Started	EO	Requires a BCP.	
11	Fight Fax reports are not retained when needed in the boxer's license applicant files.	High	The commission asserts it is impossible for a first time applicant to comply with CCR 283, which states the applicant must provide a verifiable record of his/her last six bouts. IF this is the case, the commission should take steps to amend the legislation.	Not Started	CAI		We agree fighters fil



Corrective Action Plan

12	Inadequate staffing at boxing events made it difficult to effectively oversee the events. Although budget constraints have prevented the commission from staffing events with the optimal number of inspectors, the commission needs to maintain a funding source to effectively perform its regulatory activities.	High	Current BSA Audit Objectives 29,29.1,30,30.2,30.4 and fighter safety issue.	Started	EO	Solicited a legal opinion from CalHR to reduce costs associated with overtime and travel for inspectors.	Working v
<b>BUREAU OF STATE AUDITS - BOXERS' PENSION PLAN - JULY 2005</b>							
13	<b>Boxer's Pension Fund</b>						
13.1	The commission should consider eliminating the break in service requirement and/or reducing from four to three the number of calendar years that a boxer must fight to meet vesting requirements in the boxers pension plan.	Mod	Check our response to the BSA team. Commission responded that 2014 additional boxers would enter the pending category and funds available to all boxers would greatly decrease. Commission recommended that we change the activity requirement from 4 years to 3 years.	Not Started	EO	Agree that this should be considered.	
13.2	To maximize fund assets, raise ticket assessments to meet targeted pension contributions as required by the law.	Low	More work needs to be done with the Pension Fund before we can start this process. Commission will work with the Employee Benefits Attorney to conduct an analysis of the impact of maintaining or raising the contribution.	Not Started	EO	Increasing ticket assessment could result in loss of business in California. This may not be a viable option.	
13.3	To maximize fund assets, promptly remit pension contributions from Consumer Affairs bank account to the boxers pension fund.	Mod	A strong effort, that includes a monitoring of progress, is being made to accomplish this.	Completed	EO	We keep little cash in the bank account.	
13.4	To increase the likelihood that vested boxers are locatable for benefit distribution after they turn age 55, the commission should mail an annual pension statement to all vested boxers.	Mod	Are we doing this today? Can the administrator do this?	Completed		We mail it every year from a list provided by the pension plan administrator. Recently increased the frequency of deposits. Deposits are made almost daily now. Checks are deposited at least weekly or more often if necessary. We are nearly compliant with SAM. Looking to utilize a safe.	
13.5	To ensure receipts are deposited in a timely manner, implement the corrective action proposed by the acting executive officer to Consumer Affairs related to ensuring timely deposit of checks.	Low	Plan may not matter anymore??? Need to follow SAM anyway.	Near Completion			
13.6	To ensure receipts are deposited in a timely manner, require promoters to remit pension fund contributions on checks separate from other boxing show fees so there is no delay.	Low	A letter to all promoters is being drafted to inform them of the new procedure and to confirm existing ones. The letter will go out by August 1, 2005.	Completed		Instead of requiring multiple checks, we now break out the funds collected on the Box Office Report and accurately track promoter payments.	
13.7	Retain all official documents from each boxing contest to ensure eligibility status and pension account balances are accurate.	High	Currently being done and monitored. ???	Not Started			
13.8	Immediately work with the pension plan administrator to correct errors related to boxers eligibility status and account balances.	High		Completed		Reported in audit response.	

Corrective Action Plan

13.9	Periodically review a sample of newly vested and pending boxers, and verify their eligibility status and pension account balance.	High	Currently being done and monitored. ???	Not Started		
<b>DCA - INTERNAL AUDITS - CASH RECEIPT CYCLE AUDIT 2007-103 - October 2008</b>						
14	The commission's cashiering manual is too general and does not include many SAM requirements or procedures specific to the commission's day to day handling of cash receipts.	High	The commission should update its policies to reflect current commission day to day cash receipt handling practices and include the applicable state laws and SAM requirements.	Not Started		Create ne
15	The commission has inadequate separation of duties over its cash receipt processing and handling function.	High	It is anticipated that the separation of duties will be completed by December 31, 2009 ???	Started		Create ne
16	A commission employee violated separation of duties and handled the receipt of cash inappropriately in dealing with restitution of dishonored checks.	Low	Require all dishonored check payments be directed to the headquarters office and not handled in the field. Promoters should purchase money order/CC and mail directly.	Completed		Ensure th
17	The commission does not appear to be adequately monitoring or taking action to prevent dishonored checks by promoters for event fees and taxes.				Commission Response to prior audit: The commission's procedures regarding dishonored checks needs to be formalized in writing for the very first time. *Create a dishonored check database *Create disciplinary measure for first offense *Formal review of license after first offense to commission *Overhaul of cash handling at live events Anticipated completion date June 30, 2009.	
17.1	Closely monitor the dishonored check spreadsheet and consider requiring alternative methods of payment by the promoters after their first dishonored check.	Mod		Started	EO	Informally done but need more organization. Need to i
17.2	Formally review the risk of allowing repeat offenders to continue promoting in California and consider exercising its rights under the law to disallow further activities in the state.	Mod	Is the database set up? Reg Change needed?	Not Started	EO	
17.3	Discontinue the practice of turning over the cash at an event for inclusion in the check written by the promoter for taxes and fees, if the promoter has had previous dishonored checks.	Low	Involves promoter's and will take several months to implement.	Not Started	EO	
17.4	Institute alternative procedures of handling collected cash or requiring only non-cash forms of payment for those particular events.	Low		Completed	CAI	Do not accept cash in the field anymore.
18	The commission does not adequately safeguard state assets and information while conducting state business away from its Sacramento office.	High	The commission is assessing its need for remote access to records and methods for securing records in the field by July 1, 2009.	Near Completion	CAI	Use heavy duty locked briefcases and we stopped sending out the packets to the field. We email the lead inspector the packets. Make this

<b>19 The commission does not provide for adequate accountability over the cash receipts it collects.</b>							
19.1	Institute procedures to localize accountability for money received in the field.	Mod		Near Completion	CAI	Although we don't collect cash anymore, we do accept money and give it to promoters who return the amount in the form of a check. All money received is placed in a lock box. The box office reports details money collected. The reports are sent to the office the the next day.	We are u necessar adhered cash in th
19.2	Control and monitor the use of receipt books and consider reconciling the receipts issued to payments deposited on an ongoing basis.	Mod		Midway	EO	We do control and monitor the use of receipt books but no reconciliation is going on.	Need to e
<b>20 The transfer of funds collected in the field is not adequately documented.</b>							
20.1	Create prelistings and transfer receipts for cash receipts not made payable to the commission.	Mod	See 19.1	Started	CAI	Although we don't accept cash for payments, we do take cash for the promoters and turn it over to them at the end of each event.	Need to e cash rece promoter
20.2	Consider using transfer receipts of some sort when transferring cash in the field and to the headquarters staff and promoters.	High	Money may not be collected in the field anymore.	Not Started	CAI		Money m Officialy
20.3	Institute procedures to localize accountability for money received in the field.	High	Money may not be collected in the field anymore.	Not Started	CAI		Money m Officialy
21	The commission has had large balances in the uncleared collections account for a number of years and has not taken quick and appropriate action to ensure the money is properly allocated.	Low	In the past, the commission had balances in this account of between 100k and 800k. What has the balance been over the past several years and how does the commission ensure it stays low. It is anticipated that these funds will be cleared out by September 30, 2009.	Started		We have a process in place but not documented procedures.	Documen doing ma
<b>22 Cash receipts are not deposited in a timely manner.</b>							
22.1	The commission is not consistently processing its cash receipts within the 10 business days required by SAM 8032.1	Mod	Low Implementation Time	Near Completion		Instuted a new process in August 2012 to deposit more frequently.	Need to o Need to g policies a deposits
22.2	Many of the cash deposits made exceeded the \$10,000 accumulation limit required by SAM 8032.1	Mod	Low Implementation Time	Started		We have a safe in order to secure cash until deposits are made. Have informed staff to make more frequent deposits.	
23	The commission lacks an adequate computer system to conduct its business and employs an inaccurate and inefficent manual process along with unsecured spreadsheets instead.	Low		Not Started		CSAC prior audit response: Efforts will be made to restart the process for a FSR on a new database. Additionally, options regarding ATS and CAS will be explored. Options for laptops in the field are already being explored. ETC: Two Fiscal Years	Implemen
<b>DCA OFFICE OF HUMAN RESOURCES DESK AUDIT - JUNE 2, 2009</b>							

Corrective Action Plan

24	Based on the job description of the Chief Athletic Inspector and the amount of hours worked, I recommend that the Commission take a closer look at he workload and hours, and possibly consider establishing an additional Chief Athletic Inspector or an Assistant Chief Athletic Inspector and dvide the workload into two geographic areas.	Low	Low priority due to the fact that it would take more than several months to accomplish this. High priority if we are thinking long term.	Near Completion	We have the position established, but due to budget reasons, we cannot fill it.	Deveoplin and expe our reven able to fil
25	The commission lacks a cost-effective drug testing policy because every fighter is tested which is cost prohibitive resulting in a projected budget deficit..	Low	Effective June 30, 2009 (This might be done)	Completed	We have a contract to do this and it is within our budget.	
<b>CSAC - STATUS REPORT: CURRENT ISSUES AND ACTION PLAN - APRIL 2009</b>						
26	The commission's organization and use of office staff is inefficient and ineffective.	Low	(Staffing in flux and declining - may not get much done in the short term). Not organized along functional lines. A reorganization of office personnel is in progress and functional areas will be divided into two functional areas.	Started	We are re-organizing staff at the moment due to the downsizing of the staff due to budget constraints. Policies and procedures will help this item as well.	
27	The commission's use and allocation of Athletic Inspectors and field staff is inefficent, ineffective and extraordinarily expensive.	High	Effective January 1, 2009 Ais are being assigned to events based on their physical location within three geographical areas: northern, central, and southern. Effective April 10, 2009, nine of eleven licensing categories will be performed only in commission offices, and not at events, which will greatly minimize cashiering and auditing issues. The commission plans to create an Athletic Inspector training and reference handbook.	Started	We are re-aligning their pay structure and the amount sent to each event.	This is a inspecto expenditu will better adequat
<b>BSA - CSAC AUDIT - 2012-117 - CURRENT ONGOING BSA AUDIT SCOPE</b>						
28	Evaluate the administration of the pension fund	High	This is related to a prior audit finding above.			
28.1	Review and assess the current financial condition of the pension fund and any projections related to its financial condition.	High	This is related to a prior audit finding above.	2.2		
28.2	Based on the current and any projections on the financial condition of the pension fund, determine whether it is feasible to extend the pension plan to cover other athletes regulated by CSAC.	High	This is related to a prior audit finding above.			
28.3	Determine the extent to which CSAC has implemented recommendations from the state auditors report released in July 2005.	High	This is related to a prior audit finding above.			

29	Evaluate the administration and regulation of live events	High	This is related to a prior audit finding above.	2.6 12		
29.1	Review and assess the policies and practices used to ensure that all revenues from events are collected and accurately recorded and reported.	High	This is related to a prior audit finding above.	2.2 4 5.1 5.3 5.4 12		
29.2	Identify the amount of money budgeted and spent for athletic inspectors' salaries and travel, as well as travel costs for commissioners, CSAC, and any other staff.	Moderate				
29.3	Determine whether CSAC is using the most cost-effective method when providing athletic inspectors at its events.	Moderate				
30	Evaluate the administration and management of CSAC's financial operations	High	This is related to a prior audit finding above.	4 5.1 12		
30.1	Evaluate the method by which the CSAC budget is approved.	Moderate				
30.2	Review and assess the process used to make decisions that impact the financial operations of CSAS.	High	This is related to a prior audit finding above.	4 5.1 12		
30.3	Determine whether the information used to make significant decisions includes a sufficient level of detail and is provided to the decision makers in a timely manner.	Moderate				
30.4	Determine if a strategy has been developed to control CSAC's costs and increase its revenue.	High	This is related to a prior audit finding above.	8.3 12		
31	Review and assess the current financial condition of the Neurological Examination Account.	Moderate				
31.1	Determine the amount spent on administrative activities for this account during the most recent three-year period.	Moderate				
31.2	For a selection of these expenditures, determine whether the respective activities were allowable and reasonable.	Moderate				
32	For the most recent three-year period, determine whether CSAC used policies and practices designed to detect and prevent conflict of interest and whether application of these policies and practices adequately addressed any conflicts that were identified.	Moderate				



Agenda Item 7(a)  
October 8, 2012

### **Request for Change of Decision**

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#### **Bout**

Boxing event at Pechanga Casino on July 27, 2012. Walter Sarnoi vs Christian Bojorquez.

#### **Summary**

Mr. Sarnoi alleges that Referee Jose Cobian favored Bojorquez and did not want Sarnoi to win from the start. He alleges Cobian was unfair on several occasions during the bout (see examples and summaries provided by Mr. Sarnoi). Mr. Sarnoi alleges that grounds exist for a change of decision to a no decision or no contest pursuant to violations of Rules 368 (a) (1) and (3) (see below Related Rules).

A video of the bout will be available for review at the Commission meeting.

A statement or personal appearance by the referee will be provided at the Commission meeting.

#### **Related Rules**

368. Change of Decision.

(a) A decision rendered at the termination of any boxing contest is final and shall not be changed unless following the rendition of a decision the commission determines that any one of the following occurred:

(1) There was collusion affecting the result of any contest;

(3) There was a violation of the laws or rules and regulations governing boxing which affected the result of any contest.

#### **Options**

**Change decision:** If the Commission finds evidence to support a violation of the above noted rules, the Commission may change the bout decision.

**No change in decision:** If the Commission does not find evidence to support a violation of the above noted rules; then grounds do not exist to change the bout decision.

**Burns, Kathi@DCA**

**From:** walter sarnoi [REDACTED]  
**Sent:** Wednesday, August 29, 2012 6:06 PM  
**To:** Burns, Kathi@DCA  
**Cc:** Guevara, Che@DCA  
**Subject:** RE: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter

Hi Kathi,

Thank you for your consideration. I have taken the time to review some factors which played a large role on the outcome of my boxing match. I do believe Rule 368, section (1)(3) was violated. Section 1 was violated as the referee and my opponent are familiar with each other and are from the same city of Chula Vista. Section 3 was violated as I will explain below.

As a college graduate and long time advocate of the sport, I never in my boxing career felt the need to even question the decision of my fights, but after my July 27<sup>th</sup> bout, I could no longer see unfair biased refereeing in boxing as it is hurting the sport's image.

Watching the fight as you could see, referee Jose Cobian favored Bojorquez and did not want me to win from the start as he went out of his way to help Bojorquez; video shows not one warning to Bojorquez. The referee was unfair even seeing little things after the fight. When Bojorquez walked into the ring, He gave the ref a pound. Referee Cobian was warning me on the majority of the tie-ups also saying don't hit on the break or I will take a point but never said anything to Bojorquez. It is clearly evident as I checked that referee Cobian and Bojorquez live close to each other in the City of Chula Vista which is in the San Diego area. Looking at one of San Diego's largest sports publication, SportofBoxing.com, you can see that Jose Cobian is featured in many stories with his picture officiating many of the local San Diego Boxing and MMA matches. Also on the website, you can see many of Bojorquez' fights and pictures being featured on the San Diego sport publication.

Referee Cobian took a point without warning about a low blow, which even if it was a low blow, it was unintentional. He basically took a point off me for a punch he clearly did not see. He went off the fighter's reaction. His glove pushed my head down as I threw a punch which even if you hit him, should it not be legal? He also called a standing 8 count on Bojorquez during the official 2<sup>nd</sup> knockdown. Allowing Bojorquez to recover instead of allowing me to finish him. If he were to call a standing 8 or knockdown, it should have been immediately after the shot that almost sent him through ropes but he let him gather his footing then go to the corner.

Then the slips he called knockdowns. He was hesitant then started counting! I felt he was very unfair. I clearly won that fight and felt the ref took it away from me. I worked really hard for this fight. I spent 7 weeks away from my family n friends. This is my life it's not just a hobby. I sacrifice so much and to lose like this I can't accept. It's so discouraging. I give it my all and feel I clearly deserved to win and it was snatched away from me. Even with the point deductions, at least it could have been a draw. So many fans and people booed the decision and came up to me after the fight and said the referee took the fight away from me. You can view these online publications supporting my statement of the decision including one of boxing's largest media site FIGHTnews.com. <http://www.fightnews.com/Boxing/harrison-victorious-at-pechang-a-125989> & <http://www.myvalleynews.com/story/65883/>

Thank you,  
 Walter Sarnoi

From: Kathi.Burns@dca.ca.gov  
 To: Teryn.Fleming@dca.ca.gov  
 CC: Che.Guevara@dca.ca.gov; waltersarnoi@hotmail.com  
 Subject: FW: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter

7A-2

9/18/2012

Date: Thu, 23 Aug 2012 00:12:03 +0000

Hi Teryn,

Per the request below, can you please scan and e-mail Walter the score cards from his fight at Pechanga on July 27, 2012. Include me and Che on the e-mail please.

Thanks,  
Kathi

Kathi Burns  
Interim Executive Officer  
California State Athletic Commission  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
(916) 263-2195

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**From:** walter sarnoi [REDACTED]  
**Sent:** Wednesday, August 22, 2012 5:03 PM  
**To:** Burns, Kathi@DCA  
**Cc:** Guevara, Che@DCA  
**Subject:** RE: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter

Dear Kathi,

Thank you for your response. Before i make the request on the decision, can you send me the scorecards either by mail or email form?

Thank you,  
Walter

From: Kathi.Burns@dca.ca.gov  
To: [REDACTED]  
CC: Che.Guevara@dca.ca.gov  
Subject: RE: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter  
Date: Wed, 22 Aug 2012 22:23:43 +0000

Hello Walter,

I have reviewed your request for a change of decision. In order to change a decision, proof of one of the factors listed in Rule 368 must have occurred. Below is the Rule in its entirety. If you believe Reul 368, section (1)(3) was violated, please reference what law, rule or regulation you believe was violated.

If grounds exist for the Commission to overturn your decision, the matter will be scheduled for the Commission meeting on October 8, 2012 in Los Angeles.

**. Change Of Decision.**

\ decision rendered at the termination of any boxing contest is final and shall not be

**7A-3**

9/18/2012



ged unless following the rendition of a decision the commission determines that any one of the following  
urred:

There was collusion affecting the result of any contest;

The compilation of the scorecard of the judges, and the referee when used as a judge, shows an error which  
ld mean that the decision was given to the wrong boxer;

There was a violation of the laws or rules and regulations governing boxing which affected the result of any  
test.

The winner of a bout tested positive immediately after the bout for a substance listed in Rule 303(c).

A petition to change a decision shall be in writing and filed by a boxer or the boxer's  
anager within five (5) calendar days from the date the decision was rendered.

If a petition to change a decision is not filed in writing within five (5) days of the decision, the commission may,  
n the vote of at least a majority of the commissioners present, hold a hearing to change the decision at any

If the commission determines that any of the above occurred with regards to any contest then the decision  
lered shall be changed as the commission may direct.

ok forward to hearing from you.

hi

Kathi Burns  
Interim Executive Officer  
California State Athletic Commission  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
(916) 263-2195

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destroy all copies of the original message. \*\*\*

**From:** walter sarnoi [mailto: [REDACTED]]  
**Sent:** Thursday, August 16, 2012 3:20 PM  
**To:** Kathi Burns Athletic commission; Burns, Kathi@DCA  
**Subject:** FW: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter

From: [REDACTED]  
To: kathiburns@dca.ca.gov  
Subject: HI Kathi, this is Walter Sarnoi (Pro Boxer) Protest Letter  
Date: Tue, 14 Aug 2012 09:44:24 -0700

Hi Kathi,

Thank you for speaking w/ me earlier regarding my protest matter.  
Attached is the protest letter.

Very Respectfully,  
[Walter Sarnoi]

7A-4

9/18/2012

Walter Sarnoi  
MBA/Professional Boxer

[REDACTED]  
July 30, 2012

California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, CA 95815

**Subject: Petitions for Change of Decision (*Title 4 Cal. Code Regs. § 368*) for Boxing fight at Pechanga Casino July 27th, 2012. Walter Sarnoi Vs. Christian Bojorquez**

To Whom It May Concern:

It is a great experience currently fighting and competing at the professional level here in California which I believe has been organized well by the Athletic Commission except for one instance.

As Boxer, amateur to professional, competing for 13 years with over 90 amateur fights and more than 13 professional fights, I have never bothered to even dispute any decision. I am asking you to review the referee's call's towards me and questionable point deductions against me during the fight. The point deductions were costly which caused a loss to my record. I was never warned for a low blow and was deducted a point immediately. Also, the referee counted two knockdowns against me which were evident slips during the fight; they were also costly to the decision of the fight.

I worked so hard and most of my life in this sport and to have something out of my hands control the decision of my fight such as the point deduction and questionable knockdowns, it has given me the false sense of fair judging in this sport. I am reaching out because I still believe there are fair people and officiating out there. With your expertise and fair judgment, all I am asking is to have this decision changed or at least to a no decision/no contest.

My fight is shown on Youtube at: <http://www.youtube.com/watch?v=RvI4ir-gQLI>

Very Respectfully,

Walter Sarnoi

MBA/Professional Boxer

[www.fightnew.com](http://www.fightnew.com) (July 2012)

In what was a six round action packed fight from the opening to closing bell in the super bantamweight division, Walter "School Boy" Sarnoi (10-4, 5 KOs) of Monterrey Park lost a controversial decision to Tijuana's Christian Bojorquez (8-0, 1 KO). The action began as Bojorquez came out of the corner without his mouthpiece the referee momentarily stopped the action as both began the fight boxing testing the jab. In the second round Bojorquez boxed well using the jab as keeping Sarnoi away, later in the round Bojorquez landed a counter right as Sarnoi charged, knocking him down. The following round Sarnoi returned the favor as he landed a crushing overhand right knocking down Bojorquez. After exchanging knockdowns the last two rounds both boxed but moments later Sarnoi landed a low blow as the Mexican fighter took time to recover shortly after the referee Jose Cobian deducted a point without warning. In the fifth round referee Jose Cobian warned Sarnoi for another low blow however the overhand right landed once again for Sarnoi as he scored a knockdown. Bojorquez quickly got up as he began to bleed from his nose. The sixth and final round Bojorquez scored a controversial knockdown as Sarnoi appeared to have slipped but Referee Jose Cobian ruled it a knockdown. The point deduction and controversial knockdown were costly for Sarnoi and made the difference as the judges scored it 55-54, 55-54, 55-54 in favor of Christian Bojorquez.

[www.myvalleynews.com](http://www.myvalleynews.com) (August 2012)

The most exciting contest of the evening was the six round super bantamweight bout Walter "Schoolboy" Sarnoi versus Christian Bojorquez. Schoolboy and Bojorquez went toe to toe from the opening bell to the final bell. Both fighters were knocked down in the bout.

Bojorquez scored a clean knockdown in the second round and Sarnoi followed in the third round with a powerful knockdown punch. He also scored a knockdown in the fifth.

Bojorquez was awarded a knockdown in the final round that many in the crowd thought was a slip. Sarnoi was also deducted one point in the fifth for a low blow. This point turned out to be the difference in the scoring. Bojorquez was awarded a 55-54 victory on all the score cards. When the decision was announced, the crowd booed loudly, stating that the unofficial judges favored Sarnoi.



Agenda Item 8(a)  
October 8, 2012

**New Promoter Application for Licensure**

**Promotion Name:** Edward Soares (Sole Proprietor)

**Required Licensing Documentation:**

1 Photo of each applicant	- <b>Completed</b>	Personal Resume - <b>Completed</b>
Fingerprint Clearance	- <b>Completed</b>	Bond/Assignment of Saving - <b>Completed</b>
Financial Statement of each applicant	- <b>Completed</b>	Article of Incorporation/Minutes: <b>N/A</b>

**\*Was provided the Promoter Checklist and held 2 temporary permits from March 22, 2012 - July 22, 2012 and July 26, 2012 - October 30, 2012**

**Summary:** Mr. Soares held his first event on April 14, at the Avalon Club in Los Angeles, his second event on July 12, 2012, at the Veteran's Memorial Auditorium in Los Angeles, and his third event on October 19, 2012 at the Veteran's Memorial Auditorium. The lead athletic inspectors supervising these events reported that all events were professionally run and profitable.

**Recommendation:** It is recommended that Mr. Soares be given a permanent license as a professional promoter in California.



Agenda Item 8(b)  
October 8, 2012

**New Promoter Application for Licensure**

**Promotion Name:** Don McDaniels (Sole Proprietor)

**Required Licensing Documentation:**

1 Photo of each applicant	- <b>Completed</b>	Personal Resume - <b>Completed</b>
Fingerprint Clearance	- <b>Completed</b>	Bond/Assignment of Saving - <b>Completed</b>
Financial Statement of each applicant	- <b>Completed</b>	Article of Incorporation/Minutes: <b>N/A</b>

**\*Was provided the Promoter Checklist and held a temporary permit from March 17, 2010 - July 15, 2010**

**Summary:**

Don McDaniel's doing business as International Muay Thai Federation History/Outline

- 2/15/10 - submitted original Professional Promoters Application
- 3/17/10 - Dodd granted him a temporary license for 120 days, till 7/15/10
- 4/3/10 - conducted a Muay Thai event at the Barker Hanger in Santa Monica
  - Officials, fighters, ambulance, and commission checks were returned due to non-sufficient funds
- 5/2012 – 7/2012 paid some fighters and officials
- 8/26/10 – paid commission partial payment of box office fees
- 8/1/11 - commission made a claim on McDaniel's bond for the remainder of the money owed from the 4/3/10 Box Office (at that time owed us \$2310.00)
- 3/17/12 - commission received the balance due for box office fees
- 3/19/12 - commission released its claim on bond
- 3/13/12 - McDaniels submitted another application for Professional Promoters Application. He corrected portions of his application in September 2012 and is requesting his promoter's license as a sole proprietor under his own name.

**Options:**

- Deny application to be licensed in California as a Professional Promoter
- Issue 2<sup>nd</sup> Temporary Permit to allow an event to take place and evaluate performance (with or without restrictions)
- Issue a permanent California Professional Promoter's license (with or without restrictions)



Agenda Item 8(c)  
October 8, 2012

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**Application for License Renewal after Suspension**

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**Michael Cook – MMA Fighter**

**History**

5/19/2011 - At an MMA weigh in on May 19, 2011, Mr. Cook submitted to the event lead athletic inspector, altered and forged medical examination forms to complete his application to be licensed and eligible to compete at the event.

The lead inspector reported that Mr. Cook gave him a two page copy of a Professional Athlete Physical Examination form dated 04-18-11, signed by Dr. Scott Nielsen, MD# G57123. He also gave him a FAX Quest Diagnostic Lap Report dated 3-18-11. The doctor's signature date appeared to be altered; the hand writing of the date appeared to be different than the completed signed and printed information and the date copied darker than the rest of the document. The lead inspector called the telephone number listed on the physical examination form to verify that the doctor completed the form, but found the number was disconnected. He checked the California Medical Board License Lookup System and found license number G57123 belonged to a doctor in Santa Rosa. He reviewed the Quest Lab report and found the doctor's full name of E. Scott Nielsen. The Medical Board Lookup system showed a Dr. Ezra Scott Nielsen, with license number of A44146 at 3030 West Iris, Visalia, CA.

The lead inspector reviewed the other medical documents in Mr. Cook's medical file and found the same Professional Athlete Examination form with the same doctor information dated 2-18-11. He also found a Quest Lab report dated 12-2-10. He compared the 12-2-10 form to the 3-18-11 form and found them to be the same except the dates of the sample collection, receipt and reporting were altered. Both forms reflected the same times for the collection, receipt and reporting information. He also confirmed with Quest Lab personnel that Mr. Cook had never been a patient of Quest Labs.

5/20/2011 – California indefinitely suspended Mr. Cook for the above conduct.

**Factors to Consider**

Since the California suspension, Mr. Cook fought on August 23, 2012 at Couer d'Alene Casino Resort, Worley, Idaho and on January 27, 2012 at Eagle Mountain Casino in Porterville, CA.

**Options**

- Issue unrestricted license
- Issue license with terms and conditions
- Deny license

# Michael Anthony Cook *aka Mike Cook*



ID#104-714 (Professional)

**Record (Win-Loss-Draw)**

<b>Professional:</b>	<b>Amateur:</b>
<b>Official: 2-6-0</b>	<b>Official: 0-0-0</b>

Total Non Sanctioned Bouts: 11

**Suspension History**

Edit	Event	Start Date	End Date	Reason	Detail
Detail	Fight For WrestlingG 3: Bakersfield	<u>5/20/11</u>			INDEFINITE SUSPENSION FOR ADMIN REVIEW OF MEDICAL DOCUMENTS
Detail	MEZ Sports: Pandemonium 4	2/25/11	4/11/11	KO loss	45/30
Detail	MFC 21 - Hard Knocks	5/15/09	5/29/09		
Detail	Elite XC	7/26/08	9/24/08		Cook 60/60 right latral knee, and 45/30 for KO
Detail	Strikeforce 4: Triple Threat	12/8/06	1/22/07	Hard fight	45/30

**Fight History:**

	Type	Lbs.	Result	Date	Opponent	Event	State	Method	Round	Time
NSF	Pro	0	Loss	<u>08/23/2012</u>	Trevor Prangley	King of the Cage: Breaking Poing	Idaho	TKO/RSC Doctor Stoppage from a Cut	1 / 3	5:0
	Pro		TBD	05/20/2011	John Devine	Fight For WrestlingG 3: Bakersfield	California		1 / 3	:
	Pro	0	Loss	02/25/2011	Lorenz Larkin	MEZ Sports: Pandemonium 4	California	KO Strike	2 / 3	3:32
NSF	Pro	0	Win	01/21/2011	Mike Gonzalez	The Warriors Cage 10: Beat Down	California	Submission Arm Triangle Choke	1 / 3	3:5
	Pro	224	Loss	02/19/2010	Gian Villante	Ring of Combat XXVIII	New Jersey	Submission Rear Naked Choke	1 / 3	0:40
NSF	Pro	230	Loss	11/11/2009	Brian Ryan	Alaska Fighting Championship 65: D-Day	Alaska	KO	1 / 5	0:1

NSF	Pro	0	Loss	08/15/2009	Scott Lighty	Strikeforce: Carano vs. Cyborg	California	TKO/RSC Referee Stoppage from Strikes	1 / 3	2:5
NSF	Pro	0	Win	06/26/2009	Ruben Villareal	Gladiator Challenge - Validation	California	Submission	2 / 3	1:19
	Pro	234	Loss	05/15/2009	Franklin Lashley	MFC 21 - Hard Knocks	Alberta	Submission	1 / 3	0:24
	Pro	231.8	Loss	01/31/2009	Ruben Villareal	Bakersfield Dome	California	Decision Unanimous Decision	3 / 3	
	Pro	232.5	Loss	07/26/2008	Carl Seumantafa	Elite XC	California	TKO/RSC	1 / 3	1:21
NSF	Pro	0	Win	04/26/2008	Jason Reed	International Fighting Championship: Caged Combat	Idaho	Submission Strikes	1 / 3	0:48
	Pro	0	Win	02/18/2008	Aziz Essafoui	Iron Ring 2/18/08	Louisiana	TKO/RSC	1 / 3	0:28
NSF	Pro		Win	07/19/2007	Rolando Torres	Palace Fighting Championship 3		KO	1 / 3	0:12
NSF	Pro		Win	03/22/2007	Scott Wimmer	Palace Fighting Championship 2: Fast and Furious		TKO/RSC	2 / 3	:
NSF	Pro		Loss	12/31/2006	Dave Herman	Legends of Fighting Championship 12: Black Tie Battles		TKO/RSC Referee Stoppage from Strikes	1 / 3	1:39
	Pro	230	Loss	12/08/2006	Daniel Puder	Strikeforce 4: Triple Threat	California	Submission Rear Naked Choke	2 / 3	2:31
	Pro	240	Win	10/07/2006	Carlton Jones	Strikeforce 3: Tank vs. Buentello	California	Submission Strikes	2 / 3	2:38
NSF	Pro		Win	09/17/2006	John Dodson III	Gladiator Challenge 54: Knuckle Up	California	TKO/RSC	1 / 3	3:55
NSF	Pro		Loss	06/12/1998	Tony Apponte	World Shoot Wrestling Alliance 1	Texas	Submission Rear Naked Choke	1 / 3	9:48



Height  
**6'2"**  
 187.96 cm Weight  
**225 lbs**  
 102.06 kg

Association: **Team Rock Solid**


Class: **Heavyweight**

Wins **12** 5 KO/TKO (42%) 7 SUBMISSIONS (58%) 0 DECISIONS (0%)  
 Losses **10** 6 KO/TKO (60%) 3 SUBMISSIONS (30%) 1 DECISIONS (10%)


Like 6 Tweet 0 0

## Upcoming Fights

Versus



**Mike Cook**  
 12 - 10 - 0 (Win - Loss - Draw)



**Virgil Zwicker**  
 10 - 3 - 0 (Win - Loss - Draw)  
[See entire fight card](#)

## Fight History

Result	Fighter	Event	Method/Referee	R	Time
loss	<u>Trevor Prangley</u>	<u>KOTC - Breaking Point</u> Aug / 23 / 2012 ←	TKO (Doctor Stoppage) N/A	1	5:00
win	<u>Owen Rubio</u>	<u>TWC 13 - Impact</u> Jan / 27 / 2012 ←	Submission (Rear-Naked Choke) N/A	1	1:44

**8C-4**

loss	<a href="#">Lorenz Larkin</a>	<a href="#">MEZ Sports - Pandemonium 4</a> Feb / 25 / 2011	TKO (Punches) N/A	2	3:32
win	<a href="#">Mike Gonzales</a>	<a href="#">TWC 10 - Beat Down</a> Jan / 21 / 2011	Submission (Arm-Triangle Choke) N/A	1	3:05
loss	<a href="#">Dave Huckaba</a>	<a href="#">IFC - Warriors Challenge 28</a> Aug / 21 / 2010	KO (Punches) N/A	3	3:25
loss	<a href="#">Gian Villante</a>	<a href="#">ROC 28 - Ring of Combat 28</a> Feb / 19 / 2010	Submission (Rear-Naked Choke) Dan Miragliotta	1	0:40
win	<a href="#">Ruben Villareal</a>	<a href="#">TWC 6 - Primitive Rage</a> Jan / 10 / 2010	Submission (Rear-Naked Choke) N/A	1	4:57
win	<a href="#">Sebastian Rodriguez</a>	<a href="#">FQ - Fight Quest</a> Nov / 21 / 2009	TKO (Punches) N/A	1	2:13
loss	<a href="#">Brian Ryan</a>	<a href="#">AFC 65 - Dooms Day</a> Nov / 11 / 2009	KO (Punches) N/A	1	N/A
loss	<a href="#">Scott Lighty</a>	<a href="#">Strikeforce - Carano vs. Cyborg</a> Aug / 15 / 2009	TKO (Punch to the Body) John McCarthy	1	2:05
win	<a href="#">Ruben Villareal</a>	<a href="#">Gladiator Challenge - Validation</a> Jun / 26 / 2009	Submission N/A	2	1:19
loss	<a href="#">Bobby Lashley</a>	<a href="#">MFC 21 - Hard Knocks</a> May / 15 / 2009	Technical Submission (Guillotine Choke) John McCarthy	1	0:24
win	<a href="#">Carter Williams</a>	<a href="#">PureCombat 7 - Backyard Brawl</a> Mar / 7 / 2009	Submission (Punches) N/A	2	2:33
loss	<a href="#">Ruben Villareal</a>	<a href="#">Fight Quest - Bakersfield Dome</a> Jan / 31 / 2009	Decision (Unanimous) Mike Beltran	3	3:00
loss	<a href="#">Carl Seumanutafa</a>	<a href="#">EliteXC - Unfinished Business</a> Jul / 26 / 2008	KO (Slam) N/A	1	1:21
win	<a href="#">Jason Reed</a>	<a href="#">IFC - Caged Combat</a> Apr / 26 / 2008	Submission (Punches) N/A	1	0:48
win	<a href="#">Aziz Essafoui</a>	<a href="#">IR - Iron Ring</a> Feb / 18 / 2008	TKO (Punches) N/A	1	0:28
win	<a href="#">Rolando Torres</a>	<a href="#">PFC 3 - Step Up</a> Jul / 19 / 2007	KO (Punch) N/A	1	0:12
win	<a href="#">Scott Wimmer</a>	<a href="#">PFC 2 - Fast and Furious</a> Mar / 22 / 2007	TKO (Punches) N/A	2	0:17
loss	<a href="#">Daniel Puder</a>	<a href="#">Strikeforce - Triple Threat</a> Dec / 8 / 2006	Submission (Rear-Naked Choke) N/A	2	2:31
win	<a href="#">Carlton Jones</a>	<a href="#">Strikeforce - Tank vs. Buentello</a> Oct / 7 / 2006	Submission (Punches) N/A	2	2:03
win	<a href="#">John Dodson</a>	<a href="#">GC 54 - Knuckle Up</a> Sep / 17 / 2006	TKO (Punches) N/A	1	3:55

**Related Videos** [view more](#)

**Burns, Kathi@DCA**

**From:** Michael Cook [REDACTED]  
**Sent:** Tuesday, August 07, 2012 1:20 PM  
**To:** Burns, Kathi@DCA  
**Subject:** suspension appeal  
**Attachments:** 2012-08-07 csac.zip

Hello Mrs. Burns,

I am sending you these attached document as my new application for review in order to be reconsidered as a licensed mma fighter.

I previously spoke with George Dodd as to what steps needed to be taken to regain my license and was told to obtain and submit new license application before aug. 8 2012 and then it will be reviewed and a decision would be made to determine my license approval.

Also for the record I do apologize for my negligence and dishonesty in the past and I have made the proper changes in my life to ensure that I do not make these same mistakes ever again.

If there is anything I need to do further please let me know, Thank you

*Michael Cook*  
[REDACTED]  
[REDACTED]



California State Athletic Commission  
2005 Evergreen Street, Suite 2010, Sacramento, CA, 95825  
P 916-263-2195 F 916-263-2197 www.dca.ca.gov/csac



June 11, 2012

Rafael Custodio  
[REDACTED]

Notice of Suspension and Fine

Dear Mr. Custodio:

The results of your steroid test taken on May 18, 2012 were received on June 8, 2012 and they were positive. Attached are the results from the Lab.

The commission will be testing the "B" sample. You may witness the opening and analysis of that sample. Please advise me in writing no later than June 18, 2012 whether you wish to witness the opening and analysis of the "B" sample. If I have not heard from you by 5 PM on June 18, 2012 I will assume that you have declined that opportunity and will proceed accordingly.

Title 4 California Code of Regulations, Rule 303 states in part:

*The administration or use of any drugs, alcohol or stimulants, or injections in any part of the body, either before or during a match, to or by any boxer is prohibited.*

Based on the positive laboratory findings, your California license as a Mixed Martial Arts athlete is hereby suspended for 1 year, beginning on May 18, 2012 and you are fined \$2,500. The decision of the bout with Mike Kyle will be changed to a "No Decision" based on Rule 368(4): "The winner of a bout tested positive immediately after the bout for a substance listed in Rule 303(c)".

Per Business and Professions Code section 18842, you have a right to appeal the suspension, fine, and change of bout decision before the Athletic Commission. You must submit your appeal request, in writing, within 30 days of the date of this letter. Upon receipt of your request, your appeal will be included on the agenda for the next available commission meeting.

If you have any questions, please feel free to contact me at any time (916) 263-2195.

Sincerely,

George Dodd  
Executive Officer  
California Athletic Commission  
2005 Evergreen St. Suite 2010  
Sacramento, CA 95815  
Office (916) 263-2195  
Fax (916) 263-2197


Item 9(a)

Jun. 6. 2012 7:16PM



UCLA Olympic Analytical Laboratory  
Dept. of Pathology and Laboratory Medicine  
2122 Granville Ave. Los Angeles, CA 90025  
Phone (310) 825-2635 FAX (310) 206-9077

No. 7469 P. 3/3

WADA  
ACCREDITED 

ISO/IEC 17025:2005  
Chemical Testing  
Certificate: 1420.01

CONFIDENTIAL

DRUG TESTING REPORT CSAC98 (YD108)

June 06, 2012

California State Athletic Commission  
Attn: Sarah Waklee  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
Fax: (916) 263-2197

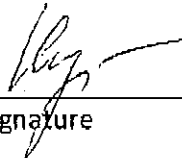
Dear Ms. Waklee:

This is a supplemental report for CSAC 2639919 = UCLA YD108, MMA, collection date May 18, 2012 and date received May 22, 2012.

Urine specimen number CSAC 2639919 = YD108 contains stanozolol metabolites (3'-hydroxystanozolol, 4 $\beta$ -hydroxystanozolol, and 16 $\beta$ -hydroxystanozolol).

Yulia Kucherova

Certifying Scientist

  
Signature


JUN 06 2012

Date

Jun. 27. 2012 10:09AM



UCLA Olympic Analytical Laboratory  
Dept. of Pathology and Laboratory Medicine  
2122 Granville Ave. Los Angeles, CA 90025  
Phone (310) 825-2635 FAX (310) 206-9077

No. 7551 P. 2  
WADA  
ACCREDITED 

ISO/IEC 17025:2005  
Chemical Testing  
Certificate: 1420.01

**CONFIDENTIAL**

**DRUG TESTING REPORT CSAC98 (YD108)**

June 26, 2012

California State Athletic Commission  
Attn: Sarah Waklee  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
Fax: (916) 263-2197

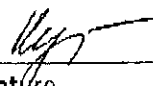
**'B' SPECIMEN REPORT**

Specimen number : 2639919  
UCLA lab code : YD108  
Sport : MMA  
Sample type : Urine  
Collection date : May 18, 2012  
Date received : May 22, 2012  
Date of analysis : June 26, 2012  
Condition and custody of sample : Acceptable  
Test method : 1001 anabolic agents by mass spectroscopy

**Analytical Finding: ADVERSE**

Urine specimen contains stanozolol metabolites (3'-hydroxystanozolol, 4 $\beta$ -hydroxystanozolol, and 16 $\beta$ -hydroxystanozolol).

Yulia Kucherova  
Certifying Scientist

  
Signature

JUN 27 2012  
Date



UCLA Olympic Analytical Laboratory  
Dept. of Pathology and Laboratory Medicine  
2122 Granville Ave. Los Angeles, CA 90025  
Phone (310) 825-2635 FAX (310) 206-9077

WADA  
ACCREDITED



ISO/IEC 17025:2005  
Chemical Testing  
Certificate: 1420.01

CONFIDENTIAL  
DRUG TESTING REPORT CSAC98

California State Athletic Commission  
Attn: Sarah Waklee  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
Fax: (916) 263-2197

UCLA code: YD1  
Sport: MMA  
Number of samples: 6  
Date of laboratory receipt: 05/22/12  
Date of analysis: 05/25/12

The laboratory analyzed the urine specimens listed below using method 1001 anabolic and masking agents.

The specimen condition and custody (C) is acceptable if indicated by 'Y'.

UCLA Code    Specimen#    Results    C

[REDACTED]

YD108          2639919          >>>>>>>    Y    SEE LETTER OF JUNE 6, 2012

Yulia Kucherova  
Certifying Scientist          Signature          JUN 06 2012  
Date

**UCLA OLYMPIC ANALYTICAL LABORATORY**  
**DEPARTMENT OF PATHOLOGY AND LABORATORY MEDICINE**  
 2122 GRANVILLE AVENUE LOS ANGELES, CA 90025 (310) 825-2635 FAX (310) 206-9077

**LABORATORY/SAMPLE INFORMATION**

5/18/2012  
 Sample Collection Date

5:26 PM  
 Sample Collection Time

TEST CONDUCTED FOR THE CALIFORNIA STATE ATHLETIC COMMISSION  
 Anabolic Steroids and Masking Agents

2	0	3	9	9	1	9	
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SAMPLE CODE NUMBER

Specific Gravity and PH levels within range? YES ~~NO~~ *do not TEST*  
 Specific Gravity: Greater than or equal to 1.005  
 PH: Not less than 5.0 and not greater than 7.5

CROWNE PLAZA SAN JOSE CA  
 Collection Site Name - City, State

WMA  
 Sport

Strikeforce  
 Event Name

Che Guervary  
 Collector Name (Please print clearly)

*Che Guervary*  
 Collector Signature

Declaration of any recent blood transfusions, as well as any medications and other substances, including vitamins, minerals, herbs, and other dietary supplements taken during the preceding three (3) days (enter "NONE" if none declared and draw a line through any unused spaces) (attach additional information if necessary):

Name of Substance	Dosage	Date Last taken	Name of Substance	Dosage	Date Last taken
vitamin C	5gr.	5-19-12	Amino Acids	1 pill	5-19-12
<del>protein</del>	<del> </del>	<del> </del>	<del> </del>	<del> </del>	<del> </del>
multivitamin	1 pill	5-19-12	<del> </del>	<del> </del>	<del> </del>

Consent for research (optional): By checking "I Accept" and signing in the space provided, I agree that this sample may be used for anti-doping research purposes. When analyses is completed and this sample would otherwise be discarded, it may then be used by any WADA approved laboratory for anti-doping research of any type provided that it can no longer be identified as my sample.

I Accept     I Decline

**COMPLETED BY DONOR:**

I declare under penalty of perjury under the laws of the State of California that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license. I certify that I provided my specimen to the collector; that I have not adulterated it in any manner; each specimen bottle used was sealed with a tamper-evident seal in my presence; and that the information and numbers provided on this form and affixed to each specimen bottle are correct.

RAFAEL CUSTODIO  
 Donor Name (Please print clearly)

*Rafael Custodio*  
 Donor Signature

5-19-12  
 Date

5:30 PM  
 Time

**COMPLETED BY DONOR'S WITNESS:**

I assisted the Donor in completing this form and declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

Rogelio Obando  
 Witness Name (Please print clearly)

*Rogelio Obando*  
 Witness Signature

05/19/2012  
 Date

15:00 pm  
 Time



UCLA OLYMPIC ANALYTICAL LABORATORY  
DRUG TESTING PROGRAM

CSAC Athlete Notification Card

Date: 5/19/2012 910-612-3948

Inspector / Courier: SARAH WAKLER

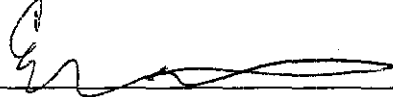
Athlete: EMILY ANN CAVAKANTE

Sport and Event or Occasion:

SECURITY - REPORT  
between 7-8 o'clock  
PLAZA Champagne Room

Time of Notification 3:30 am  pm

I acknowledge being notified to provide a urine specimen for Drug Testing or be subject to disqualification and other existing policies pertinent to noncompliance.

Athlete Signature: 

Courier Comments:

STATE OF CALIFORNIA



DEPARTMENT OF CONSUMER AFFAIRS

California State Athletic Commission
2005 Evergreen St., Ste. #2010
Sacramento, CA 95815
www.dca.ca.gov/csac/
(916) 263-2195 FAX (916) 263-2197



PRE-BOUT MEDICAL QUESTIONNAIRE

This questionnaire needs to be completed in full. Only the licensed Commission Physician evaluating the contestant may assist the contestant in completing this questionnaire.

Attention Physician:

All available licensing medical examinations and competition history are in the Event Package. At a minimum the medical examinations available to you there are the MRI Diagnostic Report, Physical Examination, EKG Report, Neurological Examination, Ophthalmologic Examination and lab work. Please consult with the Event Supervisor if you need any additional information or if there is information missing.

If any condition is disclosed in this questionnaire, you shall immediately inform the Commission Representative and unless a clearance is received from the contestant's attending physician in consultation with you or a Commission approved physician, the contestant will not be allowed to compete.

You must be able to determine that the specific condition and or matter in question does not affect the contestant's ability to perform or present a potential threat to the contestant's health as a result of competing in the contest or match.

Contestant's Name: Rafael Custodio

Personal physician contact information:

Name: Telephone number:

When was your last bout, and what was the result of the bout? 9/10/11 Win KO

How much did you weigh when you began training for this bout? 230 Two weeks ago? 220

Have you ever suffered any knockouts (KO's), technical knockout's (TKO's), or any kind of loss of consciousness in the last twelve (12) months during a bout, sparring or in any other activity? YES NO

Have you ever had any broken bones or arthritis? YES NO If yes, please give date and the details: Broke arm last September

Have you ever suffered any eye injury or had any eye problems? YES NO

Have you ever had any hearing problems? YES NO

Have you ever had a neuromuscular condition, including peripheral nerves, muscle or brain problems? YES NO

Have you ever had any heart or cardiovascular condition? YES NO

Have you ever had any pulmonary or respiratory condition including asthma? YES NO

Are you pregnant? YES NO If yes, please give date the pregnancy was confirmed and refer to the Pregnancy Advisory Notice:

PRE-BOUT MEDICAL QUESTIONNAIRE

Have you ever had any renal or urological condition?  YES  NO If yes, please give date and details: \_\_\_\_\_

Have you ever had a hematological condition or any unusual bleeding or bruising problems?  YES  NO If yes, please give date and details: \_\_\_\_\_

Do you have any conditions of which you are aware such as:

Any surgical procedure?  YES  NO If yes, please list and give dates and details: Arm Surgery 09/11

Any serious illness, disease or allergy from either food or medicine?  YES  NO If yes, please give date and details: \_\_\_\_\_

Any lacerations (cuts) requiring sutures in the last 90 days?  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

To your knowledge have you taken any of the following:

Any medication or drug either over the counter or prescribed  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

Any medication, drug or vitamin supplement to help you lose weight for this bout  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

Any vitamin or nutritional supplement  YES  NO If yes, please list and give dates and details: Protein, Glutamen, COQ10

Have you undergone any of the following medical examinations:

MRI or CT scan of the brain? (Brain imaging scan)?  YES  NO If yes, please list and give dates and details: license

EEG (Test that measures electrical activity in the brain)?  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

EKG (Test that measures electrical activity of the heart)?  YES  NO If yes, please give date and details: license

I, Rafael Custodio  
PRINT NAME SIGNATURE

the CONTESTANT, declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

I, Rafael Custodio  
PRINT NAME SIGNATURE

assisted the Contestant in completing this form and declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

COMMISSION PHYSICIAN CONDUCTING THIS EVALUATION: [Signature]  
NAME (print) SIGNATURE

DATE: 5/18/2012 TIME: 1600

HOWARD L. JACOBS  
LAW OFFICES OF HOWARD L. JACOBS  
2815 Townsgate Road, Suite 200  
Westlake Village, CA 91361  
(805) 418-9892

Attorneys for Rafael Custodio

CALIFORNIA STATE ATHLETIC COMMISSION

IN THE MATTER OF RAFAEL CUSTODIO, SPECIMEN I.D. # CSAC 2639919 /  
UCLA YID108

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**RAFAEL CUSTODIO'S PRE-HEARING BRIEF AND EXHIBITS**

---

**I. INTRODUCTION**

This matter arises from a report of a positive drug test for “stanozolol metabolites.” Rafael Custodio denies using any prohibited substances, and specifically denies using stanozolol or any other substance that could have caused this test result.

The CSAC and the UCLA Olympic Analytical Laboratory have reported that the sample at issue - CSAC 2639919 / UCLA YID108 – was collected on May 18, 2012, at 5:26 pm. However, this is incorrect: the sample was actually collected on May 19, 2012, at approximately 4:00 p.m. The laboratory documentation does not mention anywhere that the sample was actually collected on May 19, 2012. Simply stated, there is a fatal defect in the chain of custody, such that the laboratory documents themselves cannot be relied upon.

Furthermore, the fatal chain of custody defect cannot be characterized as a technical or a paperwork violation. That is because the doping control officer started the sample collection process on May 18, 2012, and after determining that there was an

insufficient volume of urine, directed that Mr. Custodio come back on May 19, 2012 to provide his urine sample. Rather than starting the sample collection over on May 19, 2012, the collector inexplicably poured out the urine collected on May 18, and used the *same collection cup* on May 19. While this may explain why the chain of custody documentation incorrectly states that the sample was collected on May 18, it also means that the collection cup used for the May 19 sample, which was the same cup used for the May 18 partial sample, was open and subject to possible tampering or contamination for a period of almost 24 hours. This means that the stanozolol metabolites that appeared in sample CSAC 2639919 / UCLA YID108 could have come from anywhere.

The whole point of having a strict sample collection protocol, and using unused and previously unopened collection containers, is so that the possibility of tampering or contamination cannot be excluded. When a proper collection protocol is not followed, the test results are meaningless, because it cannot be established that the prohibited substance came from the fighter's urine as opposed to coming from some external source. In this case, the sample collection process was so flawed, that the CSAC *cannot* establish that the stanozolol metabolites came from Rafael Custodio's urine as opposed to coming from some other source. Therefore, the test results must be considered as invalid, and the fighter cannot be suspended or fined.

## **II. BURDEN OF PROOF**

As previously stated by the California Attorney General, the burden of proof in a doping case brought by the CSAC is the "preponderance of the evidence" standard. This standard is defined by the California Jury Instructions applicable to civil proceedings as follows: "'Preponderance of the evidence" means evidence that has more convincing

force than that opposed to it. If the evidence is so evenly balanced that you are unable to say that the evidence on either side of an issue preponderates, your finding on that issue must be against the party who had the burden of proving it.”

In meeting its burden of proof, it is submitted that the CSAC must establish (1) a valid chain of custody; (2) that the urine sample could not have been tampered with; and (3) that the laboratory found a prohibited substance in the urine sample. Each of these three requirements is equally important, and the failure to prove any of these 3 elements must mean that the CSAC has failed to meet its burden of proof. Therefore, while Mr. Custodio does not contest that sample CSAC 2639919 / UCLA YID108 contained “stanozolol metabolites,” this can only result in a fine and/or suspension if the CSAC can establish that the stanozolol metabolites in sample CSAC 2639919 / UCLA YID108 could only have come from Mr. Custodio’s urine, as opposed to coming from some other source. This is the whole point of chain of custody, and in this context, it is easily understandable why chain of custody is so important.

## **II. SUMMARY OF FACTS AND PROCEDURAL HISTORY**

On May 18, 2012, Rafael Custodio appeared in San Jose, California for his pre-fight drug test. From the collection documentation, it would appear that the May 18 collection began at 5:26 p.m. See UCLA Laboratory Documents, Exhibit 1, p.7. However, Mr. Custodio was unable to provide a sufficient volume of urine, and was asked to return to provide his urine sample on May 19, 2012.

On May 19, 2012, Mr. Custodio again appeared for his pre-fight drug test. He was provided by the collector with the same collection container that he had previously used on May 18, 2012. The prior, May 18 urine sample had been discarded in the

interim, and there was no indication that the collection container had been sealed or secured in any way to prevent contamination or tampering. Mr. Custodio provided his urine sample on May 19, 2012, to the satisfaction of the collector. Rafael Custodio defeated Mike Kyle in the Strikeforce fight on May 19, 2012.

On May 21, 2012, sample CSAC 2639919 / UCLA YID108 was sent by the CSAC to the UCLA Olympic Laboratory. See UCLA lab documents, Ex. 1, p. 8. That sample arrived at the UCLA Olympic Analytical Laboratory on May 22, 2012, at 7:30 a.m. See UCLA Lab Documents, Ex. 1, pp. 9, 11.

On July 10, 2012, counsel for Mr. Custodio requested that the CSAC provide documentation “related to the “A” and “B” sample tests for any urine samples collected from Rafael Custodio on May 18, 2012 or May 19, 2012, including but not limited to, the urine sample identified as specimen number CSAC 2639919 / UCLA YD108.” See July 10, 2012 correspondence, attached as Exhibit 2. The documentation was provided by e-mail on September 6, 2012, and consisted solely of the laboratory documentation package for sample CSAC 2639919 / UCLA YID108, which as noted above, indicates that it was collected on May 18, 2012.

**III. THERE IS A FATAL DEFECT IN THE CHAIN OF CUSTODY, SUCH THAT THE CSAC CANNOT ESTABLISH THAT THE PROHIBITED SUBSTANCE CAME FROM RAFAEL CUSTODIO’S URINE**

“To ensure that the urine tested suffered no contamination, tampering, or mislabeling, the chain of custody begins at the collection site and ends with the final report ... The control system must guarantee integrity of the specimens from the moment of submission of the urine until the conclusion of the analysis. Each transfer must be

documented, including within-laboratory transfers ... The laboratory must be able to give exact documentation on such details as where a certain sample was located at a given time and the identity of the person handling the sample at the time in question.” Catlin, Cowan, Donike et al., “Testing Urine for Drugs,” International Federation of Clinical Chemistry (1992) [Attached as Exhibit 3].

“There are two parts involved in the chain of custody for an individual Sample... *The external record is initiated at the collection site and ensures that the Samples and the results generated by the Laboratory can be unequivocally linked to the Athlete.* The Laboratory Internal Chain of Custody records are maintained within the Laboratory to record the Analytical Testing process and the traceability of the Sample during Analytical Testing.” WADA Technical Document TD2009LCOC [LABORATORY INTERNAL CHAIN OF CUSTODY] (attached as Exhibit 4, emphasis added). Absent absolute proof of the complete chain of custody, from the time that the athlete provided the sample until the end of the laboratory analysis, the doping charge must be dismissed, and the athlete exonerated.

Failure to follow proper collection and chain of custody protocol has in other sports led to the dismissal of doping allegations. See, e.g., “Ryan Braun cleared, chain of custody is decisive” [attached as Exhibit 5].

Here, the collection protocol and the chain of custody is fatally flawed, in at least the following respects:

1. The collector disposed of the May 18, 2012 partial urine sample provided by Mr. Custodio, and then kept the open collection container to be reused on May 19, 2012;



2. The open collection container was subject to possible tampering and/or contamination for almost 24 hours before the actual sample that was tested was collected on May 19, 2012
3. The collector re-used an open collection container to collect Mr. Custodio's May 19, 2012 urine sample, allowing for possible tampering and/or contamination in violation of sound collection procedures;
4. The chain of custody documentation for sample CSAC 2639919 / UCLA YID108 incorrectly states that the sample was collected on May 19, 2012;
5. The chain of custody documentation provides no information regarding the location of the open collection container between May 18, 2012 and May 19, 2012; and
6. The chain of custody documentation fails to reflect anywhere that sample CSAC 2639919 / UCLA YID108 was collected on May 19, 2012.

Furthermore, the collection protocol and the chain of custody violates the WADA International Standard for Testing [attached as Exhibit 6], in at least the following respects:

“6.3.4 The ADO shall only use Sample Collection Equipment systems which, at a minimum, meet the following criteria. They shall ... d) Ensure that all equipment is clean and sealed prior to use by the Athlete.”

Annex F – Urine Samples – Insufficient Volume

F.4.1 If the Sample collected is of insufficient volume, the DCO shall inform the Athlete that a further Sample shall be collected to meet the Suitable Volume of Urine for Analysis requirements.

F.4.2 The DCO shall instruct the Athlete to select partial Sample Collection Equipment in accordance with Clause D.4.4.

F.4.3 The DCO shall then instruct the Athlete to open the relevant equipment, pour the insufficient Sample into the container and seal it as directed by the DCO. The DCO shall check, in full view of the Athlete, that the container has been properly sealed.

F.4.4 The DCO and the Athlete shall check that the equipment code number and the volume and identity of the insufficient Sample are recorded accurately by the DCO. Either the Athlete or the DCO shall retain control of the sealed partial Sample.

F.4.5 While waiting to provide an additional Sample, the Athlete shall remain under continuous observation and be given the opportunity to hydrate.

F.4.6 When the Athlete is able to provide an additional Sample, the procedures for collection of the Sample shall be repeated as prescribed in Annex D – Collection of urine Samples until a sufficient volume of urine will be provided by combining the initial and additional Sample/s.

F.4.7 When the DCO is satisfied that the requirements for Suitable Volume of Urine for Analysis have been met, the DCO and Athlete shall check the integrity of the seal(s) on the partial Sample container(s) containing the previously provided insufficient Sample(s). Any irregularity with the integrity of the seal/s will be recorded by the DCO and investigated according to Annex A – Investigating a Possible Failure to Comply.

F.4.8 The DCO shall then direct the Athlete to break the seal/s and combine the Samples, ensuring that additional Samples are added sequentially to the first entire Sample collected until, as a minimum, the requirement for Suitable Volume of Urine for Analysis is met.”

The CSAC cannot establish that the prohibited substance found in sample CSAC 2639919 / UCLA YID108 came from Mr. Custodio’s urine, as opposed to coming from some other source that was introduced to the collection container (through contamination or tampering) during the 24 hour period that the collection container was open and unsealed (between May 18 and May 19). The documentation is utterly devoid of any mention of the actual collection date of May 19, 2012, and incorrectly states that the sample was collected the prior day. The documentation is also utterly devoid of any record of where or how the open and unsealed collection container was kept or stored

between May 18 and May 19. For any and all of these reasons, the doping allegations must be dismissed, and it is submitted that Mr. Custodio cannot be suspended or fined.

#### IV. CONCLUSION

For all of the foregoing reasons, it is submitted that the CSAC cannot meet its burden of proving, by a preponderance of the evidence, that the positive test was caused by the use of a banned substance. Therefore, it is submitted that the sanction and fine imposed on Mr. Custodio must be eliminated.

#### V. DESIGNATION OF WITNESSES

Rafael Custodio will testify regarding his background and experience as a mixed martial arts fighter; his drug testing history; the circumstances surrounding the collection of his urine sample(s) on May 18, 2012 and May 19, 2012; and the fact that he has not used any prohibited substances.

Ed Soares will testify regarding the circumstances surrounding the collection of Rafael Custodio's urine sample(s) on May 18, 2012 and May 19, 2012.


Paul Scott will testify regarding his attendance at the "B" sample testing, and the issue of chain of custody.

Rafael Custodio reserves the right to call additional witnesses during the Commission hearing on October 8, 2012.

RESPECTFULLY SUBMITTED,

DATED: Sept. 28, 2012

LAW OFFICES OF HOWARD L. JACOBS

By:   
Howard L. Jacobs  
Attorneys for Rafael Custodio

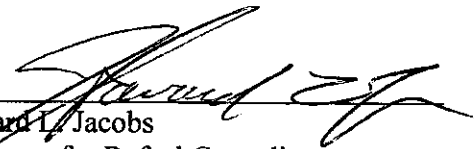
**CERTIFICATE OF SERVICE**

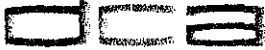
I hereby certify that on this \_\_\_<sup>th</sup> day of October 2008, a copy of the foregoing  
RAFAEL CUSTODIO'S PRE-HEARING BRIEF AND EXHIBITS was served by  
electronic mail, as follows:

Karen.Chappelle@doj.ca.gov

DATED: Sept. 28, 2012

LAW OFFICES OF HOWARD L. JACOBS

By:   
Howard L. Jacobs  
Attorneys for Rafael Custodio



CALIFORNIA STATE ATHLETIC COMMISSION  
2005 Evergreen Street, Suite 2010 Sacramento, CA 95815  
P (916) 263-2195 F (916) 263-2197 website: [www.dca.ca.gov/csac](http://www.dca.ca.gov/csac)



June 20, 2012

Antonio Tarver  
[REDACTED]

Notice of Suspension and Fine

Dear Mr. Tarver:

The results of your steroid test taken on June 1, 2012 were received on June 19, 2012 and they were positive. Attached are the results from the Lab.

The commission will be testing the "B" sample. You may witness the opening and analysis of that sample. Please advise me in writing no later than June 29, 2012 whether you wish to witness the opening and analysis of the "B" sample. If I have not heard from you by 5 PM on June 29, 2012 I will assume that you have declined that opportunity and will proceed accordingly.

Title 4 California Code of Regulations, Rule 303 states in part:

*The administration or use of any drugs, alcohol or stimulants, or injections in any part of the body, either before or during a match, to or by any boxer is prohibited.*

Based on the positive laboratory findings, your California license as a profession boxer is hereby suspended for 1 year, beginning on June 1, 2012 and you are fined \$2,500. The decision of the bout with Lateef Kayode will be changed to a "No Decision" based on Rule 368(4): "The winner of a bout tested positive immediately after the bout for a substance listed in Rule 303(c)".

Per Business and Professions Code section 18842, you have a right to appeal the suspension, fine, and change of bout decision before the Athletic Commission. You must submit your appeal request, in writing, within 30 days of the date of this letter. Upon receipt of your request, your appeal will be included on the agenda for the next available commission meeting.

If you have any questions, please feel free to contact me at any time (916) 263-2195.

Sincerely,

George Dodd  
Executive Officer  
California Athletic Commission  
2005 Evergreen St. Suite 2010  
Sacramento, CA 95815  
Office (916) 263-2195

Item 9(b)



**UCLA Olympic Analytical Laboratory**  
Dept. of Pathology and Laboratory Medicine  
2122 Granville Ave. Los Angeles, CA 90025  
Phone (310) 825-2635 FAX (310) 206-9077

WADA  
ACCREDITED 

**ISO/IEC 17025:2005**  
Chemical Testing  
Certificate: 1420.01

**CONFIDENTIAL**

**DRUG TESTING REPORT CSAC99 (YH104)**

June 18, 2012

California State Athletic Commission  
Attn: Sarah Waklee  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
Fax: (916) 263-2197

Dear Ms. Waklee:

This is a supplemental report for **CSAC 2639946 = UCLA YH104**, Boxing, collection date June 01, 2012 and date received June 04, 2012.

Urine specimen number **CSAC 2639946 = YH104** contains **2 $\alpha$ -Methyl-5 $\alpha$ -androstan-3 $\alpha$ -ol-17-one**, a metabolite of drostanolone.

Sanja Starcevic Ph.D.

*S. Starcevic*

6/18/12

Certifying Scientist

Signature

Date



UCLA Olympic Analytical Laboratory  
Dept. of Pathology and Laboratory Medicine  
2122 Granville Ave. Los Angeles, CA 90025  
Phone (310) 825-2635 FAX (310) 206-9077

WADA  
ACCREDITED



ISO/IEC 17025:2005  
Chemical Testing  
Certificate: 1420.01

**CONFIDENTIAL**

**DRUG TESTING REPORT CSAC99 (YH104)**

July 17, 2012

California State Athletic Commission  
Attn: Sarah Waklee  
2005 Evergreen Street, Ste. 2010  
Sacramento, CA 95815  
Fax: (916) 263-2197

**'B' SPECIMEN REPORT**

Specimen number : 2639946  
UCLA lab code : YH104  
Sport : Boxing  
Sample type : Urine  
Collection date : June 01, 2012  
Date received : June 04, 2012  
Date of analysis : July 13, 2012  
Condition and custody of sample : Acceptable  
Test method : 1001 anabolic agents by mass spectroscopy

**Analytical Finding: ADVERSE**

Urine specimen contains 2 $\alpha$ -methyl-5 $\alpha$ -androstan-3 $\alpha$ -ol-17-one, a metabolite of drostanolone.

Yulia Kucherova

Certifying Scientist

Signature

Date

7/17/2012

**UCLA OLYMPIC ANALYTICAL LABORATORY**  
**DEPARTMENT OF PATHOLOGY AND LABORATORY MEDICINE**  
 2122 GRANVILLE AVENUE LOS ANGELES, CA 90025 (310) 825-2635 FAX (310) 206-9077

**LABORATORY/SAMPLE INFORMATION**

6/1/12  
 Sample Collection Date

4:32 PM  
 Sample Collection Time

**TEST CONDUCTED FOR THE CALIFORNIA STATE ATHLETIC COMMISSION**  
**Anabolic Steroids and Masking Agents**

2	6	3	9	9	4	6	
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SAMPLE CODE NUMBER

Specific Gravity and PH levels within range?  YES  NO

Specific Gravity: Greater than or equal to 1.005  
 PH: Not less than 5.0 and not greater than 7.5

*Not Tested*

Marrriott - Torrance, CA.  
 Collection Site Name - City, State

Boxing  
 Sport

Golden Boy Boxing  
 Event Name

Mohammed Noor  
 Collector Name (Please print clearly)

[Signature]  
 Collector Signature

Declaration of any recent blood transfusions, as well as any medications and other substances, including vitamins, minerals, herbs, and other dietary supplements taken during the preceding three (3) days (enter "NONE" if none declared and draw a line through any unused spaces) (attach additional information if necessary):

Name of Substance	Dosage	Date Last taken	Name of Substance	Dosage	Date Last taken
Advil	2 Pills	5/30/12	None	~	~
Advil	2 Pills	5/29/12	None	~	~
None	~	~	None	~	~

Consent for research (optional): By checking "I Accept" and signing in the space provided, I agree that this sample may be used for anti-doping research purposes. When analyses is completed and this sample would otherwise be discarded, it may then be used by any WADA approved laboratory for anti-doping research of any type provided that it can no longer be identified as my sample.

I Accept  I Decline

**COMPLETED BY DONOR:**

I declare under penalty of perjury under the laws of the State of California that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license. I certify that I provided my specimen to the collector; that I have not adulterated it in any manner; each specimen bottle used was sealed with a tamper-evident seal in my presence; and that the information and numbers provided on this form and affixed to each specimen bottle are correct.

ANTONIO TARVER  
 Donor Name (Please print clearly)

[Signature]  
 Donor Signature

6.1.12  
 Date

4:37 PM  
 Time

**COMPLETED BY DONOR'S WITNESS:**

I assisted the Donor in completing this form and declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

[Signature]  
 Witness Name (Please print clearly)

[Signature]  
 Witness Signature

6/1/12  
 Date

4:37 PM  
 Time





California State Athletic Commission  
2005 Evergreen St. Ste. 2010  
Sacramento, CA 95815  
www.dca.ca.gov/csac/  
(916) 263-2195 FAX (916) 263-2197



**PRE-BOUT MEDICAL QUESTIONNAIRE**

***This questionnaire needs to be completed in full. Only the licensed Commission Physician evaluating the contestant may assist the contestant in completing this questionnaire.***

**Attention Physician:**

All available licensing medical examinations and competition history are in the Event Package. At a minimum the medical examinations available to you there are the MRI Diagnostic Report, Physical Examination, EKG Report, Neurological Examination, Ophthalmologic Examination and lab work. Please consult with the Event Supervisor if you need any additional information or if there is information missing.

If any condition is disclosed in this questionnaire, you shall immediately inform the Commission Representative and unless a clearance is received from the contestant's attending physician in consultation with you or a Commission approved physician, the contestant will not be allowed to compete.

You must be able to determine that the specific condition and or matter in question does not affect the contestant's ability to perform or present a potential threat to the contestant's health as a result of competing in the contest or match.

Contestant's Name: ANTONIO TARVER

Personal physician contact information:

Name: DR. MICHAEL O'NEAL Telephone number: 727 798 5426

When was your last bout, and what was the result of the bout? JULY 2011 (9TH RD. KO)

How much did you weigh when you began training for this bout? ~~220~~ Two weeks ago? 207  
220 lbs

Have you ever suffered any knockouts (KO's), technical knockout's (TKO's), or any kind of loss of consciousness in the last twelve (12) months during a bout, sparring or in any other activity?  YES  NO If yes, please list and give dates and details:

Have you ever had any broken bones or arthritis?  YES  NO If yes, please give date and the details: \_\_\_\_\_

Have you ever suffered any eye injury or had any eye problems?  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

Have you ever had any hearing problems?  YES  NO If yes, please give date and the details: \_\_\_\_\_

Have you ever had a neuromuscular condition, including peripheral nerves, muscle or brain problems?  YES  NO If yes, please give date and details: \_\_\_\_\_

Have you ever had any heart or cardiovascular condition?  YES  NO If yes, please give date and details: \_\_\_\_\_

Have you ever had any pulmonary or respiratory condition including asthma?  YES  NO If yes, please give details: \_\_\_\_\_

Are you pregnant?  YES  NO If yes, please give date the pregnancy was confirmed and refer to the Pregnancy Advisory Notice: \_\_\_\_\_

\* FIGHTER PUT NAME \*  
**PRE-BOUNT MEDICAL QUESTIONNAIRE**

Applicant Name: ANTONIO TRAYER

Have you ever had any renal or urological condition?  YES  NO If yes, please give date and details: \_\_\_\_\_

Have you ever had a hematological condition or any unusual bleeding or bruising problems?  YES  NO If yes, please give date and details: \_\_\_\_\_

**Do you have any conditions of which you are aware such as:**

Any surgical procedure?  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

Any serious illness, disease or allergy from either food or medicine?  YES  NO If yes, please give date and details: \_\_\_\_\_

Any lacerations (cuts) requiring sutures in the last 90 days?  YES  NO If yes, please list and give dates and details: \_\_\_\_\_

**To your knowledge have you taken any of the following:**

Any medication or drug either over the counter or prescribed?  YES  NO If yes, please list and give dates and details: APROSYN / TRAMADOL ANTI-INFLAMMATORY / PAIN.

Any medication, drug or vitamin supplement to help you lose weight for this bout?  YES  NO If yes, please list and give dates and details: Supplements

Any vitamin or nutritional supplement?  YES  NO If yes, please list and give dates and details: BODY CUSTOM PROTEIN

**Have you undergone any of the following medical examinations:**

MRI or CT scan of the brain? (Brain imaging scan)?  YES  NO If yes, please list and give dates and details: MANDATED BY COMMISSION - MAY 2012

EEG (Test that measures electrical activity in the brain)?  YES  NO If yes, please list and give dates and details: 11 17

EKG (Test that measures electrical activity of the heart)?  YES  NO If yes, please give date and details: 11 MAY 2012

ANTONIO TRAYER  
Fighter

PRINT NAME

Antonio Trayer  
Fighter

SIGNATURE

ONLY SIGN IF YOU ARE SOME ONE ELSE OTHER THAN THE FIGHTER FILLING THIS OUT

I, the CONTESTANT, declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

BLAID JOHNSON  
PRINT NAME

SIGNATURE Need driver Lic # ALSO ONLY IF FILLING OUT FOR FIGHTER

I, \_\_\_\_\_ assisted the Contestant in completing this form and declare under penalty of perjury under the laws of the State of California, that the foregoing information is true and correct; further I realize that any intentional misrepresentation may result in disciplinary action against my license.

**COMMISSION PHYSICIAN CONDUCTING THIS EVALUATION:**

BLAID JOHNSON  
NAME (print)

Blaid Johnson  
SIGNATURE

DATE: 6/1/13

TIME: 11:01 AM

HOWARD L. JACOBS  
LAW OFFICES OF HOWARD L. JACOBS  
2815 Townsgate Road, Suite 200  
Westlake Village, CA 91361  
(805) 418-9892

Attorneys for Antonio Tarver

CALIFORNIA STATE ATHLETIC COMMISSION

IN THE MATTER OF ANTONIO TARVER, SPECIMEN I.D. # CSAC 2639946 /  
UCLA YH104

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**ANTONIO TARVER'S PRE-HEARING BRIEF AND EXHIBITS**

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**I. INTRODUCTION**

This matter arises from a report of a positive drug test for “drostanolone metabolites,” in connection with Antonio Tarver’s June 2, 2012 bout with Lateef Kayode. Antonio Tarver denies using any prohibited substances, and specifically denies using drostanolone or any other substance that could have caused this test result.

Mr. Tarver has been a professional boxer since 1997, after winning the World Amateur Championships in 1995 and after winning a bronze medal at the 1996 Olympic Games. He was heavily drug tested as an amateur boxer, and has been tested in connection with virtually every one of his 35 professional bouts over the last 15 years. He has never previously tested positive, because he has never used any prohibited substances. At the end of his professional boxing career, and at the beginning of his new career as a boxing analyst, it would make little sense for Antonio Tarver to use prohibited substances.

Antonio Tarver has done everything in his power to prove that he did not use any prohibited substances. His treating doctor has exhaustively outlined his medications, and the steps taken by Antonio Tarver to avoid the use of any prohibited substances. See September 25, 2012 letter from Dr. O'Neal, attached as Exhibit 1. Antonio Tarver has also tested his over the counter supplements, to try to determine if they were contaminated with a substance that could have caused his positive test (given that the positive test is at a fairly low concentration level that would be consistent with a contaminated supplement). See Aegis test results, attached collectively as Exhibit 2.

For all of these reasons, Antonio Tarver respectfully requests that his fine and suspension be eliminated; or in the alternative, that the fine be maintained but the suspension be reduced to 6 months.

## **II. BURDEN OF PROOF**

As previously stated by the California Attorney General, the burden of proof in a doping case brought by the CSAC is the "preponderance of the evidence" standard. This standard is defined by the California Jury Instructions applicable to civil proceedings as follows: "Preponderance of the evidence" means evidence that has more convincing force than that opposed to it. If the evidence is so evenly balanced that you are unable to say that the evidence on either side of an issue preponderates, your finding on that issue must be against the party who had the burden of proving it."

**II. THE POSITIVE TEST IS AT A RELATIVELY LOW CONCENTRATION THAT WOULD BE CONSISTENT WITH SUPPLEMENT CONTAMINATION OR SOME OTHER INADVERTENT CONSUMPTION**

The UCLA lab documents related to sample CSAC 2639946 / UCLA YH104 are attached hereto as Exhibit 3. While the test for drostanolone is not a quantitative test, semi-quantitative estimates of concentration levels can be obtained by comparing the abundance of the relevant peaks of drostanolone in the athlete sample to that found in the 150 ng/mL positive control (and adjusting for differences in the abundance of the respective internal standards). That documentation shows the chromatograms for the “A” sample confirmation at page 25, which data reveals the following:

	<b>Internal Standard Abundance</b>	<b>Primary Ion (m/z 286) Abundance</b>	<b>Ratio</b>
<b>Athlete Sample</b>	8000	1681	0.21
<b>150 ng/mL positive control</b>	12000	4678	0.39

From these figures, it can be roughly estimated that the concentration level of drostanolone metabolites in the “A” confirmation sample is 80 ng/mL.<sup>1</sup>

The documentation also shows the chromatograms for the “B” sample confirmation at page 36, which data reveals the following:

	<b>Internal Standard Abundance</b>	<b>Primary Ion (m/z 286) Abundance</b>	<b>Ratio</b>
<b>Athlete Sample</b>	9000	2061	0.23
<b>150 ng/mL positive control</b>	16000	5085	0.31

<sup>1</sup> 1 nanogram = 1 billionth of a gram

From these figures, it can be roughly estimated that the concentration level of drostanolone metabolites in the "A" confirmation sample is 110 ng/mL.

### **III. THE CSAC HAS HISTORICALLY REDUCED SUSPENSIONS IN INSTANCES WHERE THE CIRCUMSTANCES REVEALED LIKELIHOOD OF AN INADVERTENT POSITIVE TEST**

In certain past cases where the circumstances revealed the likelihood of an inadvertent positive test, or where the length of a fighter's career or other circumstances made intentional doping improbable, the CSAC has seen fit to reduce the fighter's suspension. See, e.g.,

[http://www.dca.ca.gov/csac/about\\_us/meetings/20070806\\_minutes.pdf](http://www.dca.ca.gov/csac/about_us/meetings/20070806_minutes.pdf) [attached as Exhibit 4] (James Toney, suspension reduced to 6 months);

[http://www.dca.ca.gov/csac/about\\_us/meetings/20071204\\_minutes.pdf](http://www.dca.ca.gov/csac/about_us/meetings/20071204_minutes.pdf) [attached as Exhibit 5] (Sean Sherk, suspension reduced to 6 months); and

[http://www.dca.ca.gov/csac/about\\_us/meetings/20071031\\_minutes.pdf](http://www.dca.ca.gov/csac/about_us/meetings/20071031_minutes.pdf) [attached as Exhibit 6] (Phil Baroni, suspension reduced to 6 months).

### **IV. CONCLUSION**

For all of the foregoing reasons, Antonio Tarver respectfully requests that his fine and suspension be eliminated; or in the alternative, that the fine be maintained but the suspension be reduced to 6 months.

### **V. DESIGNATION OF WITNESSES**

Antonio Tarver will testify regarding his background and experience as an amateur and professional boxer; his drug testing history; the circumstances surrounding

the his June 2, 2012 urine sample; and the fact that he has not used any prohibited substances.

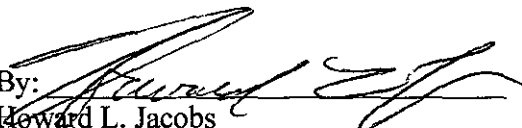
Dr. Michael O'Neal will testify regarding his treatment of Antonio Tarver, and the steps taken to avoid the use of any prohibited substances.

Antonio Tarver reserves the right to call additional witnesses during the Commission hearing on October 8, 2012.

RESPECTFULLY SUBMITTED,

DATED: Sept. ~~28~~ 2012

LAW OFFICES OF HOWARD L. JACOBS

By:   
Howard L. Jacobs  
Attorneys for Antonio Tarver

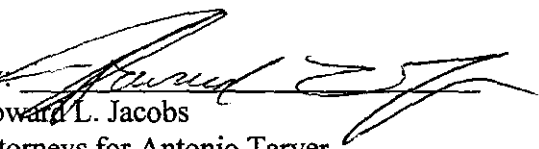
**CERTIFICATE OF SERVICE**

I hereby certify that on this 28th day of September 2012, a copy of the foregoing ANTONIO TARVER'S PRE-HEARING BRIEF AND EXHIBITS was served by electronic mail, as follows:

Karen.Chappelle@doj.ca.gov

DATED: Sept. ~~28~~ 2012

LAW OFFICES OF HOWARD L. JACOBS

By:   
Howard L. Jacobs  
Attorneys for Antonio Tarver



Department of Consumer Affairs  
California State Athletic Commission

Commission Meeting

Agenda Item 9 (c)  
Appeal of Suspension/Fine  
Fred Peterson – Timekeeper



Chairman John Frierson  
Vice-Chairman Eugene Hernandez  
Kathi Burns, Interim Executive Officer



California State Athletic Commission  
2005 Evergreen St., Ste. #2010  
Sacramento, CA 95815  
[www.dca.ca.gov/csac/](http://www.dca.ca.gov/csac/)  
(916) 263-2195 FAX (916) 263-2197



July 16, 2012

Fred Peterson  
[REDACTED]

**RE: NOTICE OF SUMMARY SUSPENSION PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 18842**

Dear Mr. Peterson:

Please be advised that pursuant to Business and Professions Code section 18842, and section 390 of Title 4 of the California Code of Regulations, your license as a timekeeper for the California State Athletic Commission, is being suspended for a period of six months starting on August 1, 2012.

Business and Professions Code section 18842 provides:

The commission, executive officer and other employees duly authorized by the executive officer, shall have the power to suspend temporarily, any license until final determination by the commission when, in his or her opinion, the action is necessary to protect the public welfare or is in the best interest of boxing or mixed martial arts.

The suspension may be without advance hearing, but the suspended licensee may apply to the commission for a hearing on the matter to determine if the suspension should be modified or set aside. The application for a hearing shall be in writing and shall be received by the commission within 30 days after the date of suspension. Upon receipt of such written request, the commission shall set the matter for hearing within 30 days.

Section 390 of Title 4 of the California Code of Regulations provides in pertinent part: "any licensee who conducts himself. . .at any time or place in a manner which is deemed by the commission to reflect discredit to boxing, may have his. . .license revoked, or may be fined, suspended, or otherwise disciplined in such manner as the commission may direct."

This suspension is based on your performance while working as a timekeeper during the event at the Home Depot Center on July 7, 2012 between Nonito Donaire vs. Jeffrey Mathibula.

While working as the timekeeper you unintentionally lessened the amount of time in round 10 by thirty seconds during a World Championship fight. Although you indicated that it was hard to see the clock during the event due to the sun, it still does not reflect the required precision needed to ensure that time is kept properly.

The commission takes the ability and performance of our officials very seriously and expects professional quality timekeeping at all times.

Pursuant to Business and Professions Code section 18841, the Commission may suspend, revoke or place on probation any license which it issues. If you care to discuss the contents of this letter or have any questions, please feel free to call.

Sincerely,



George Dodd  
Executive Officer  
California State Athletic Commission  
916-263-2196



### REQUEST FOR APPEAL (Pursuant to Rule 368)

This request is to be mailed to the Commission office addresses listed above AND the Office of the Attorney General at 300 South Spring Street, Ste. 5212, Los Angeles, CA 90013

Appellant Name: Frederick Douglas Peterson Jr.

Appellant Address: [REDACTED]

Appellant Telephone Number: [REDACTED]

Appellant E-mail address: [REDACTED]

Type of License Appellant holds: Timekeeper AND Judge  
TN014/2011 TN1012/2011

Is Appellant represented by an Attorney? Yes  No If yes, please provide contact information:

Attorney Name: \_\_\_\_\_

Attorney Address: \_\_\_\_\_

Attorney Telephone Number: \_\_\_\_\_

Attorney E-mail Address: \_\_\_\_\_

Will you require the services of an interpreter? Yes  No If yes, what language? \_\_\_\_\_

#### STATEMENT

Provide a detailed statement showing grounds for reduction or dismissal of the fine or suspension, as applicable. Use additional sheets of paper if necessary.

This WRITTEN STATEMENT, which is ATTACHED AND HAVE been mailed to George DODD, whom I expect to be present AT SAID hearing is hereby ATTACHED (COPY OF) This letter WAS mailed by one CERTIFIED MAIL on July 25, 2012. My CASE of my plea for dismissal will be TOTALLY based on This letter with one CORRECTION.

Frederick Douglas Peterson Jr.  
Frederick Douglas Peterson Jr.  
Appellant Signature

July 28, 2012  
Date of Request

July 25, 2012

Athletic Commission  
Department of Consumer Affairs  
2005 Evergreen Street, suite 2010  
Sacramento, California  
Attn:  
George Dodd  
Executive Officer

I, Frederick Douglas Peterson Jr., do on this date of July 17, 2012, acknowledge having received this letter from you in which I am being charged with having violated Business and Professional Code section 18842 and in accordance with section 390 of Title 4 of the California Code of Regulations I may be fined, suspended, or otherwise disciplined in such manner as the commission may direct.

Your recommendation, I understand is that for this unintentional mistake on my part, you are recommending that my timekeeper license be suspended for the period of six (6) months. I have the right to make a call to the commission to discuss my charges and the right to request a hearing within 30 days after the date of the suspension, which I am doing at this time in the writing of this letter to you.

After many efforts to call several people at the commission who should be able to hear me and give my case consideration and not receiving a return call from any of them, I wrote a statement to the Department of Consumer Affairs merely to get the attention of someone by a return call.

I, admit to this mistake that I made in the loss of 30 seconds of a round, and the only excuse that I can submit is that after all these years of service to the commission I learned not to get so rapped up in observing the ring technics being used by a certain well trained boxer and the excitement of the crowd plus the discrepancies with the TV timeclock which were being corrected by my assistant at the time of my mistake, I had already knocked too soon.

Some time ago I should have not only accepted this wonderful citation I got from the then retiring Executive Officer in congratulation for my many long years of service and best wishes as I moved to Texas, but I should have went on and retired with a record of no blimishes.

I truly love this job and not only try to do the best I can to make us the best officiating organization in the world, by passing on bits of info I have learned on to our acting officials but also to assist at the training sections in anyway I am listened to and to listen myself, so I can become better and better in my assignments.

May I please be allowed to plead for a lesser sentence or a dismissal at this time.

Permit me to make a few suggestions that I feel will be highly beneficial to our officiating staff in order to perform at our maximum abilities, although it is to be understood that none of us are dismissed from making mistakes due to our human frailness.

since we do have two timekeepers assigned to the televised events, the one who isn't assigned to a given bout will act as back up to the other as to keeping a running stopwatch, if need be attend to the functions of the tv clock, otherwise the tv personnel assign someone to handle their timing equipment.

The timekeepers timing is to be the correct timing observed by the tv crew for each round.

Untitled

The Referees are requested to cooperate with the timekeeper by occasional eye contact and listening especially at the beginning and ending of a round, face timekeeper when calling timeout and giving proper sign, eye contact when picking up count from timekeeper after knockdowns. Eye contact so that timekeeper can signal a object in the ring or some discrepancy, such as a mouth piece. All of this adds up to team work between the referee and the timekeeper. Some times the Referee has failed to call timeout when it appears to be needed.

Some announcers and timekeepers need to stop danceing to the music prior to a bout and flirting with the audience before and after a bout. Some of the announcers movements are very confusing to us timekeepers when we are waiting for his sign from the TV person to announce the next fight.

The extra timekeeper should assist in touching or informing his mate at anytime they note something the other might not have observed.

Both timekeepers should be attentive to the instructions offered by the TV person as to the operation of their timepiece prior to a show.

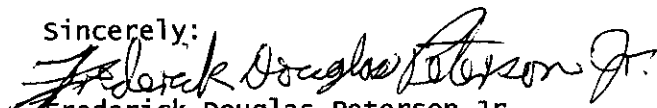
Thank you for your kind attention and of course I plead for leniency and hope to be allowed to be of service in this job I like for a few more years. Can I be assigned as a judge with my license during a suspension of my timekeeping license.

My phone number again is [REDACTED], cell phone [REDACTED] fax number is [REDACTED]  
[REDACTED] email address is [REDACTED]

I always reply to my contacts and assignments.

In God we Trust.

Sincerely:

  
Frederick Douglas Peterson Jr.  
timekeeper and judge

**CALIFORNIA STATE ATHLETIC COMMISSION**

1424 HOWE AVENUE, SUITE 33, SACRAMENTO, CA 95825-3217 (916) 263-2195 FAX (916) 263-2197  
5757 W. CENTURY BLVD., GF-16, LOS ANGELES, CA 90045 (310) 641-8668 FAX (310) 641-8516



September 22, 2006

California State Athletic Commission  
Annual Officials' Training  
Anaheim, California

Re: **RECOGNITION OF FRED PETERSON**

Dear Mr. Peterson:

Thank you for your efforts in making the sport of professional boxing and mixed martial arts safer and fairer through your participation.

Your dedication and commitment will help both seasoned and aspiring officials develop their skills for the benefit of our athletes.

Your attention to detail and concern for our athletes has kept us ahead of most other states when it comes to developing the professional boxing and mixed martial arts official.

As you retire, I will miss working with you and championing mutual efforts in keeping California the number one state for professional boxing and combative sports.

Sincerely,

Armando Garcia  
Chief



27, August, 2012

Kathi Burns  
Executive Director  
Ca. State Athletic Commission  
2005 Evergreen St., Suite 2010  
Sacramento, Ca. 95815

Dear Kathi:

I, Frederick D. Peterson, licensed timekeeper and judge with our Ca. State Athletic Commission, take this opportunity to write to you, after having a phone conversation this morning with Che.

During this conversation, I learned from him that i have been completely misjudged as to my ability and attutude to abhere to obey the instructions and orders of this commission. When I requested that i be used as a judge in the verious fights other than boxing, he demonstrated to me in his words that he thought that I am the type to be bullheaded in the method I choose to judge a bout, even when the inspector in charge and a couple others did not agree in my judgement. He even went so far as to tell me what he would have done at the next assignment as judge in order to cooperate.

Of course I expressed to him that I had the same intentions to do exactly what he was suggesting but nevergot the second chance and I was trained at the very first meeting of officials for MMA events, by the same person in charge of training now, to do what I did that time, give a 10-8 if the the other fighter was dominate.

It never past my mind that just because of my many years of training and experience that I should ever use this knowledge not to cooperate in every way that I can with the people placed in charge so that we can have the best officiating group of officials in this country.

The only thing is that, in some way, for some unknown reason, I am looked up on, via partiality. to be perfect and not given an opportunity to do better the next time, accompanied with the maximum penalty that can be imposed for making the first mistake and denied the opportunity to show that I learned by the instructions given after an event.

On many occasions I have sit in on the after event meetings and observed that not a word being said about other officials making the same or far worse mistakes than I have during an event, both with the timekeeping and with the officiating, where the health and welfare of the fighters have been misused to the maximum and not a word said about this type of officiating. These same officials are used at other events with no type of restriction.

All I ask is a fair shake since my sole purpose of volunteering to officiate at these events is to, not only to perform the best of my ability ,but also to abide by the rules as presented by our Athletic Commission.

If it should be that you want me to further speak with you about the people involved in my writing of these partiality demonstration I speak of earlier, than please, feal free to call me at 951 769 7223.

Otherwise I am asking to be given another chance to demonstrate my ability to judge as I have been instructed and look forward to pleading for mercy on October 10th in ref. to my timekeeper charge. I am well trained to carry out orders.

Permit me this opportunity to congratulate you on your promotion as our Executive Officer and I look forward to serving you well and pray for your great and successful leadership.

Page 1

California Athletic Commission

AUG 30 2012 9C-7

Sincerely  
Frederick D. Peterson Jr.



~~unfiled~~

July, 29, 2012

California State Athletic Commission  
2005 Evergreen Street, Suite 2010  
Sacramento, California

I, Frederick Douglas Peterson Jr., do on this date of July 29, 2012, acknowledge having received this application from the acting executive officer, Kathie Burns, in which I am to file for a hearing, regarding the charges against my timekeeping license, in which I do plead for understanding and leniency based on the many years I have provided my unblemished service to the Commission as a Referee, Judge and timekeeper. Also having officiated since 1967, as first a AAU Official duly trained and then a USA official up to the year of 1998. During which time I acted as a official during five olympic trials throughout the USA. My knowledge of both boxing and martial arts stems from competing since I was nine years old in boxing, 1934, competing in same during WW2 South Pacific smokers, Martial arts training for WW2 in South Pacific in Jui jitsu and judo 1943 thru 1945. Due to founding of my own style of Self Defense, training and officiated all over our country since 1947, I have been honored today as having the highest ranking in our country and possibly the world in Martial Arts. Helped train and still pass on helping advise to a number of our young professionals when they were amateur and now. They accept this advise from me for the benefit of performing at their best.

Your recommendation, I understand is that for this unintentional mistake on my part that my timekeeper license be suspended for the period of six (6) months. I do have the right to make a call to the commission to discuss these charges, which I have done on number of occasions and was unable to get a return call from no one. This is why I referred my cause to The Department of Consumer's affairs. Kathie called me with the statement that she could not get in touch with me. I, referred her to the many methods that are on record to get in touch with me. She kindly allowed me to keep the assignments which I had up to August first. This was turned around on one assignment by George Dodd, who had already notified us of his resignation August 1, 2012.

I, hereby request that he be present, at these proceedings. Since he appears to be my accuser.

I, admit to this mistake that I made in the loss of 30 seconds of one round during a whole night of timekeeping and the only excuse I have to present, is that, after all these years and record I have performed, I have learned not to get so rapped up in observing the boxing techniques being used by the well trained Filipino fighter, during this round in question, and the excitement of the crowd plus the discrepancies with the TV timeclock, which were being corrected continuously by my assistant. I had knocked thirty seconds too soon for the ending of the round coming up. I was unable to get the attention of the referee due to his back to me, for I wanted to wave the mistake off.

Permit me to stress that several years ago I was going to move to Texas and received a well appreciated citation from the then Executive Officer, Garcia, in which he highly commended me for the many years of service. I failed to move to Texas and keep this flawless record.

I, hereby plead for a dismissal of this charge and would like to submit a few very helpful suggestions that not only, I feel, will help prevent such a mistake as I made to happen again but will also improve the whole officiating status of California. These suggestions come under the heading of cooperation and team work.

Since we do have two timekeepers assigned to Televised Shows, these timekeepers should be required to assist each other during every round of the fights, with the use of their stopwatch, observing the referee when he calls for timeout, since many

~~omitted~~  
times his back is turned to the timekeeper on duty. A couple of the present timekeepers and I do this already.

The timekeeper, who is not performing the service of the bout, should be assigned to keeping of the time with the TV clock, only if the TV personnel don't assign there own person.

The timekeeper's time should always be accepted over the TV timer.

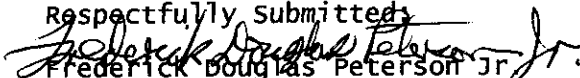
The referee and the timekeeper should maintain occasional eye contact. It, of course, being understood that the referee can't hold continuous eye contact. This enables the timekeeper to inform the referee of objects in the ring such as, knocked out mouth pieces and etc., and any infractions of the rules that the referee might not have seen, waveoff timing mistakes, pick up the count from the timekeeper upon knockdowns and/or the fighter can't go down because of the ropes.

The referee and announcer should be aware that their actions in the ring are not only observed by a world audience most times but also can be misinterpreted by the timekeeper especially if they are seen dancing and making movements to the music when awaiting the signal from the TV person to announce a round. An example of this is a hand motion towards the timekeeper when awaiting the signal from the TV person.

Why do some TV stations request that the announcer not announce the names of the timekeepers of a fight?

Thank you for your kind attention. I have pleaded my case and I am at your mercy. I love the job I do for the commission and all the fellow employees.

Respectfully Submitted,

  
Frederick Douglas Peterson Jr.  
Timekeeper and Judge licensed.