



Members of the Commission

John Carvelli, Chair
Mary Lehman, Vice Chair
Martha Shen-Urquidez
Van Gordon Sauter
Vernon Williams, M.D.
Luis Ayala
James Araby

COMMISSION MEETING AGENDA

Tuesday, February 19, 2019
10:00 a.m. - Conclusion of Business

Location:

*DoubleTree Suites by Hilton Anaheim Resort
2085 South Harbor Blvd., Tuscany Room
Anaheim, CA 92802*

ORDER OF ITEMS SUBJECT TO CHANGE

OPEN SESSION

1. Call to Order/Pledge of Allegiance/Roll Call/Establishment of a Quorum.
2. Welcome - Chairman's Opening Remarks.
3. Election of Officers for 2018 Pursuant to the Requirements of Business and Professions Code section 18606.
4. Approval of the December 11, 2018, Commission Meeting Minutes.
5. Update from Dean Grafilo, Director of the Department of Consumer Affairs.
6. Review and Possible Action on Petition to Change the Decision for Cathilee Zingano vs. Megan Anderson bout on December 29, 2018, in Los Angeles, CA.
7. Review and Approval of changes to Examination of Boxer Applicants regulation language.
8. Subcommittees Updates:
 - a. Pension Fund Subcommittee (Commissioners Lehman and Ayala)
 - i. Report from Beth Herrington from Benefit Resources regarding the pension fund administration.
 - ii. Report from Cyril Shah of Raymond James Financial Services regarding the status of the Pension Fund investment account and allocation of assets.
 - iii. Reassessment of criteria for eligibility.
9. Discussion and Possible Action of creation of Pension Benefits for Mixed Martial Arts Athletes.

10. Executive Officer's Report
 - a. Budget Update for the Support Fund, the Neurological Fund, and Pension Program
 - b. Report on Pending and Proposed Regulations
 - Examination of Boxer Applicants (Amendment to Title 4, California Code of Regulations section 280.)
 - c. Status Update of Delegated Entities
 - California Amateur Mixed Martial Arts Organizations (CAMO)
 - USA Boxing (USA Boxing)
 - United States Fight League (USFL)
 - International Kickboxing Federation (IKF)
 - d. Update on C3 Logix Sports Concussion Management Program
 - e. Staffing update
 - f. Association of Boxing Commissions Rating Criteria
 - g. Association of Boxing Commissions (ABC) Report on Number of Shows Regulated
 - h. Upcoming Event Schedule and discussion regarding event activity
11. Business and Professions Code section 18640.5 requires the Commission to invite stakeholder testimony at Commission meetings in order to identify actions that may lead to greater opportunities for licensees to participate in major professional boxing contests.
12. Public Comment on Items Not on The Agenda.
(The Commission may not discuss or take action on any matter raised during this public comment section, except to decide whether to place the matter on the agenda of a future meeting pursuant to Government Code sections 11125 and 11125.7(a))

CLOSED SESSION

13. The Commission will meet in closed session as authorized by Government Code §11126(e)(1) to confer with legal counsel regarding pending litigation.

OPEN SESSION

14. ADJOURNMENT

NOTICE: The meeting is accessible to the physically disabled. A person who needs disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Heather Jackson at (916) 263-2195 or email heather.jackson@dca.ca.gov or sending a written request to the California State Athletic Commission, 2005 Evergreen Street, Suite 2010, Sacramento, CA 95815. Providing your request at least five (5) days before the meeting will help ensure availability of the requested accommodation. Requests for further information should be directed to Heather Jackson at the same address and telephone number. Meetings of the California State Athletic Commission are open to the public except when specifically noticed otherwise in accordance with the Open Meetings Act. The audience will be given appropriate opportunities to comment on any issue presented. This meeting will be available for viewing via live webcast. To view the webcast, click the following link and click on the Athletic Commission's link on the Calendar: <https://thedcapage.wordpress.com/webcasts>

Please note - While the Athletic Commission intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.



AGENDA

ITEM #4



DEPARTMENT OF CONSUMER AFFAIRS
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

CALIFORNIA STATE ATHLETIC COMMISSION

2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815

Phone: (916) 263-2195 | Fax: (916) 263-2197

Website: www.dca.ca.gov/csac | Email: csac@dca.ca.gov



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Agenda items may be taken out of order the
agenda except public comment.
Action may be taken on any item listed on

CALIFORNIA STATE ATHLETIC COMMISSION

MEETING MINUTES

Tuesday, December 11, 2018
10:00 a.m. - Conclusion of Business

Location:

*California State Capitol
Room 127
Sacramento, CA 95814*

Commissioners Present

Chair John Carvelli
Vice Chair Mary Lehman
Commissioner Martha Shen-Urquidez
Commissioner Vernon Williams, MD
Commissioner Van Gordon Sauter
Commissioner Luis Ayala

Staff Present

Andy Foster, Executive Officer
Spencer Walker, Legal Counsel
Heather Jackson, Staff
Alma Amaya, Staff

OPEN SESSION

Agenda Item 1 – Call the meeting to Order / Roll Call / Pledge of Allegiance/
Establishment of Quorum

The meeting was called to order at 10:00 a.m., Executive Officer (EO) Andy Foster called roll and a quorum was established.

Agenda Item 2 – Welcome –Chairman’s opening remarks

Vice Chair Lehman welcomed everyone to the meeting and summarized significant events of the past calendar year. She stated the last two out of three years showed record high revenues and a stable budget, thanks to EO Foster. In addition, the Commission had numerous high-profile TV fights and title fights this year, including a boxing World Heavy Weight Title fight.

She further stated the Commission is on track to meet its goal of 1,000 C3 Logix neurological examinations. Also, the 10-point plan to reduce dehydration has been shared, revised and adopted not only nationally, but internationally. With this plan, the Commission identified fighters in danger of dehydration and moved them up to more appropriate weight classes. Vice Chair Lehman further stated changes to the ratings criteria for ranking boxers include weight cutting as a major factor. She also emphasized the importance of changing the culture of weight cutting.

Vice Chair Lehman shared the Commission licensed its first transgender athlete and used the license provisions adopted a few years ago. Vice Chair Lehman stated EO Foster has an important job and it is hard work. The Commission is hoping to get the Chief Athletic Inspector classification reinstated to assist EO Foster.

Agenda Item 3 – Approval of the October 2, 2018, Commission Meeting Minutes

EO Foster presented the October 2, 2018, Commission Meeting minutes for approval with three additional changes.

Commissioner Shen-Urquidez motioned to approve the minutes.

Commissioner Williams seconded the motion.

No commissioner or public comment.

The motion passed 5-0 by roll call vote.

Agenda Item 9-Executive Officer's Report

a. **Budget Update for the support Fund, the Neurological Fund, and Pension Program**

The EO presented to the Commission the updated revenue numbers as of the morning of December 11, 2018. The support fund has \$1,111,755, the neurological fund has \$7,609.78, and the boxing pension fund has \$251,291.68, part of which was a transfer from Raymond James.

b. **Report on pending and proposed Regulations**

The Commission is working with the new process to obtain approval for proposed regulations. Chief Legal Counsel with the Department of Consumer Affairs made a few changes, which staff is in the process incorporating into the proposed regulations. Mr. Spencer Walker, staff counsel, informed the Commission the recommended language changes will require further Commission approval.

EO Foster stated that staff have been working with the Department of Consumer Affairs on this regulation change for some time now, and perhaps it would be faster to get this language approved through legislation rather than the regulatory process. Vice Chair Lehman stated once we get the new language, the Commission could do a special telephonic meeting to approve the language.

c. Status update of Delegated Entities

- **USA Boxing (USA Boxing)**

Mr. Mike McAtee presented the Commission with his written report, which is contained in the meeting materials. Mr. McAtee added a few things to the report about California boxers, officials and coaches who participated in the National Championship in Salt Lake City. Specifically, 174 boxers, 89 coaches and 7 officials from California participated in the National Championship. Several boxers from California became National Champions and those numbers will be provided to Commission in writing.

USA Boxing will be starting a concussion protocol baseline test pilot program. They will select 5 or 6 LBC's from their total of 53 LBC's to begin the program. One of them will be California. USA Boxing will work with the LBC Presidents and EO Foster on the program. The Task Force will be chaired by one of their doctors who also works with the USOC to establish those baselines to test their elite boxers over the age of 18.

The 10-point weight management system CSAC instituted was shared with their elite teams, who were very supportive of weight management. In fact, some of them wanted to see the system implemented sooner rather than later. USA Boxing wanted to note the transgender boxer who competed in California also competed at the 2012 Olympic Trials and USA Boxing is incredibly proud of that boxer.

Vice Chair Lehman asked Mr. McAtee what they are using to determine the number of their injuries since the report submitted noted zero. Mr. McAtee informed the Commission the injury report is determined by the doctor working the event.

Vice Chair Lehman asked why the Southern California LBC lost so much money. Mr. McAtee thought maybe their expenses are more than their revenue (what they charge for admission.) They are spending their money on membership. Vice Chair Lehman asked why the Border LBC has had so few bouts. Mr. McAtee stated the amount of participation in Border is low and they are working to increase their membership.

- **California Amateur Mixed Martial Arts Organizations (CAMO)**

EO Foster stated he and JT Steele, President of CAMO, worked closely together this year getting all the events staffed with officials. There is a good group coming up from CAMO who are on track to get licensed as officials with CSAC. EO Foster also stated having this new batch of officials is going to be helpful to fill the industry gap John McCarthy left when he retired as a referee.

JT Steele, President of CAMO stated they had the opportunity to work with Golden Boy Promotions and CSAC during Golden Boy's introductory event into MMA. It was a big night for CAMO. They had 5 state amateur fights on their undercard, and it was a great opportunity to spotlight the amateur athletes and officials coming out of CAMO. This was also the first time they drug tested the entire amateur card. Contact Sports Foundation donated the drug testing kits to CAMO. Mr. Steele stated he will be working with EO Foster to standardize the suspensions and protocols to remain consistent and predictable for the amateur athletes. CAMO ended the year very strongly.

Vice Chair Lehman asked if CAMO planned to continue to drug test. Mr. Steele stated CAMO is committed and will be part of program in the future.

- International Kickboxing Federation (IKF)

EO Foster informed the Commission he and Steve Fossum, President of IKF, worked closely together on every kickboxing bout held in California. EO Foster and Mr. Fossum worked many professional/amateur cards together, and, they should work on a model that is cost effective for promoters. Mr. Fossum proved very valuable in his assistance in making sure kickboxing matches are reasonable.

Mr. Fossum echoed EO Foster's comments regarding the professional/amateur events. Mr. Fossum thinks more can be done about assigning officials to be cost effective to the promoter. Mr. Fossum uses CSAC officials when possible to work the amateur bouts. Mr. Fossum also stated he combines CSAC inspectors with IKF inspectors and uses the ringside physician assigned by EO Foster. He further stated there are additional areas where expenses can be cut. They are looking into ways to make this more feasible for the promoters. The number of professional/amateur shows are increasing which is a benefit to the sport.

Mr. Fossum advised the Commission of the possibility of regulating "Sanshou", also known as Chinese Kickboxing, which was done years ago, and some promoters would like to see it come back. Mr. Fossum would like to start it on a trial basis and do one training event with Kung Lee, who went into MMA from Sanshou. Mr. Fossum requested permission from the Commission to conduct a training event to bring Sanshou back to California.

Vice Chair Lehman thanked Mr. Fossum for the detailed medical suspension report. It was very informative to see the details broken down. Vice Chair Lehman stated Sanshou will have to be looked at to see what it entails. Mr. Fossum stated Sanshou was in the rules at one time, however, he thinks it was removed when MMA started in California. Vice Chair Lehman

requested this be included as an agenda item for the next meeting. She also asked EO Foster and Commissioner Shen-Urquidez investigate Sanshou further and put together a report with a recommendation based on their findings. Mr. Fossum again stated promoters asked if they can do a training event on Sanshou. Vice Chair Lehman informed Mr. Fossum he couldn't until it was approved and to please work with EO Foster and Commissioner Shen-Urquidez on it.

- United States Fight League (USFL)

Jon Frank submitted his report to the Commission but stated there were two additional events since the reports were completed. An event on December 7, 2018 reported one lower back strain injury, and an event on December 8, 2018, reported no injuries.

Dr. Adam Brooks presented a PowerPoint to the American Association of Ringside Physicians and is included in the packet submitted by USFL to the commission. Mr. Frank stated it was a good study and good to see the type and number of injuries, most of which were orthotopic in nature and minor. The study is ongoing, and he hopes it can provide some research information for the future of MMA in general.

USFL is planning a National Champion Tournament, the first since becoming a delegated entity with CSAC. He intends on using the rules and regulations established within the tournament format. He will use open mat, which they haven't used before but is part of the rules and regulations. USFL will send a team to the IMAF world championship in Rome, Italy. The group will be in the cadet division, ages 12-17, held on August 3-4, 2019. They are planning to use the National Champion Tournament to select a team. They haven't received the full set of rules yet but there will not be head strikes, they will wear head gear, rash guards, and shin guards. USFL hopes they will consider using their rules as he feels they are more advanced than rest of the world.

UFC featured USFL female athletes in their 25th anniversary documentary series in the episode called "Breaking Barriers".

Commissioner Sauter stated he is stunned by the volume of competitors and the engagement of young people and aspiring athletes is impressive. Commissioner Sauter also stated at some point it would great if CSAC's press officer could do an article on what happens in one weekend in California with all these organizations and what it means to young participants and the care extended to them by these organizations and the Commission. Commissioner Sauter stated he is in awe of what they do; it is impressive. Vice Chair Lehman agreed and stated the article is a great idea.

EO Foster stated the press officer was present at the meeting and he will get together with him to see about putting something together. EO Foster also stated he has an update with the press office and they are working on a project that mirrors some of what Commissioner Sauter recommended. Vice Chair Lehman hopes this will help our delegated entities in the getting the word out and show the world California is the most advanced.

Commissioner Shen-Urquidez stressed to Mr. Frank his delegation is for youth pankration. Some of his written material refers to youth mixed martial arts. There is a significant difference between youth pankration and youth mixed martial arts. The rules for youth pankration in California are very different from those of youth mixed martial arts. Commissioner Shen-Urquidez cautioned him as he moves forward to please limit his references to youth pankration because she wants to avoid a situation where the two starts to morph into each other and his delegation runs into issues. Mr. Frank understood and stated what they do is 100% youth pankration but some of the people in the industry don't know what it means so they call it "kids version of MMA". Commissioner Shen-Urquidez stated maybe the Commission can work with him on how the verbiage should go so the public can better understand what youth pankration is. Mr. Frank stated they will try to clarify and reinforce the word pankration.

Vice Chair Lehman congratulated him on the news of the tournament and international competition and told him to let them know if there was anything the Commission could do to help.

e. Upcoming Event Schedule and discussion regarding event activity

EO Foster stated January and February 2019 events are starting to come in and shape up; the club shows haven't all come in, but the TV shows are close to finalized. A world title fight is coming to the Microsoft Theater in the LA Live Complex on January 13, 2019, and the Commission is starting the year with a boxing IBF world title fight. Shortly after Bellator will be at the Forum with the heavyweight grand prix, Fedor vs Bader. On the same day, UFC will be at the Honda Center. Marquez is looking to come back in the beginning part of February, the venue isn't locked down yet. Following will be some more world title shows on Fox Network. Leo Santa Cruz will be fighting again, at the Stub Hub center. The MMA events are on the commission's calendar, the others mentioned are not, they will be added. Top Rank and Golden Boy are planning their events, Roy E. submitted his events for the year, and Thompson will be submitting his events soon. The first quarter is going to be busy.

d. Update on C3 Logix Sports Concussion Management Program

EO Foster informed the Commission the Medical Advisory Committee recently met and discussed the more than 1,000 baseline tests completed. The Commission

utilized its resources and Athletic Inspectors tested almost all the competitors on the Deontay Wilder vs Tyson Fury card in Los Angeles. Dr. Williams and EO Foster are working together to make sure Phase II of the C3 Logix Concussion Management Program is implemented correctly.

Commissioner Sauter asked if EO Foster had an update on the status of Adonis Stevenson, a boxer injured during his match in Canada. EO Foster informed him he is still in a coma. Commissioner Sauter inquired about his age. EO Foster stated he is 41 years of age. Commissioner Sauter asked if it was unique to have a fighter at that age competing. EO Foster informed the Commission it wasn't unique to have fighters at that age compete as Bernard Hopkins fought until he was approximately 48 or 49 years of age. However, it is a little unusual to have a world champion at that age, but not unprecedented.

Staffing Update

EO Foster informed the Commission of Heather Jackson's last day, Friday, December 14, 2018, and this will be a big loss for the Commission. She did good work for the Commission. EO Foster stated he enjoyed having her work for the Commission and is pleased she is taking advantage of the opportunities given to her and excelling at them. EO Foster informed the Commission he hired Patrisha Blackstock, former director of the Arkansas Commission, and she will be coming in as an analyst. The Supervising Special Investigator exam was posted on December 3, 2018 and will close on December 24, 2018. Candidates will be interviewed during the first quarter of the year.

EO Foster informed the Commission he is requesting the full \$250,000 of additional appropriations from the budget bill language. The Commission sanctioned many fights this year with record revenue levels and those levels indicate we are spending a lot of money. However, he is not sure how much is being spent because of the FISCAL issues. To make sure the Commission doesn't go negative, the budget bill language request will be sent in soon.

Vice Chair Lehman stated she was sad Ms. Jackson was leaving, that she is always there when something needed to be done and she will miss her both professionally and her friendship.

Vice Chair Lehman turned meeting over to the Chair Carvelli when he arrived at approximately 10:57 am.

Agenda Item 5-Review and Possible Action of petition to Change the Decision for Kevin VanNostrand vs. Robin VanRoosmalen bout on March 31, 2018 in Long Beach, CA

EO Foster presented the Commission with background on the petition to change the bout decision. He stated the timekeeper mistakenly let the first round go too long, by 1 minute

and 2 seconds. The fighter appealing believes he won the first round but the mistake in round length threw him off, causing him to lose the next four rounds. The fighter was not present at the hearing.

EO Foster pointed out both fighters fought the same length of time. While it was an error by the time keeper, it would be a miscarriage of justice to overturn this decision and take the win away from the winning fighter. Chair Carvelli asked what was EO Foster's recommendation. EO Foster stated the decision should stand as is.

Commissioner Ayala motioned the recommendation of EO Foster be accepted, the petition denied, and the decision stand.

Commissioner Shen-Urquidez seconded the motion.

Commissioner Ayala stated it was evident by the rounds and how they were scored the other fighter would have won either way.

Chair Carvelli asked if we ever have 5-minute rounds in kickboxing. EO Foster stated we do not, they are 3-minute rounds. Chair Carvelli inquired as to what had been done about correcting the error that occurred. EO Foster stated he spoke to the timekeeper at length about this and informed him it cannot happen again. The timekeeper stated this is the first time this has ever happened to him and it won't happen again.

Vice Chair Lehman reminded the Commission they need to make sure they are applying the standard; when there is a violation of a rules we can overturn if the error "affected the result of the contest". After further discussion, and reviewing the scorecards, the Commission determined the error did not affect the result of the contest.

No further commissioner or public comment

The motion passed 6-0 by roll call vote.

Agenda Item 6- Review and Approval of the California State Athletic Commission Strategic Plan

EO Foster explained what the Strategic Plan is and why it is on the Commission agenda. The Commission must approve the Strategic Plan per statute. The current plan expired, necessitating a new plan to be put into place.

The Strategic Plan outlines the goals and objectives the Commission will work towards the next several years. The Plan gives direction for resource allocation. Chair Carvelli and Vice Chair Lehman reviewed the final plan and worked with staff to make sure it was accurate.

Commissioner Shen-Urquidez motioned to approve the Strategic Plan for years 2019-2023

Vice Chair Lehman seconded the motion.

Commissioner Shen-Urquidez asked if the number of boards decreased from 38 to 36. Mr. Walker stated that is correct. Vice Chair Lehman stated she thought they did a good job drafting the Plan and the facilitators were good. Chair Carvelli stated they felt they had a great session with staff inside DCA. Commissioner Williams noted a correction needed to be made as the Plan lists him as Ph.D. and it should be M.D.

Public Comments

Israel Montez asked if they could hold the "Battle of the Badges", a charity event involving police, fire fighters and military. He stated it was originally regulated by CSAC and now he is regulated by USA Boxing. He wanted to see if this can be changed.

Chair Carvelli informed Mr. Montez the Commission was currently on agenda item 9. At the end of the commission meeting, the public has time to make comments. On the other hand, if none of the Commissioners objected, he could be heard at that time. There were no objections from the Commission.

Mr. Montez informed the Commission under the USA boxing guidelines they are required to weigh-in on Saturday morning, the participants can't have beards or moustaches, and they can't go shirtless. Mr. Montez would like to make changes to these requirements where it concerns the police and firemen. EO Foster, stated Mr. Montez called last week but the agenda had already been set. EO Foster is going to work with Mr. Montez on this and report back to the Commission at the next meeting.

No further commissioner or public comment.

*The motion **passed** 6-0 by roll call vote.*

Agenda Item 7-Review and Approval of the California State Athletic Commission Draft Sunset Report

EO Foster presented the Sunset Report. He advised the commission will go through sunset review periodically, the last one being 4 years ago. Mr. Foster He further described the Sunset Report as a questionnaire, which the legislature provides to the commission to answer. Once completed, and approved by the commission, it is submitted to the Oversight Committee.

A completed draft Sunset Report was sent to the commissioners on December 10, 2018. What is currently contained in the commissioners' packet is the first step of what will become this commission's background summary for the Sunset hearing. There will be a

legislative hearing early next year. Chair Carvelli explained he and EO Foster went through this process 4 years ago and they feel they are prepared for any questions which will be asked at the hearing. A date for the hearing hasn't been set at this time.

Commissioner Shen-Urquidez motioned to approve the response to the Sunset Questionnaire.

Commissioner Ayala seconded the motion.

Commissioner Williams stated it was recommended by the MAC CSAC engage and participate in research. Commissioner Williams stated four reasons why CSAC should take part in research;

- 1) Volume: California has more events and access to more fighters unlike any other commission.
- 2) Permission: CSAC has permission based on the reputation of EO Foster and people will listen.
- 3) Need: all publications point to more research is needed.
- 4) It is CSAC's Mission and Vision

Commissioner Williams elaborated on how this could be done. He stated CSAC is already collecting huge amounts of data, but information is spread out. With computers and a more updated format of research we could let the computers collect the existing data and generate it in large volumes in a short amount of time. We have an opportunity to do this, but we have an obligation to contribute what we know to the field of combative sports.

Commissioner Shen-Urquidez amended her motion to include adding MAC's suggestion to the Sunset Report.

Commissioner Williams seconded the motion.

Commissioner Shen-Urquidez asked about the number of delinquent judges listed on page 15 of the report for FY 2017/18. EO Foster stated the number listed in the delinquent column should be moved to the active column.

Commissioner Sauter asked whether Commissioner Williams' suggestion of conducting research should also be added to the Strategic Plan. Commissioner Williams stated Goal 1.3 under Health and Safety in the Strategic Plan discusses research and analyses, it just doesn't mention the concept of publication. Mr. Walker stated the Commission could approve adding the concept of publication to the Strategic Plan.

Commissioner Ayala wanted to include the licensing of a transgender boxer was done according the "Transgender Athletes" regulation. Chair Carvelli stated history was made at Fantasy Springs with the licensing and approval of a transgender boxer. A lot of work went into the new regulations. It is something to be proud of. Vice Chair Lehman echoed his

statement adding it was cutting edge and the Commission looked at regulations all over the world, did research and worked to create the best regulation.

Public Comments

Mr. Frank with USFL they would welcome medical studies because they are difficult from a public relations perspective. In addition, to the medical study, there is a social issue. A leadership institute offered to start a character building program for USFL, which he was sure they would be willing to include that in the study.

No further commissioner or public comment

The motion passed 6-0 by roll call vote.

Agenda Item 4- Review and Possible Action regarding Mr. Jonathan Jones' Mixed Martial Arts License Application

EO Foster stated he was there to support Mr. Jones' right to make a living. EO Foster's recommendation was Mr. Jones be issued a temporary license while he provides a community service plan to the commission. EO Foster stated his goal is Mr. Jones walks out of this meeting and can fight Alexander Gustafson in Las Vegas.

EO Foster explained this process hadn't been ideal for this Commission or Mr. Jones. This was a tale of two systems; one system is delegated to the Commission and one is adherent to the UFC antidoping program. EO Foster stressed he was very much in favor of antidoping protocols and it has done a lot for the sport. EO Foster's view was this Commission has sole jurisdiction over what happens here. The idea of dual jurisdiction the Commission went along with was wrong. EO Foster stated a 4-year suspension is a death sentence in MMA.

EO Foster stated he was not here to debate the science. This process should have been dealt with and done with at the last meeting, but Mr. Jones had to spend resources going through a process the Commission has sole jurisdiction over. UFC has the best intentions for professional athletes, but the program needs tweaking. EO Foster spoke with USADA the day before the commission meeting and requested they just collect fighters' samples in the future and send him the results. The Commission will take it from there. The Commission will adjudicate the athletes; refer to §18640 of California Business and Professions Code.

EO Foster also quoted rules from Nevada State Athletic Commission and New York State Athletic Commission.

EO Foster recommended to the Commission if Mr. Jones paid his fine to the Commission, he be granted a temporary license and be removed from the suspension list.

Chair Carvelli asked if any of the Commissioners had anything they would like to add. With nothing being added, EO Foster accepted a check from Mr. Jones in the amount of \$205,000 clearing the fine owed to the Commission.

Chair Carvelli asked if Mr. Jones had submitted a community service plan. Mr. Jones informed the Commission for his community service he plans to go to Gracie Barra in Anaheim California and work with the youth group at that facility. He also plans to work with the youth at the gym he trains at in Albuquerque, New Mexico. Chair Carvelli asked if Mr. Jones would have his community service complete by the 1st quarter of 2019. Mr. Jones stated he would.

Commissioner Shen-Urquidez motioned to authorize a temporary license and remove Mr. Jones from all suspension lists.

Commissioner Ayala seconded the motion.

Commissioner Williams asked Mr. Jones if his community service plan would involve speaking with the kids and working with them. Mr. Jones stated he would be talking about dream chasing and anti-bullying. Chair Carvelli asked if Commissioner Williams would come up with guidelines for Mr. Jones; Commissioner Williams confirmed he would.

Commissioner Shen-Urquidez asked if Mr. Jones would consider working with EO Foster to work out another training session with another foundation the Commission works with. Mr. Jones stated he would.

Commissioner Shen-Urquidez informed Mr. Jones she is happy to see him come back to fighting not just because he is immensely talented but because she knows he wants to leave a legacy. Commissioner Shen-Urquidez stated he could be the greatest of all time but in order to show the world he is, he understands he will need to fight and win with nothing more than his God-given talents. She further stated many people have their doubts and it would be beneficial to put those doubts to rest. Commissioner Shen-Urquidez proposed Mr. Jones sign up for the Voluntary Anti Doping Agency testing in the next few days. Commissioner Shen-Urquidez stated the estimated cost of the VADA testing. Mr. Jones asked if she was asking he submit to more testing. Commissioner Shen-Urquidez stated VADA is a neutral third party and there has been a cloud of creditability over this whole process as EO Foster has stated. This would restore everyone's credibility. Mr. Jones asked if he would be the one paying for the testing. Commissioner Shen-Urquidez informed him if the Commissioners agreed CSAC could possibly pay for the testing. Mr. Howard Jacobs (Counsel for Mr. Jones) asked if they could confirm VADA would accept Mr. Jones. Commissioner Shen-Urquidez stated they would.

The Commission broke for two minutes so Mr. Jones could discuss this with his representation.

Mr. Jacobs stated Mr. Jones was agreeable in principle, but they want to do further research into the program. EO Foster stated he was okay with that.

Commissioner Ayala stated knowing the state process will take a little longer to purchase this and the overall impact on the policy; will this open something, or can it possibly be a pilot for these kinds of situations. Chair Carvelli stated a lot of time as been spent discussing these matters but there is precedent as a fighter did volunteer for VADA testing. The Commission worked out financials as far as his or her testing and as far as applying their time. Chair Carvelli asked EO Foster about his wishes to include VADA as a condition on the charging letter. EO Foster confirmed starting in future charging letters he wants to include potential anti-doping agencies, VADA being one.

EO Foster asked, are the commission's actions to have better public policy, to protect the public or to be punitive? In cases like these it's a little of both. The idea is what's best for the public but in this case and ones like it, what's best for Mr. Jones. His reputation has been damaged in the eye of the public. EO Foster stated the charging letter has been adjusted to add potential anti-doping agencies. He just needs USADA to collect the sample, send him the results and then he will handle it from there. As Commissioner Shen-Urquidez stated by proposing VADA we are trying to repair the damage to Mr. Jones' reputation.

Commissioner Shen-Urquidez amended her motion to state part of the \$205,000 fine paid for by Mr. Jones will be permitted to pay for VADA testing.

Commissioner Williams seconded the amended motion.

Mr. Jacobs wanted to make clear Mr. Jones is agreeable on principle, but they want to do their research as far as what they test for, when they test and the restrictions before agreeing. Chair Carvelli stated the Commission understood and they think Mr. Jones will have the best shot with VADA because of the reputation they have developed. Vice Chair Lehman asked if this was a voluntary thing we were asking from Mr. Jones and if he doesn't accept we are still giving him his temporary license. EO Foster answered yes, it is voluntary, and it isn't a condition required for Mr. Jones to get his temporary license.

No public comments

Chair Carvelli stated the mission of the Commission is for health and safety of athletes and the public and this motion goes along with the mission and gets Mr. Jones fighting and back in the ring to fight clean. Working with Dr. Williams to do something for the fight community can be a good thing for Mr. Jones and for the industry.

The motion **passed** 6-0 by roll call vote.

Agenda Item 8-Subcommittee Updates

a) Pension Fund Subcommittee (Lehman/Ayala)

i. Report from Beth Herrington from Benefit Resources regarding the pension fund administration

Beth Herrington reported the pension is in good shape, she has managed it since 1999 when it was converted over. Ms. Herrington went over her report, which included in the packet. The report showed the amounts of assets and Raymond James investment account up to the end of 2017. The report also included accounts to the end of December, 2017. In summary, the Pension Plan has 290 covered boxers for short of 4 million in benefits payable, 43 eligible and 9 paid since this report was prepared.

Vice Chair Lehman asked if we could move her next report to April so they have a more updated account of the pension fund. Ms. Herrington stated she would be glad to. Vice Chair Lehman asked if they could get reports twice per year. Ms. Herrington stated they only get the rounds and purse amounts annually from the Commission. EO Foster stated he thought the BoxRec system can assist with getting the data quicker and they can probably compile the data within a day. Vice Chair Lehman asked about the forfeited amount included in the packet and what it meant. Ms. Herrington stated the forfeited amount is what boxers never requested or never vested their pension, but this amount does get allocated back into the plan.

The subcommittee members chose to continue as members, to add a new member. They will discuss with EO Foster and Mr. Walker how to reach out to boxers who are eligible to get their pension. The subcommittee will also discuss the future of MMA fighters being added to the plan.

Commissioner Ayala asked if the analysts assigned to this were solely focused on the pension or are they spread out doing other duties. EO Foster stated the office was overextended on this position; they compile the rounds and purse and other things but its related to the boxers. Commissioner Ayala requested some type of tracking of the effort and outcome for the ideas proposed to reach out to these boxers. This is one of the four items in our legislative report which is one reason we should make all our efforts to get these funds to the owners.

Chair Carvelli suggested maybe the legislatures could put this information in their news boxes, so it could get out to their constituents. Chair Carvelli stated this subject deserves another meeting. Commissioner Shen-Urquidez inquired if DCA had determined to not tax the fund since the fund technically belongs to the boxers and not CSAC. EO Foster stated he will inquire about this. Mrs. Herrington stated they do have service to locate people that charges \$5 per person; that you just

provide social security numbers, dates of birth, full name and last known address. She is going to get the name of that service to EO Foster

ii. **Report from Cyril Shah of Raymond James Financial Services regarding the status of the Pension Fund investment account and allocation of assets**

Cyril Shah asked to be moved to the February 2019 agenda since he was not able to attend. Chair Carvelli asked EO Foster to check on what they are doing about market volatility.

Commissioner Ayala inquired if there was a way to include some TV ads when we have these big fights to say something about the pension fund. EO Foster noted it is worth asking or getting one of the personalities to mention this on air. Ms. Herrington stated during the interim they could prepare a statement to the boxers are eligible of what their pension could be.

Agenda Item 10 – Business and Professions Code section 18640.5 requires the Commission to invite stakeholder testimony at Commission meetings in order to identify actions that may lead to greater opportunities for licensees to participate in major professional boxing contests

No stakeholder comments received.

Agenda Item 11 – Public Comment on Items Not on the Agenda

No public comments

Agenda Item 12 – Calendar year end Commission summary

EO Foster went over the handout provided to the Commission. The Commission regulated 5,121 rounds of combat sports in 2018. 68% of the events regulated were boxing, 22% were MMA, 7% were kickboxing, and 3% were mixed cards. In 2018 the Commission regulated 59 televised events. Drug tests both in the field and in the lab; 580 athletes were tested. In boxing 50.9% of the bouts went to the cards and 44.6% of the bouts were stoppages. In MMA 41% of the bouts went to the cards, 26% of the bouts ended with a submission, 31% of the bouts ended in TKO or KO, 2% of the bouts ended in a draw. Regarding the licensing data; the bulk are athletes and seconds with 41 promoters.

Chair Carvelli thanked EO Foster for the year end summary and stated it was well done and they will bring this to the Sunset hearing and hand out. Commissioner Sauter told EO Foster nice work and this puts a lot of the information into focus.

Agenda Item 13 – Review and approval of CSAC 2019 Meeting Dates and Locations

Vice Chair Lehman suggested for the meeting in San Diego they move it to Monday, July 29th. It was decided the Commission will send EO Foster all their requests for possible date changes and locations. It was also suggested they try to schedule some of the meetings around the events scheduled to get more members of the public involved.

CLOSED SESSION

Agenda Item 14 – The Board will meet in closed session as authorized by Government Code § 11126(a) (1) the Commission will conduct an annual review of the Executive Officer.

Agenda Item 15-The Board will meet in closed session as authorized by Government Code § 11126(e) (2) (B) (i) to confer with legal counsel regarding possible litigation.

OPEN SESSION

Agenda Item 16 – ADJOURNMENT

Meeting Adjourned.



AGENDA

ITEM #6



MEMORANDUM

DATE	February 19, 2019
TO	Chairman John Carvelli and Commissioners California State Athletic Commission
FROM	Andy Foster, Executive Officer California State Athletic Commission
SUBJECT	Agenda Item #6 – Review and Possible Action of Petition to Change the Decision for Cathilee Zingano vs. Megan Anderson bout on December 29, 2018, in Inglewood, CA

BACKGROUND

On January 7, 2019, the Commission received a formal petition to change a decision from Nathan Gable on behalf of Cathilee Zingano. The bout occurred on UFC 232 at The Forum on December 29, 2018 and ended by TKO in round 1.

APPEAL DETAIL SUMMARY

Mr. Gable on behalf of Ms. Zingano, claims that during the bout, there was an eye poke by Ms. Anderson's big toe which resulted in a damage to Ms. Zingano's right eye. He claims by being poked in the eye by Ms. Anderson's big toe that Ms. Zingano was in fact eye gouged. Mr. Gable claims that eye gouging of any kind is a foul and that the Unified Rules of MMA do not exclude eye gouging by way of toes. Mr. Gable is requesting that the decision be changed from a loss by TKO to a no contest.

Mr. Gable included pictures from the bout and pictures of Ms. Zingano's eye and eye lid after the bout in the petition that was submitted. These pictures and petition are attached for your review.

Law Offices of
NATHAN GABLE

Attorneys at Law

341 E. ALONDRA BLVD.
GARDENA, CA 90248
PHONE (310) 980-0822 ♦ FAX (800) 549-6156

January 7, 2019

Via electronic mail:

csac@dca.ca.gov
Andy Foster
Executive Officer
California State Athletic Commission

Re: **Cathilee Zingano**
UFC 232 Bout vs. Megan Anderson
Appeal

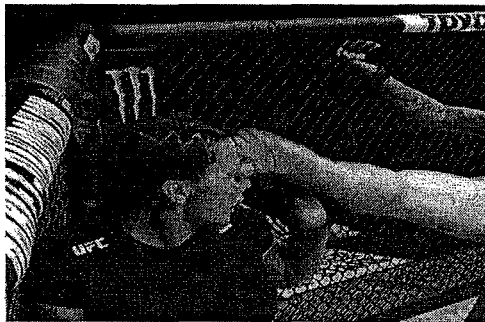
Mr. Foster:

Please consider this letter as Cat Zingano's ("Cat") appeal and request to change the above referenced bout from a TKO loss to a no contest.

The bout in question occurred on December 29, 2018 in Los Angeles, California at the Forum, titled UFC 232 ("Event"), against opponent Megan Anderson ("Bout").

At the Event, the Bout was incorrectly ruled a technical knockout loss at the 1:01 mark of the first round. The Bout ended after Cat's opponent's big toe entered her right eye causing damage to the eye.

Cat was pivoting away from her opponent when her opponent threw an upward kick passed Cat's head, the big toe crossed Cat's right eye, getting stuck for a moment under Cat's eye lid. (See photo).



At the Event, the decision was made to call the Bout a technical knockout loss for Cat.

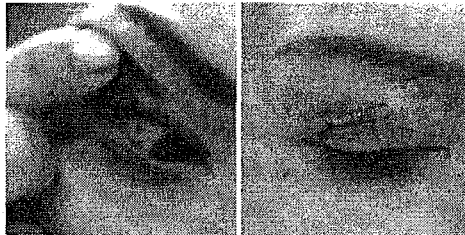
Under the Unified Rules of MMA, eye gouging of any kind is a foul:

“2. Eye gouging of any kind: Eye gouging by means of fingers, chin, or elbow is illegal. Legal strikes or punches that contact the fighter's eye socket are not eye gouging and shall be considered legal attacks.” As of 2017

and

“2. Eye gouging of any kind;
a. Eye gouging by means of fingers, chin, or elbow is illegal. Legal strikes or punches that contact the fighter's eye socket are not eye gouging and shall be considered legal attacks.” As of 2018, Proposed

The contact here was limited to the toe making contact with the eyelid and eye. (See photo).



The language of the Unified Rules regarding eye gouging is non exhaustive and the examples listed, namely “eye gouging by means of fingers, chin, or elbow” are not meant as the only methods by which a foul may occur. First, the language is plainly open ended, beginning with “eye gouging *of any kind*...”. Had the Unified Rules intended to limit this foul to only the examples that followed and exclude toes from this foul, this rule would have been written with limiting language such as, “only eye gouging by means of fingers, chin, or elbow is illegal” and omit the words “of any kind.”

Additionally, had this rule been meant to limit this foul to only the examples that followed and exclude toes, then by the same logic, a thumb to the eye would not be foul as the rule merely mentions fingers, not thumbs. (See Oxford Dictionary <https://en.oxforddictionaries.com/explore/is-a-thumb-a-finger/> “Although thumbs have certain similarities to fingers, there are some key differences. It's therefore more accurate to describe a thumb as one of five digits that we have on each hand, rather than as a finger.”). Therefore an eye gouging foul under the Unified Rules includes an eye gouge with a toe.

Lastly, for the Unified Rules to allow an eye gouge by a toe as a legal move would encourage such behavior and endanger fighters. If eye gouging with toes were legal, then a fighter defending a heel hook could use his toes to defend by eye gouging their opponent.

Under the Unified Rules, the Bout should have been called a no contest as it ended at the 1:01 mark of the first round:

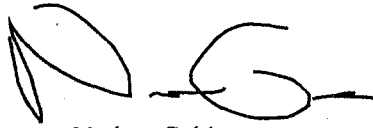
“7. In instances where the fight has to be concluded due to unforeseen, non-combat related issues, the fight may go to the scorecards if one-half the scheduled rounds, plus one second (1/2 +1) have been completed.

a. If the non-combat stoppage occurs prior to the ½ +1 mark, the fight is to be scored a “No Contest”

For the above reasons we respectfully request that the Bout be changed from a TKO loss to a no contest and seek a legal opinion regarding the interpretation of the applicable rules.

Thank you for your cooperation in this matter.

Sincerely yours,

A handwritten signature in black ink, consisting of a stylized 'N' followed by a 'G' and a horizontal line extending to the right.

Nathan Gable

cc: Cat Zingano
Marc Ratner



DEPARTMENT OF CONSUMER AFFAIRS
BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR GAVIN NEWSOM



CALIFORNIA STATE ATHLETIC COMMISSION
2005 Evergreen Street, Suite 2010 | Sacramento, CA 95815
Phone: (916) 263-2195 | Fax: (916) 263-2197
Website: www.dca.ca.gov/csac | Email: csac@dca.ca.gov

January 30, 2019

Megan Anderson
[REDACTED]
[REDACTED]

RE: NOTICE OF HEARING – CATHILEE ZINGANO VS. MEGAN ANDERSON

Dear Ms. Anderson:

The California State Athletic Commission (Commission) has received a request to appeal the result of a bout which occurred on December 29, 2018, between you and Ms. Cathilee Zingano at The Forum in Inglewood, California. The Commission will hear this item at its next scheduled meeting where you are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than February 12, 2019. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. If you need a translator, one will be provided telephonically, free of charge. A translator, including the language needed, must be requested no later than 14 days before the scheduled hearing. The meeting details are as follows:

Meeting Time: Tuesday, February 19, 2019

Meeting Location: DoubleTree Suites by Hilton Anaheim Resort, Tuscany Room
2085 South Harbor Blvd
Anaheim, CA 92802

Meeting Time: 10:00 a.m.

Please contact the Commission via e-mail at csac@dca.ca.gov or by telephone at (916) 263-2195 to confirm your attendance at this Commission meeting

Sincerely,

Sophia Cornejo
Assistant Executive Officer
California State Athletic Commission

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<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____
Postage	\$ _____
Total Postage and Fees	\$ _____
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City, State, ZIP+4®	[REDACTED]

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CALIFORNIA STATE ATHLETIC COMMISSION
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 Phone: (916) 263-2195 | Fax: (916) 263-2197
 Website: www.dca.ca.gov/csac | Email: csac@dca.ca.gov



January 30, 2019

Cathilee Zingano
 [REDACTED]
 [REDACTED]

RE: NOTICE OF HEARING – CATHILEE ZINGANO VS. MEGAN ANDERSON

Dear Ms. Zingano:

The California State Athletic Commission (Commission) has received a request to appeal the result of a bout which occurred on December 29, 2018, between you and Ms. Megan Anderson at The Forum in Inglewood, California. The Commission will hear this item at its next scheduled meeting where you are welcome to appear, however it is not mandatory. You may submit written materials or videos to the Commission no later than February 12, 2019. Absent extraordinary circumstances, no new written materials or videos will be accepted at the actual hearing. If you need a translator, one will be provided telephonically, free of charge. A translator, including the language needed, must be requested no later than 14 days before the scheduled hearing. The meeting details are as follows:

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Please contact the Commission via e-mail at csac@dca.ca.gov or by telephone at (916) 263-2195 to confirm your attendance at this Commission meeting.

Sincerely,

Sophia Cornejo

Sophia Cornejo
 Assistant Executive Officer
 California State Athletic Commission

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<input type="checkbox"/> Return Receipt (electronic)	\$ _____
<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____
Postage	\$ _____
Total Postage and Fees	\$ _____
Sent To Cathilee Zingano <small>(Street and Apt. No., or PO Box No.)</small>	

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Postmark Here

Referee Marc Goddard's
Statement

From: marc goddard [REDACTED]

Sent: Monday, February 04, 2019 3:06 PM

To: Foster, Andy@DC [REDACTED]

Subject: Re: Marc Goddard

Good evening Mr Foster,

Following on from the email sent to Mr Ratner please find my statement [as requested] below:

"On December 28th 2018 I was the referee for the match between Cat Zingano and Megan Anderson at the UFC 232 event in Inglewood California. Early in round 1 after a few brief exchanges Megan Anderson threw a left high kick to the head of Cat Zingano - I both saw and heard the kick clearly and cleanly land to the face of Cat Zingano.

The kick resulted in the big toe of Andersons left foot making contact with the right eye of Cat Zingano - I witnessed and registered that Zingano immediately showed discomfort from the kick and knew that the injury was the result of the legal blow. Zingano backed off toward the fence and it is here you will hear me say "Fight on, carry on that is a legal shot"

The reason that I allowed the fight to continue as well as delivering the verbal instruction was to make Zingano aware that she could not be afforded a time out from the result of a legal blow. I urged Anderson forward in the belief that Zingano could or may have clinched, slowed the fight down and allowed herself recovery time in the natural pace, ebb & flow of the fight. As soon as it was apparent that she would not be able to do so after a short burst of follow up strikes from Anderson I immediately step in and call a halt to the bout - it was clear to me that the time and opportunity the I had afforded Zingano would not suffice and she was in no position to carry on.

I approached the commission table immediately after halting the fight and communicated to both Mr Foster and Mr Ratner that the result of the fight was a TKO and this was a legitimate win caused by a legal strike. I also informed Anderson and her corner that it was a win via TKO/legal strike and informed the corner team of Cat Zingano the same result.

*As pointed out there are only two opportunities to deal with a violation concerning the eyes under the unified rules - gouging which is a **deliberate** action, and fingers outstretched toward the eyes of an opponent, resulting in a poke. Of course this was neither hence my immediate decision of the TKO win. We can punch, kick, knee and elbow directly to and on the eye itself and these are all considered legal strikes, furthermore as explained to both parties post fight the term and use of the word "poke" in this instance is in no way the same. We lack the dexterity in the feet that we have in the hands as we can throw a "closed fist", but conversely we cannot throw a "closed foot" !*

I do hope that this explanation is satisfactory and can help in this matter, please feel free to use and distribute to the rules and regulatory commission as and who required.

My best regards."

ABC MMA Rules and
Regulations Committee
Recommendation



**ASSOCIATION OF BOXING COMMISSIONS
MMA RULES AND REGULATIONS
COMMITTEE**

Committee Members

Sean D Wheelock, Chairman, Kansas

Jody McCormick, Secretary, Alabama

Jeremy Arneson, Wyoming

Sal Damato, Wisconsin

Brian Dunn, Nebraska

Rob Hinds, Illinois

Jeremy Horn, Utah

Dr. Larry Lovelace, MD, Oklahoma

Kevin MacDonald, Massachusetts

John McCarthy, Tennessee

Christiano Sampaio, Brazil

Jerin Valel, Canada

Brandon Wilson, North Dakota

February 4, 2019

Dear Chairman John Carvelli and Members of the California State Athletic Commission.

In the matter of the professional mixed martial arts bouts between Megan Anderson and Cat Zingano on December 29, 2018, which took place on the fight card of UFC 232 in Inglewood, California, as regulated by the California State Athletic Commission, it is the unanimous opinion of the ABC's MMA Rules and Regulations Committee that the ruling was correct, and that the result--a TKO win for Anderson--should stand.

After review and discussion, this committee has unanimous agreed on the following:

- The kick thrown and landed by Anderson was legal
- Zingano turned into the legal kick, directly causing the eye injury
- There was no intent on the part of Anderson to poke the eye of Zingano with her toe or toes
- Zingano abandoned the fight upon the eye injury caused by a legal strike, directly leading to the correct rendering of a win by Technical Knockout for Anderson by referee Marc Goddard, immediately validated by the California State Athletic Commission

Further, in accordance with the ABC's Unified Rules of MMA, as amended and adopted to current form August, 2016, Rule 2 reads as follows:

RULE 2.

Eye gouging of any kind:

Eye gouging by means of fingers, chin, or elbow is illegal. Legal

strikes or punches that contact the fighter's eye socket are not eye gouging and shall be considered legal attacks.

As is evidenced above, Rule 2 of the ABC's Unified Rules of MMA makes no provisions to a toe or toes to the eye or eyes of an opponent.

The issue of eye pokes was further addressed with the adoption of Rule 9 to the ABC's Unified Rules of MMA in August 2016, as evidenced below:

RULE 9.

Fingers outstretched toward an opponent's face/eyes:

In the standing position, a fighter that moves their arm(s) toward their opponent with an open hand, fingers pointing at the opponent's face/eyes, will be a foul.

Referees are to prevent this dangerous behavior by communicating clearly to fighters. Fighters are directed to close their fists or point their fingers straight up in the air when reaching toward their opponent.

Again, as is the situation with Rule 2, there is no provision made to a toe or toes, only to fingers.

In conclusion, the ABC's MMA Rules and Regulations Committee is in unanimous agreement that the result of a TKO win for Anderson vs Zingano should stand; and that referee Marc Goddard and the California State Athletic Commission were absolutely correct and justified in their decisions and actions regarding this fight, and it's ultimate conclusion.

Please feel free to directly contact me with any questions, or for further discussion of this matter.

Sincerely,

Sean D Wheelock

Chairman, ABC's MMA Rules and Regulations Committee

§ 358. Announcing Winner.

At the termination of all boxing bouts the winner shall be announced by the announcer and the referee shall raise the winner's hand.

§ 360. Abuse of Officials.

No licensee shall verbally or physically abuse an official or commission representative.

§ 361. Seconds: Number and Costume.

Each contestant may have four seconds of the contestant's choice and each such second while assisting in the boxer's corner shall present a neat and tidy appearance. Only one of the seconds may be inside the ring ropes between rounds with no more than two seconds on the apron. One second must remain on the floor.

§ 362. Excessive Coaching.

A second shall not excessively coach a boxer during a round and shall remain seated and silent when so directed by the commission representative on duty.

Excessive coaching may lead to point deduction by the referee, ejection from the venue, and/or disciplinary action by the commission.

§ 363. Throwing Water Prohibited.

Excessive use of water and/or ice between rounds is prohibited. The designated chief second shall be responsible to assure that the corner is dry at the start of each round.

§ 364. Determining Defeat.

A manager or chief second of a contestant may toss a towel into the ring in token of defeat. However, such manager or chief second shall follow the towel into the ring as soon as it is possible to do so.

§ 365. Fans and Towels.

Fans and swinging of towels are prohibited.

§ 368. Change of Decision.

- (a) A decision rendered at the termination of any boxing contest is final and shall not be changed unless following the rendition of a decision the commission determines that any one of the following occurred:
- (1) There was collusion affecting the result of any contest;
 - (2) The compilation of the scorecard of the judges, and the referee when used as a judge, shows an error which would mean that the decision was given to the wrong boxer;

(3) There was a violation of the laws or rules and regulations governing boxing which affected the result of any contest.

(4) The winner of a bout tested positive immediately after the bout for a substance listed in Rule 303(c).

- (b) A petition to change a decision shall be in writing and filed by a boxer or the boxer's manager within five (5) calendar days from the date the decision was rendered.
- (c) If a petition to change a decision is not filed in writing within five (5) days of the decision, the commission may, upon the vote of at least a majority of the commissioners present, hold a hearing to change the decision at any time.
- (d) If the commission determines that any of the above occurred with regards to any contest then the decision rendered shall be changed as the commission may direct.

This database is current through 1/3/14 Register 2014, No. 1

END OF DOCUMENT

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Article 9. Referees and Judges

Barclays Official California Code of Regulations
Currentness

Title 4. Business Regulations

Division 2. State Athletic Commission

Chapter 1. Professional Boxing Rules

Article 9. Referees and Judges (Refs & Annos)

§ 370. Commission Shall Select Referee.

The commission or its duly authorized representative shall select and assign all referees. A licensee who wishes to protest the assignment of a referee or judge, shall file a written protest with the commission at least five days prior to the scheduled contest and shall state the reason for the protest. The protesting licensee and the referee and/or judge shall be given thereafter a hearing before a commission representative and the representative shall make such disposition of the protest as the facts may justify.

§ 371. Referee's License.

- (a) In order to be licensed as a referee, an applicant shall meet all the following requirements:



AGENDA

ITEM #7



MEMORANDUM

DATE	February 19, 2019
TO	Chairman John Carvelli and Commissioners California State Athletic Commission
FROM	Andy Foster, Executive Officer California State Athletic Commission
SUBJECT	Agenda Item #7 – Review and Approval of changes to Examination of Boxer Applicants regulation language.

BACKGROUND

On August 12, 2016, the Commission submitted to the Office of Administrative Law proposed changes to section 280, of Division 2, of Title 4 of the California Code of Regulations regarding specific medical examination procedures including an additional Neurological Examination Assessment.

Per the Administrative Procedure Act, the Commission has 1 year to complete the rulemaking process on proposed language. The Commission completed all procedural requirements and submitted a complete rulemaking file to the Department of Consumer Affairs for review and approval on November 16, 2016. Shortly after, the Commission staff recommended significant changes to the neurological assessment, section 280 (h) of the proposed language. These changes are as a result of implementation of the C3 Logix Program, as well as the change in the Commission's appropriation of the Neurological Fund.

On March 14, 2017, the Commission approved to withdraw the proposed regulation file Z-2016-0801-04, "Examination of Boxer Applicants" to allow additional time to prepare and propose a new rulemaking file. Commission staff drafted language to section 280 to appropriately reflect the correct Neurological Assessment that is to be collected.

On August 15, 2017, the Commission voted to approve the proposed changes to section 280, of Article 6, of Division 2, of Title 4 of the California Code of Regulations regarding medical examination procedures including an additional Neurological Examination Assessment and authorizing Commission staff to proceed with the rulemaking process.

On November 5, 2018, the Deputy Director of Legal Affairs completed his review of the rulemaking and recommended edits. On November 29, 2018, Staff made the changes and

sent them back to the Legal Affairs office. On December 5, 2018, Legal Affairs notified staff that the changes to the proposed text must be approved by the Commission.

STAFF RECOMMENDATION

The Executive Officer recommends approving the proposed changes to section 280, of Article 6, of Division 2, of Title 4 of the California Code of Regulations regarding medical examination procedures including an additional Neurological Examination Assessment and authorizing Commission staff to proceed with the rulemaking process.

CALIFORNIA STATE ATHLETIC COMMISSION

PROPOSED TEXT

§ 280. Examination of Boxer Applicants.

(a) Any boxer applying for a license or renewal thereof shall be examined by a physician currently licensed by this state to establish both physical and mental fitness for competition. Such examination shall be taken at such time as directed by the commission. Any boxer licensed by the commission who participates in a boxing match or contest outside the State of California may be required, upon his return to California, to again take this examination before being allowed to box in California. The results of such contests shall be reported to the nearest commission office by the licensee within 72 hours of his return to California.

(b) An examination of an applicant or licensee may be accepted by the commission if it is performed by a physician authorized to perform such examinations by the state or nation in which the examination is conducted and if it is conducted in accordance with commission instructions, including the use of applicable forms prescribed by the commission.

(c) Any boxer applying for a license or renewal shall be required to submit to and complete a medical examination process, which shall include the following:

(1) A nNeurological eExamination must be performed by a licensed physician that specializes in neurology or neurosurgery. ThisExcept as provided in subdivision (b) of section 18711 of the Business and Professions Code, this examination is valid for 12 months.

(2) Magnetic Resonance Imaging (MRI) using standard brain protocol to include Gradient Echo Imaging (GRE) or Susceptibility Weighted Imaging (SWI), which shall be interpreted by a licensed neurologist, neurosurgeon, or neuroradiologist, every and is valid for 36 months.

(3) ElectrocardiogramAn electrocardiogram (EKG) must be performed by a licensed physician. This medical test is only required once, or at the discretion of the licensed examining physician or the commission unless the licensed physician recommends another EKG, or the Commission requires the applicant to submit to another EKG, based on good cause that the applicant is at risk for serious injury or permanent or temporary impairment of a bodily function.

(4) Physical ExaminationA physical examination must be performed by a licensed physician and is valid for 12 months.

- (5) Eye Examination An eye examination must be performed by a licensed ophthalmologist and must meet the requirements set forth in Section 282, Article 6 of Title 4 of the California Code of Regulations. This examination is valid for 12 months.
- (6) The following required blood tests shall be taken within 30 days prior to the date of application and are valid for 6 months:
- (A) Human Immunodeficiency Virus (HIV) Antibody
 - (B) Hepatitis B Surface Antigen (HBsAg)
 - (C) Hepatitis C Virus (HCV) Antibody
- (d) The laboratory that administered the required blood tests shall be a laboratory in the United States that possesses a certificate under the Clinical Laboratory Improvement Act (42 U.S.C. Sec. 263a). The results of each blood test shall indicate that all required tests are negative and shall be submitted to the commission on the letterhead of the laboratory that administered them.
- (e) The examining physician may recommend or require any additional tests or evaluations he or she deems necessary, as specified in Business and Professions Code section 18711. If additional tests or evaluations are required, the athlete has the ability to appeal to the medical advisory committee.
- (f) The commission may require additional neurological diagnostic testing to provide concussion management and may use these diagnostics to determine the appropriate time for return to competition or retirement from competition in combat sports.
- (g) The commission may waive the requirement for a brain imaging scan or an EKG if a brain imaging scan or EKG was completed as part of the licensing requirements in another state, as specified in Business and Professions Code section 18711.
- (h) The commission may, in its discretion, shall accept tests or evaluations that are equivalent to those described in subdivision (c) and that have been completed within one year of licensure.
- (i) In accordance with section 18711 of the Business and Professions Code, the commission shall collect one quarter of one percent of gross ticket sales, from each licensed promoter of professional matches, per event sanctioned by the commission. If the State Athletic Commission Neurological Examination Account balance falls below one hundred thousand dollars (\$100,000), the amount of the assessment shall be re-examined by the commission. The manner in which the assessment is amount collected shall be at the discretion of the commission and shall be deposited in and credited to the State Athletic Commission Neurological Examination Account and

shall be used to pay for the costs of ~~neurological~~ examinations required by section 18711.

NOTE: Authority cited: Section 18611, Business and Professions Code.
Reference: Sections 18640, 18642, 18661 and 18711, Business and Professions Code.



AGENDA

ITEM #8



MEMORANDUM

DATE	February 19, 2019
TO	Chairman John Carvelli and Commissioners California State Athletic Commission
FROM	Andy Foster, Executive Officer California State Athletic Commission
SUBJECT	Agenda Item #8 – Pension Fund Subcommittee

Commission staff are currently working on obtaining quotes from Penchecks Trust, a company that provides services for locating individuals that may be owed Boxer Pension benefits. This service will cost the Commission approximately \$1,000. Commission staff will work closely with the Department of Consumer Affairs in finalizing a service contract with Penchecks Trust, or the lowest bidder for this type of service.

Beth Harrington with Benefit Resources is presenting you with the 2018 Boxer Pension Plan reports. Cyril Shah will also provide his end of year report.

MEMORANDUM

To: California State Athletic Commission
From: Beth Harrington, QPA, ERPA
Date: February 19, 2019
Re: Boxer pension status report

I. FINANCIAL REPORT

- a. Plan balance was \$4,950,731 as of 12/31/2018
- b. 2018 Participant counts detailed in gray-shaded section
 - i. There are 287 Covered boxers for a total of \$3,779,840 in plan assets
 - ii. Of the 11 were paid \$176,332 in benefits in 2018
 - iii. 11 potential late claimants were beyond the 3-year benefit window. Their balances of \$186,075 were transferred to the suspense account

II. DATA CHARTS – 2012-2018

- a. Pension Equity
- b. Pension benefit distributions paid
- c. Number of boxers who received benefit payments
- d. Contributions made

III. SUSPENSE ACCOUNT SUMMARY – *for those beyond 3-year benefit window*

- a. The suspense account balance was \$57,130 at the end of 2017
- b. Five boxers claimed benefits of \$104,916 after age 53 in 2018, so used amounts transferred to suspense in 2018 to fund those additional benefits
- c. Suspense account balance is \$23,267 after the 20% hold back
- d. Section §404(c) of the CCR the allows the commission to adjust the Forfeiture Reserve Account as needed. We recommend that the current 20% holdback for future benefits be revised upwards; perhaps 50%.

IV. SEARCH SERVICES

- a. We provided to the Assistant Executive Officer information about a search service that we use to find pension beneficiaries

State of California
Athletic Commission
Professional Boxers' Pension Plan

Annual Pension Report
For the year 1/1/2018-12/31/2018
INCOME STATEMENT

INCOME		
Promoter contributions	107,417	
Gain/(loss) on investments	(302,270)	
Interest/dividends	130,150	
Cancelled warrants	10,286	
TOTAL INCOME		(54,418)
EXPENSES		
Statewide/Departmental prorata	3,719	
Staff Services Analyst position	49,988	
Admin overhead and expenses	8,479	
Plan administration fees	327	
Investment expenses	24,187	
Distributions paid to participants*	176,332	
TOTAL EXPENSES		263,033
NET INCOME/(LOSS)		
		(317,450)
Trust balance at 1/1/2018		5,268,181
Trust balance at 12/31/2018		4,950,731

<i>Participants (with account balance) by classification:</i>	<i># of boxers</i>	<i>account totals</i>
Covered, fully vested boxers (C)	61	682,651
Covered boxers with Break in Service (C/B)	222	3,023,750
Covered boxers now pending (C/P)	4	73,439
Boxers who had a Break in Service this year (B)	348	-
Non-vested boxers with Break in Service (B/P)	40	55,446
Pending boxers not yet vested (P)	1291	1,092,179
Suspense account for future claimants		23,267
Boxers eligible for benefits this year		
Boxers within 3-year benefit window	43	799,031
Potential late claimants to suspense in 2018	11	186,075
Potential late claimants - total	146	2,173,867

<i>*Distributions paid by benefit category</i>		
Normal retirement	11	176,332
Death		-
Vocational early retirement		-
		176,332

<i>Expenses as a percentage of plan assets</i>	1.75%
<i>Expenses as a percentage of contributions</i>	80.71%

State of California
Athletic Commission
Professional Boxers' Pension Plan

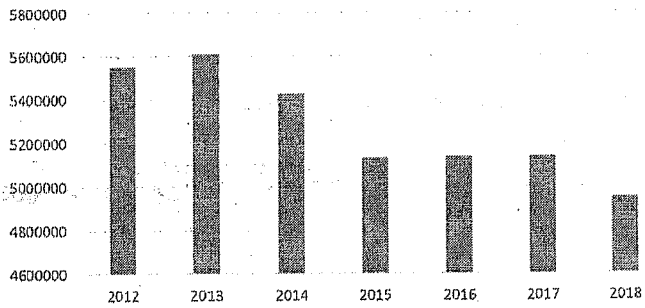
Annual Pension Report
12/31/2018

BALANCE SHEET

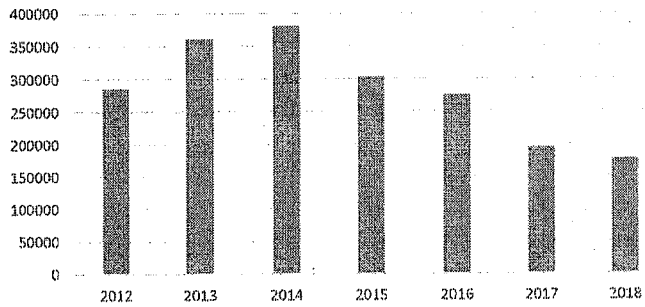
Accrued interest receivable	-	
SMIF account	230,540	
Raymond James #56658065	<u>4,720,190</u>	
TOTAL ASSETS		<u>4,950,731</u>
LIABILITIES & PARTICIPANT EQUITY		
Distribution checks outstanding	-	
Participant equity	<u>4,950,731</u>	
TOTAL LIABILITIES & PARTICIPANT EQUITY		<u>4,950,731</u>

State of California
 Athletic Commission
 Professional Boxers' Pension Plan
 Administrator's report 2/19/2019

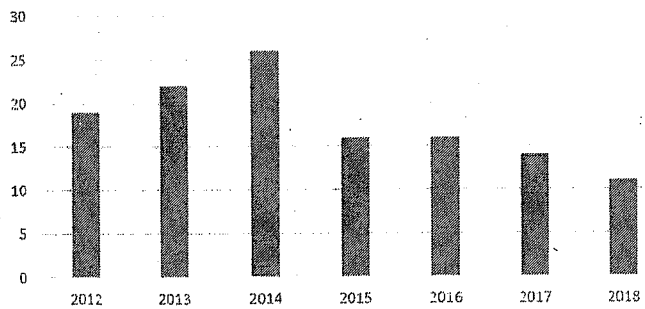
Pension Equity History



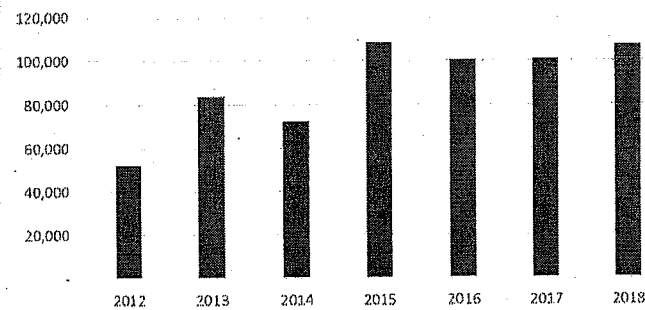
Pension distribution history



of Participants paid benefits



Contribution history



RAYMOND JAMES®

Portfolio Review

Prepared for **California State Athletic Comm**

Cyril Shah

(Office) 916.448.3754

cyril.shah@raymondjames.com

<https://www.raymondjames.com/theshahgroup>

Cyril Shah
 cyril.shah@raymondjames.com

Report Listing

Report Name	Page
Executive Overview	3
Value Over Time	4
Performance Analysis	6
Risk vs. Return Analysis	7
Security Level Performance Summary	8
Additional Information	10

Account Listing

Raymond James	Account Number	Account Type	Market Value (\$)
California State Athletic Comm	56658065	Retirement Plan	4,912,764.48
Total Market Value			\$4,912,764.48
Total Portfolio			\$4,912,764.48

Executive Overview as of Feb 4, 2019

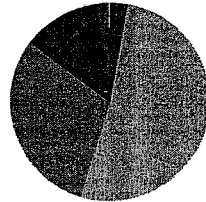
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Cyril Shah
cyril.shah@raymondjames.com

Asset Allocation

	Market Value (\$)	% of Portfolio
Cash & Cash Alternatives	262,839.13	5.35%
■ Cash	142,638.49	2.90%
■ Cash Alternatives	120,200.64	2.45%
Fixed Income	2,423,528.36	49.33%
■ Equity	2,221,564.91	45.22%
■ U.S. Equity	1,496,871.85	30.47%
■ Non U.S. Equity	627,321.74	12.77%
■ Real Estate	95,058.39	1.93%
■ Equity Other	2,312.93	0.05%
Alternative Investments	64.26	0.00%
Allocation Strategies	0.00	0.00%
■ Non-Classified	4,767.82	0.10%
Total Portfolio	\$4,912,764.48	100%

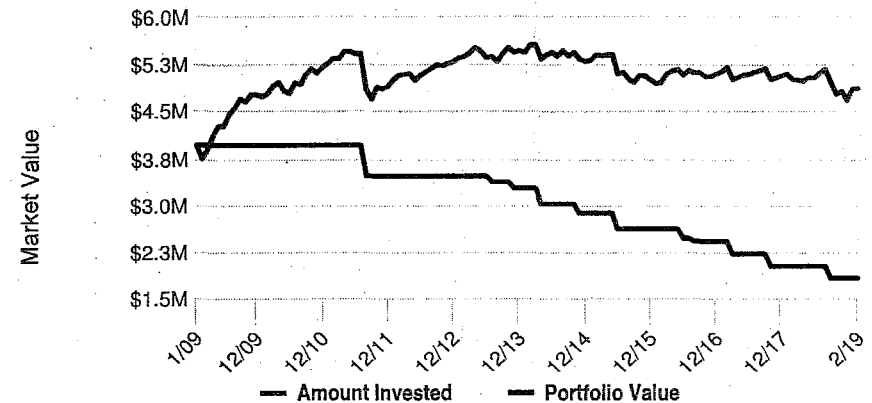


Packaged products may be represented across multiple asset classes.

*\$132,844.90 is held in cash and cash sweep products

Value Over Time

1/31/09 - 2/4/19



Gains and Losses

Unrealized Gain/Loss

	Gain (\$)	Loss (\$)	Total (\$)
Short Term	8,551.95	(47,782.18)	(39,230.23)
Long Term	215,900.77	(64,620.24)	151,280.53
Total	\$224,452.72	(\$112,402.42)	\$112,050.30

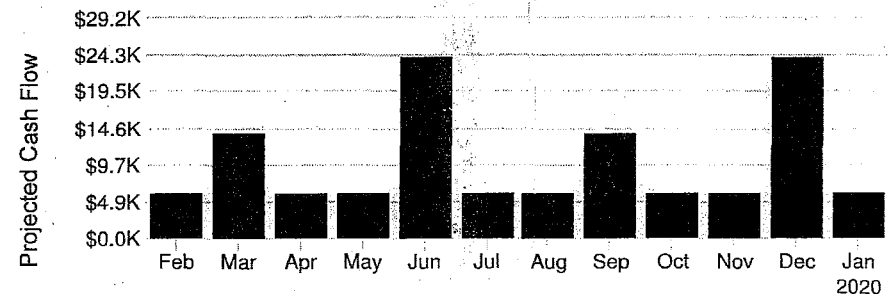
Realized Gain/Loss

1/1/19 - 2/4/19	Gain (\$)	Loss (\$)	Total (\$)
Short Term	0.00	0.00	0.00
Long Term	0.00	0.00	0.00
Total	\$0.00	\$0.00	\$0.00

Non-Taxable Accounts are excluded from the Realized table.

Projected Cash Flow

Total Projected Cash Flow: \$126,055.72



Value Over Time as of Feb 4, 2019

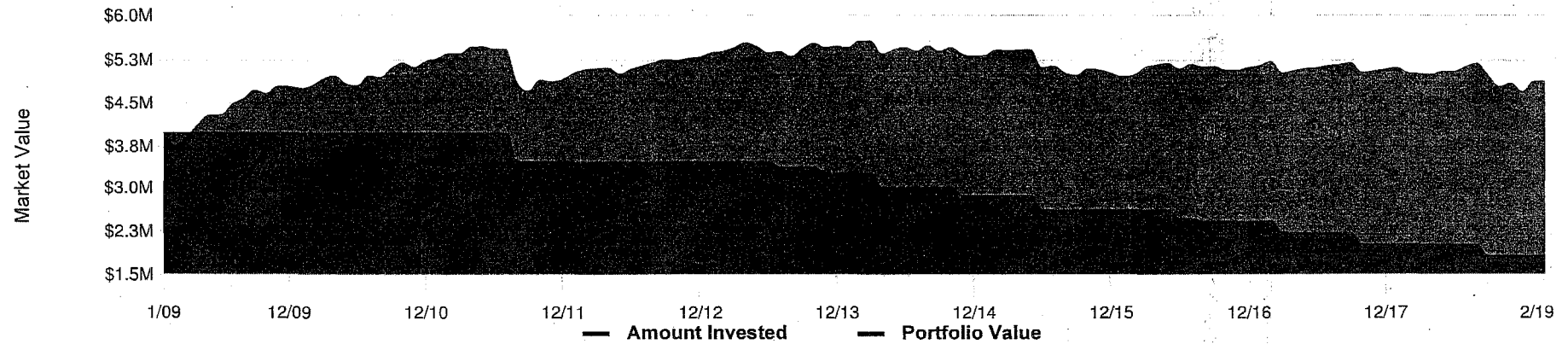
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Cyril Shah
cyril.shah@raymondjames.com

Value Over Time

1/31/09 - 2/4/19



	Beginning Market Value	Contributions/ Withdrawals	Income	Change in Market Value	Ending Market Value	Investment Results	Time-Weighted (Net of Fees) Performance	Annualized* Performance
Total Portfolio	\$3,989,576.87	(\$2,149,492.28)	\$1,430,903.39	\$1,641,776.50	\$4,912,764.48	\$3,072,679.89		6.35%
Year to Date (12/31/2018 - 2/04/2019)	\$4,720,190.05	\$0.00	\$92.09	\$192,482.34	\$4,912,764.48	\$192,574.43	4.08%	6.35%
2018	\$5,117,697.02	(\$200,000.00)	\$128,950.43	(\$326,457.40)	\$4,720,190.05	(\$197,506.97)	(4.09%)	5.98%
2017	\$5,149,036.49	(\$400,000.00)	\$135,317.27	\$233,343.26	\$5,117,697.02	\$368,660.53	7.41%	7.17%
2016	\$5,048,517.60	(\$200,000.00)	\$129,848.59	\$170,670.30	\$5,149,036.49	\$300,518.89	6.01%	7.14%
2015	\$5,347,752.26	(\$250,020.00)	\$136,427.45	(\$185,642.11)	\$5,048,517.60	(\$49,214.66)	(1.03%)	7.31%
2014	\$5,514,681.36	(\$400,000.00)	\$136,104.96	\$96,965.94	\$5,347,752.26	\$233,070.90	4.31%	8.79%
2013	\$5,334,143.28	(\$200,040.00)	\$132,929.65	\$247,648.43	\$5,514,681.36	\$380,578.08	7.23%	9.72%
2012	\$4,937,466.78	\$0.00	\$134,900.22	\$261,776.28	\$5,334,143.28	\$396,676.50	8.03%	10.36%

Value Over Time as of Feb 4, 2019

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Cyril Shah
 cyril.shah@raymondjames.com

	Beginning Market Value	Contributions/ Withdrawals	Income	Change in Market Value	Ending Market Value	Investment Results	Time-Weighted (Net of Fees) Performance	Annualized* Performance
2011	\$5,249,655.84	(\$500,000.00)	\$171,910.09	\$15,900.85	\$4,937,466.78	\$187,810.94	3.54%	11.19%
2010	\$4,805,193.18	\$567.72	\$154,717.64	\$289,177.30	\$5,249,655.84	\$443,894.94	9.24%	15.40%
2009 (1/31/2009 - 12/31/2009)	\$3,989,576.87	\$0.00	\$169,705.00	\$645,911.31	\$4,805,193.18	\$815,616.31	20.44%	20.44%

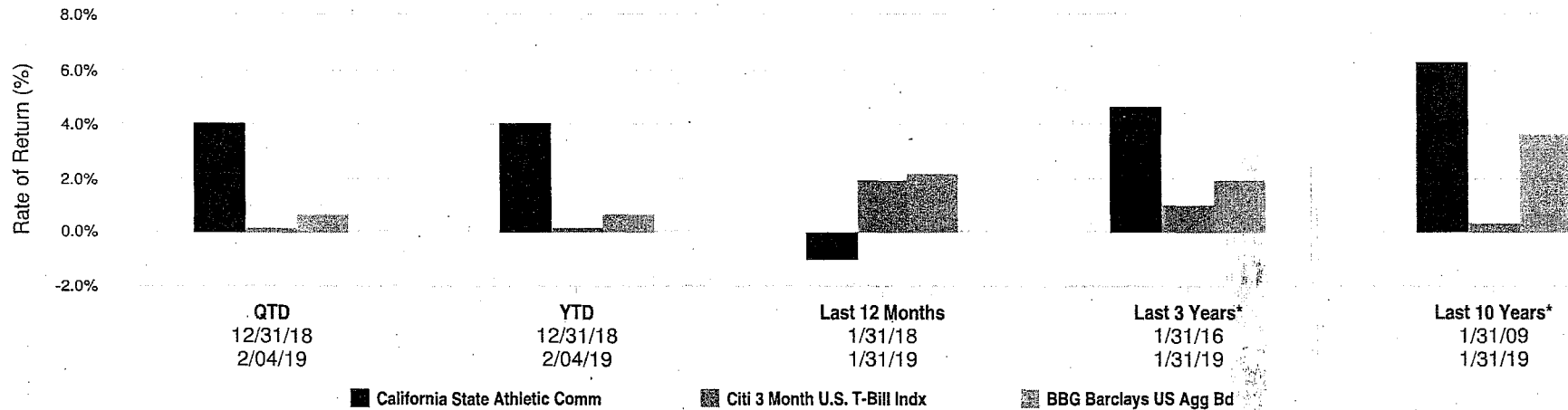
*Returns are annualized for periods greater than one year.

California State Athletic Comm // 56658065

Cyril Shah
cyril.shah@raymondjames.com

Performance Analysis Summary

Time-Weighted (Net of Fees)
Total Market Value as of 2/04/2019: \$4,912,764.48



	QTD 12/31/18 2/04/19	YTD 12/31/18 2/04/19	Last 12 Months 1/31/18 1/31/19	Last 3 Years* 1/31/16 1/31/19	Last 10 Years* 1/31/09 1/31/19
California State Athletic Comm	4.08%	4.08%	(0.98%)	4.71%	6.33%
Citi 3 Month U.S. T-Bill Indx	0.23%	0.23%	1.96%	1.05%	0.37%
BBG Barclays US Agg Bd	0.70%	0.70%	2.25%	1.95%	3.68%
MSCI EAFE (Net Div) Indx	6.41%	6.41%	(12.51%)	7.73%	8.10%
S&P 500 Total Return Indx	8.86%	8.86%	(2.31%)	14.01%	14.99%

Inception Date: 10/24/07

*Returns are annualized for periods greater than one year.

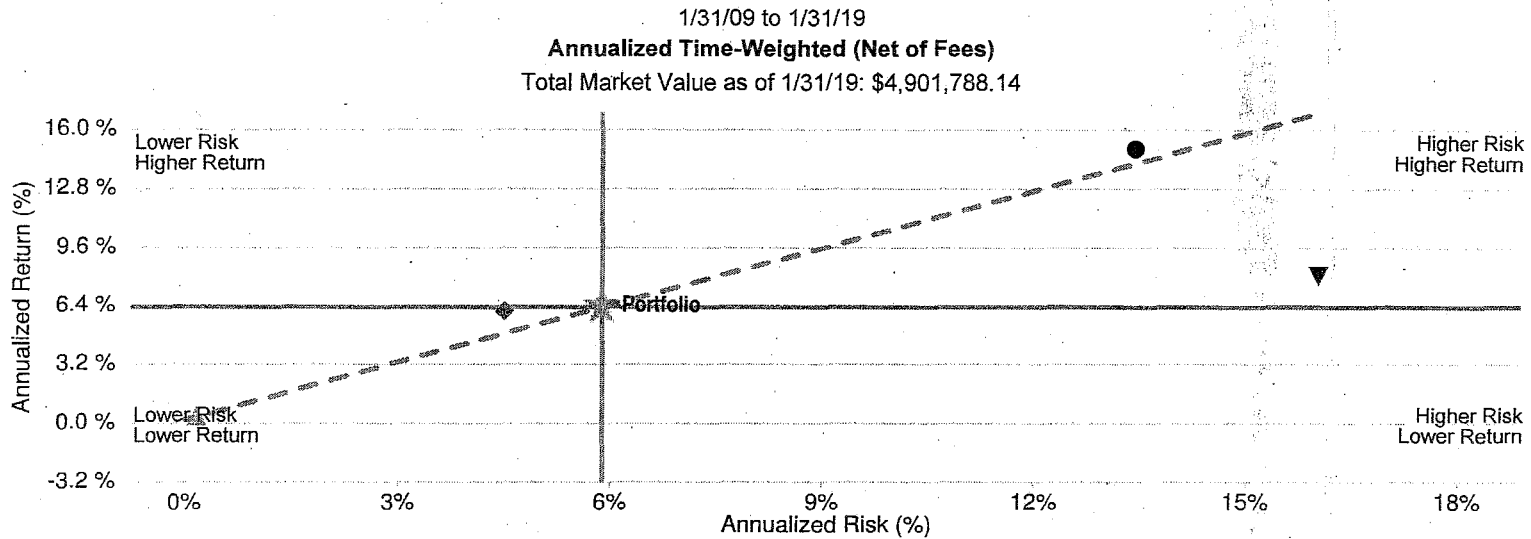
Risk vs. Return Analysis as of Feb 4, 2019

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Cyril Shah
cyril.shah@raymondjames.com

Analysis Summary



	Annualized Return (%)	Annualized Risk (%)
★ Portfolio	6.33%	5.89%
▲ Citi 3 Month U.S. T-Bill Indx	0.37%	0.17%
◆ BBG Barclays Agg US CP INV GR	6.12%	4.51%
● S&P 500 Total Return Indx	14.99%	13.43%
▼ MSCI EAFE (Net Div) Indx	8.10%	16.03%
Citi 3 Month U.S. T-Bill Indx	0.37%	0.17%
BBG Barclays US Agg Bd	3.68%	2.82%

Inception Date: 10/24/07

Please see Additional Information section of this report for detailed risk information.

Security Level Performance Summary as of Feb 4, 2019

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Cyril Shah
cyril.shah@raymondjames.com

Performance Summary

	Start Date	Current Market Value	YTD 12/31/18 2/4/19	Last 12 Months 1/31/18 1/31/19	Last 10 Years* 1/31/09 1/31/19
Cash and Cash Alternatives: Cash					
Cash	12/31/15	\$132,844.90	0.07%	0.84%	N/A
Funds: Exchange Traded Funds					
	Start Date	Current Market Value	YTD 12/31/18 2/4/19	Last 12 Months 1/31/18 1/31/19	Last 10 Years* 1/31/09 1/31/19
ISHARES TR CORE 1 5 YR USD Symbol: ISTB	5/15/18	\$154,429.07	0.79%	N/A	N/A
ISHARES TR CORE MSCI EAFE Symbol: IEFA	1/11/17	\$640,333.38	7.15%	(12.52%)	N/A
ISHARES TR CORE S&P MCP ETF Symbol: IJH	2/7/18	\$183,031.18	11.78%	N/A	N/A
ISHARES TR CORE S&P SCP ETF Symbol: IJR	1/11/17	\$132,540.80	11.94%	(1.16%)	N/A
ISHARES TR CORE US AGGBD ET Symbol: AGG	12/31/15	\$1,864,273.97	0.62%	2.22%	N/A
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF Symbol: JNK	12/31/15	\$125,972.08	5.43%	1.90%	N/A
SPDR BLOOMBERG BARCLAYS INVESTMENT GRADE FLOATING RATE ETF Symbol: FLRN	12/31/15	\$203,043.33	0.92%	1.83%	N/A
SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF Symbol: SPIB	1/11/17	\$201,735.60	1.76%	2.39%	N/A
SPDR S&P DIVIDEND ETF Symbol: SDY	12/31/15	\$363,846.60	7.07%	1.25%	N/A

*Returns are annualized for periods greater than one year.

Security Level Performance Summary as of Feb 4, 2019

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Cyril Shah
cyril.shah@raymondjames.com

Performance Summary *(continued)*

	Start Date	Current Market Value	YTD 12/31/18 2/4/19	Last 12 Months 1/31/18 1/31/19	Last 10 Years* 1/31/09 1/31/19
Funds: Exchange Traded Funds <i>(continued)</i>					
VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW Symbol: VOO	1/11/17	\$910,713.57	8.78%	(2.60%)	N/A

**Returns are annualized for periods greater than one year.*

Additional Information Regarding This Report

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Cyril Shah
cyril.shah@raymondjames.com

This report should not be used as a substitute for your monthly statement, 1099 or to determine taxability. Changes in tax laws may occur at any time and could have a substantial impact upon each person's situation. While we are familiar with the tax provisions of the issues presented herein, we are not qualified to render advice on tax or legal matters. The information in this report has been obtained from sources that we believe to be reliable, but cannot be guaranteed.

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The account listing may or may not include all of your accounts with Raymond James Financial Services, Inc. This report is comprised of data from the following accounts: 56658065

Report ID: a_725976_1549342800000_1549425523775_00001of00001

Performance

The performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. The current performance may be lower or higher than the performance data quoted. It is not possible to invest directly in an index.

Performance is depicted using the Time-Weighted method for the entire period.

Time-Weighted - The geometric (compounded) return measured on the basis of periodic market valuations of assets. If applicable, the return includes the effects of leverage. Unlike the dollar-weighted method, it minimizes the impact of cash flows on the rate of return; however, in principle it requires valuations to be made on the occasion of each cash flow. Approximation to this measure can be obtained by prorating cash flows to successive valuation points or by computing internal rates of return between valuation points. If there are no interim cash flows, the time-weighted return, compounded annually determines the entire value of an investment.

Returns are calculated net of fees.

Annualized refers to the annualized return from the inception date of the account, or the selected beginning date when using the date-to-date functionality.

The inception date indicates the date of account or household initiation in the Portfolio Performance system. This date may not match the date the account was opened.

Managed Account Performance- In order for managers to track real time cash in managed AMS accounts, certain activity may not reflect the actual transaction dates and market values may differ from what is reported on client statements. The Time-Weighted Total Equity and Time-Weighted All Cash returns are the same for these accounts.

All performance figures exclude unpriced securities (including securities of indeterminate value),

limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your statement).

Dividends are not guaranteed and will fluctuate.

The CFA Institute has not been involved with the preparation or review of this statement.

Accounts that have been closed may be included in the consolidated performance report. When closed accounts are included in the consolidated report, the performance report will only include information for the time period the account was active during the consolidated performance reporting time period.

Risk is identified as Standard Deviation. Standard Deviation measures the variability of the return. A higher standard deviation is indicative of more volatility and a lower standard deviation is representative of lower volatility.

The risk free rate, represented by the T-bill, shows the return of a risk free investment over the time period. It shows the return that could be realized while taking no risk.

Accounts with Real Time Cash may have cash values updated throughout the day, note that this may cause a difference in account values between performance and holdings reports.

Securities Information

Non-proprietary annuity values will show as contributions on the date they became available to the Portfolio Performance system. They are included through the selected calculation ending date. Prior inclusion of these values in the rate of return calculation is not available.

Raymond James Certificates of Deposit values will show as contributions on the date they became available to the Portfolio Performance system. They are included through the selected calculation ending date. Prior inclusion of these values in the rate of return calculation is not available.

Additional Information Regarding This Report

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California State Athletic Comm // 56658065

Cyril Shah
cyril.shah@raymondjames.com

Values include accrued income. Values are based on trade date accounting method.

Morningstar equity information as of (February 04, 2019)

Morningstar mutual fund and annuity information as of (January 10, 2019)

Morningstar 529 information as of (January 18, 2019)

Raymond James and Morningstar data are subject to the availability of the fund filings as well as internal analysis and may not represent real-time allocations.

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This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See raymondjames.com/asset-allocation/faq to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

Amounts are projected over the next 12 months and do not reflect actual cash flows.

Positions that do not produce income are not included on this report; however, they are included as part of the total estimated annual yield calculation.

Projected income amounts are estimated using current positions, rates and market values. Variable rate income projection is based on the current coupon or rate available and may change without notice. Any change in coupon or rate will affect the estimate and cannot be guaranteed.

The sum of the income values may not equal total value due to rounding.

Factored securities are separated into principal and interest amounts. Both are displayed whether or not they have projected income.

Due to missing payment dates, payment frequency, or both, actual payments may appear on months other than the ones represented on this report.

The values quoted represents past values and do not guarantee future results, there is no assurance these trends will continue.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although these funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in them.

Short positions and negative cash values are not included in the allocation.

Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B using the first-in, first-out (FIFO) cost basis accounting method unless otherwise directed by you or your financial advisor at the time of trade or transfer. These tax lots are indicated by a "c".

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise. Rely only on year-end tax forms when preparing your tax return.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected tax lots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

For securities classified as Grantor or Royalty Trusts, Master Limited Partnerships or other widely held fixed income trusts, cost basis is not adjusted. These securities receive principal payments or distributions that are classified differently by the issuer at the end of the year. Clients should continue to rely on the issuer information for both cost basis adjustments as well as proceeds adjustments for these securities. For this reason the gain/loss displayed will be unadjusted and is not a true indicator of the investment return. Any adjustment to sales proceeds will be reflected on your 1099.

While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor

Additional Information Regarding This Report

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Cyril Shah
cyril.shah@raymondjames.com

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Packaged products may be represented across multiple asset classes.

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Additional Information Regarding This Report

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Cyril Shah
cyril.shah@raymondjames.com

Benchmark Information

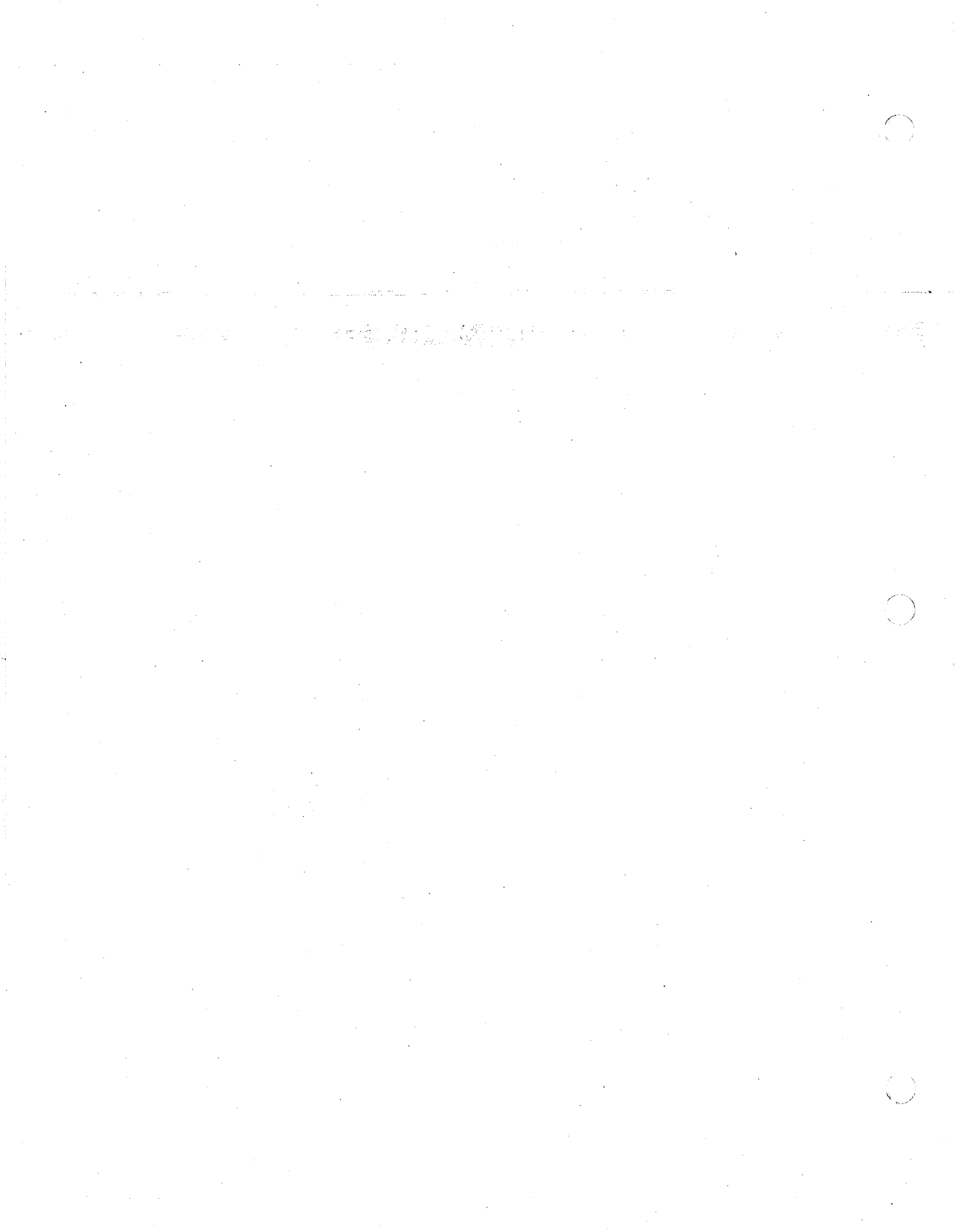
BBG Barclays Agg US CP INV GR - The Bloomberg Barclays Agg Bond US CP INV GR is an unmanaged index composed of investment-grade securities from the Bloomberg Barclays U.S. Government/Credit Bond Index, Mortgage-Backed Securities Index, and Asset-Backed Securities Index.

BBG Barclays US Agg Bd - The Bloomberg Barclays U.S. Aggregate Bond index is a measure of the investment grade, fixed-rate, taxable bond market of roughly 6,000 SEC-registered securities with intermediate maturities averaging approximately 10 years. The index includes bonds from the Treasury, Government-Related, Corporate, MBS, ABS, and CMBS sectors.

Citi 3 Month U.S. T-Bill Indx - This index is a measurement of the movement of 3-month T-Bills. The income used to calculate the monthly return is derived by subtracting the original amount invested from the maturity value.

MSCI EAFE (Net Div) Indx - MSCI EAFE Net Dividend is a free float-adjusted market capitalization index that is designed to measure the equity market performance of developed markets, excluding the United States & Canada. As of June 2007 the MSCI EAFE Index consisted of the following 21 developed market countries: Australia, Austria, Belgium, Denmark, Finland, France, Germany, Greece, Hong Kong, Ireland, Italy, Japan, Netherlands, New Zealand, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, and the United Kingdom. (Total Return Index) - With Net Dividends: Approximates the minimum possible dividend reinvestment. The dividend is reinvested after deduction of withholding tax, applying the rate to non-resident individuals who do not benefit from double taxation treaties. MSCI Barra uses withholding tax rates applicable to Luxembourg holding companies, as Luxembourg applies the highest rates.

S&P 500 Total Return Indx - The S&P 500 Index Total Return is a broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. It consists of 400 industrial, 40 utility, 20 transportation, and 40 financial companies listed on U.S. market exchanges. This is a capitalization-weighted calculated on a total return basis with dividends reinvested. The S&P represents about 75% of the NYSE market capitalization.



- (5) Evidence, if any, of rehabilitation submitted by the applicant or petitioner.

This database is current through 1/3/14 Register 2014, No. 1

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Article 12. Pension Plan

Barclays Official California Code of Regulations
Currentness

Title 4: Business Regulations

Division 2. State Athletic Commission

Chapter 1. Professional Boxing Rules

Article 12. Pension Plan (Refs & Annos)

§ 400. Professional Boxer's Pension Plan.

The commission hereby restates the professional boxer's pension plan (Plan) previously established by the commission. The commission may, in its discretion, contract with a private or public entity for the administration of such plan.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Section 18881, Business and Professions Code.

§ 401. Definitions.

- (a) Account.

"Account" means either the participating boxer's regular account or refund account.

- (1) Regular Account.

"Regular Account" means the account maintained by the commission on the records of the Plan for each participating boxer representing allocations of contributions and forfeitures, adjusted for withdrawals, income, expenses and realized and unrealized gains and losses attributable thereto.

Each boxer's regular account shall be created as of the effective date of this restated Plan on May 1, 1996. An amount equal to the actuarial equivalent, or the present value of accrued benefit ("PVAB") of a participating boxer's benefit under the Boxer's Retirement Plan as of April 30, 1996 shall be allocated to the boxer's regular account on that date. This amount in the boxer's regular account shall be referred to as the participating boxer's "grandfathered PVAB balance".

- (2) Refund Account.

"Refund Account" means an account maintained by the commission on the records of the Plan for each boxer who has incurred a break in service and who has made contributions to the Plan under the terms of this Article. Such account shall be created as a sub-account within the Pension Fund and shall exist until January 1, 2002 in accordance with the vesting provisions of this Plan.

- (b) Accrued Benefit.

"Accrued benefit" means the aggregate amount in all of a participating boxer's accounts and shall include the actuarial equivalent of any participating benefit under the Plan as of April 30, 1996.

- (c) Actuarial Equivalent.

"Actuarial equivalent" means the equivalent in value of the accrued benefit expected to be received based upon actuarial assumptions adopted from time to time by an enrolled actuary appointed by the commission.

- (d) Beneficiary.

"Beneficiary" means all persons entitled under the provisions of this Plan to receive benefits after the death of a participating boxer

- (e) Boxer.

"Boxer" means a licensed professional boxer.

- (f) Boxers' Pension Account.

"Boxers' pension account" means the fund held by the commission in the boxers' pension account established pursuant to section 18882 of the Code for the exclusive purpose of paying benefits under this Plan.

- (g) Break In Service.

"Break in service" occurs when a participating boxer fails to fight at least ten (10) scheduled rounds in California during any thirty-six (36) consecutive calendar months, after July 1, 1981, and prior to age 50. A participating boxer who suffers a break in service forfeits all credit earned for rounds fought up to that date unless such participating boxer is a covered boxer at the end of the plan year in which the break in service occurs. For purposes of this Article, a boxer's service in the armed forces of the United States in a time of war or national emergency shall not be counted in determining when a break in service occurs.

- (h) Covered Boxer.

"Covered Boxer" means a participating boxer who has satisfied the vesting requirements of section 405(a).

- (i) Forfeiture Reserve.

"Forfeiture Reserve" means the reserve set aside from each year's total forfeitures due to the

potential claimant not claiming his or her accrued benefit before January 1st of the year in which their 54th birthday occurs as adjusted to reflect the recommendation of a pension fund audit conducted at least once every two calendar years.

- (j) Forfeiture.
"Forfeiture" means the reallocation within the Plan of that portion of a participating boxer's regular account that is not vested prior to the date on which the boxer incurs a break in service.
- (k) Participating Boxer.
"Participating Boxer" means a boxer who participates in a contest after July 1, 1981, and who is or may become eligible to receive a benefit under the Plan, or whose beneficiary may be eligible to receive any such benefit, and who has not incurred a break in service. A boxer who has incurred a break in service shall nonetheless be deemed a "participating boxer" for any year after that break in service in which the boxer participates in a contest.
- (l) Plan Year.
"Plan year" means the calendar year. The first plan year for this restated Plan shall be the period between May 1 and December 31, 1996.
- (m) Suspense Account.
"Suspense account" means the account provided for in the funding and allocation provisions of this Plan.
- (n) Vested.
"Vested" means that the participating boxer or the participating boxer's beneficiary has an unconditional, nonforfeitable right in the participating boxer's accrued benefit.
- (o) Forfeited Vested Account.
"Forfeited Vested Account" means an accrued benefit that has been forfeited by a covered boxer as a result of the covered boxer's failure to file a claim with the commission for his or her accrued benefit prior to January 1st of the year in which their 54th birthday occurs.
- (p) Potential Timely Claimant.
"Potential Timely Claimant" means a covered boxer who has not filed a claim with the commission for his or her accrued benefit between their 50th birthday and January 1st of the year in which their 54th birthday occurs.
- (q) Timely Claimant.
"Timely Claimant" means a covered boxer who has filed a claim with the commission for his or her accrued benefit between their 50th birthday and January 1st of the year in which their 54th birthday occurs.
- (r) Late Claimant.
"Late Claimant" means a covered boxer who has filed a claim with the commission for his or her

accrued benefit on or after January 1st of the year in which their 54th birthday occurs.

- (s) Potential Late Claimant.
"Potential Late Claimant" means a covered boxer who has not filed a claim with the commission for his or her accrued benefit on or after January 1st of the year in which their 54th birthday occurs.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Section 18881, Business and Professions Code.

§ 402. Eligibility For Participation.

Any boxer who fights in a commission-approved contest shall be required to have contributions made to the Plan and shall participate in allocations of contributions and forfeitures in the Plan beginning with the first fight in which such requirements are met. The boxer shall sign a waiver of privacy rights to the extent necessary to enable the commission to locate the boxer in order to assure the boxer's receipt of benefits under the Plan. Any boxer who was participating in the Plan prior to May 1, 1996 shall continue to participate in the Plan and share in allocations to the boxer's accounts under the terms of this article.

- a) Effect Of Break In Service On Current Participation.
Any boxer who incurs a break in service prior to becoming a covered boxer shall cease to be a participating boxer in the Plan. If the participating boxer is a covered boxer pursuant to this Plan at the time he or she incurs a break in service, then such participating boxer's regular accounts shall be placed on inactive status, and the participating boxer shall not continue to share in the allocation of contributions but shall continue to receive allocations of the Plan's forfeitures and investment results.
- (b) Determination of Eligibility. The commission shall determine the eligibility of each boxer for participation in the Plan based upon information gathered for the commission by the commission staff. Each such determination shall be conclusive and binding on all persons. Any misrepresentation by a boxer, manager, promoter, or beneficiary shall be grounds for the denial, suspension or discontinuance of benefits, in whole or in part, or for the cancellation or recovery of benefit payments made in reliance thereon by the commission. Each participating boxer shall participate in the Plan until such time as the boxer incurs a break in service or begins receiving all or a portion of his or her accrued benefit from the Plan.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Section 18881, Business and Professions Code.

§ 403. Funding And Contributions.

a) Contributions.

Contributions shall be assessed as follows:

The promoter shall contribute 88 cents (\$.88) on every ticket, excluding a working complimentary ticket as described in section 264, up to a maximum contribution of \$4,600 per show.

(b) Formula for Allocation of Contributions.

Contributions shall be allocated to each participating boxer's account on the last day of the plan year in the following proportions:

(1) One half (1/2) of the contributions for the plan year shall be allocated among the regular accounts of participating boxers who have not incurred a break in service as of the last day of the plan year in the proportion that each such boxer's scheduled rounds fought for the plan year bears to the total scheduled rounds fought in the plan year; and

(2) One half (1/2) of the contributions for the plan year shall be allocated among the regular accounts of participating boxers who have not incurred a break in service as of the last day of the plan year in the proportion that each such boxer's total purses for the plan year bears to the total purses paid for all fights fought by participating boxers in the plan year.

(c) Formula for Allocation of Forfeitures.

Forfeitures and forfeited vested accounts, excluding the 20% of all forfeited vested accounts reserved for any late claimants who, pursuant to 405(d), files a claim for his or her accrued benefit, which become available in a plan year for allocation shall be allocated to each participating boxer's regular account on the last day of the plan year in the following proportions:

(1) One half (1/2) of the allocable forfeitures and forfeited vested accounts shall be allocated among all regular accounts as of the last day of the plan year in the proportion that each such regular account bears to the total regular accounts in the Plan; and

(2) One half (1/2) of the allocable forfeitures and forfeited vested accounts shall be added to the contributions for the plan year and shall be allocated among the regular accounts of participating boxers who have fought in the current plan year according to the formula set forth in subsection (b) above.

(d) Forfeiture Reserve Account Calculation and Allocation.

20% of all forfeited vested accounts, as provided in section 405(d) below, shall be held in a separate account from which all reinstatement claims

filed by late claimants shall be paid. The size of the forfeiture reserve account shall be reviewed and adjusted at the discretion of the commission pursuant to 404(b) and (c). The amount distributed to each late claimant who files a claim with the commission for his or her accrued benefit on or after January 1st of the year in which their 54th birthday occurs shall receive the accrued benefit as of his or her 50th birthday, as provided in 406(a), unadjusted for any subsequent gains.

(e) Forfeiture and Reallocation of Unvested Amounts.

If any participating boxer incurs a break in service prior to becoming a covered boxer, then such participating boxer's regular account shall be held in a suspense account on the records of the Plan after incurring such break in service until such time as it shall be forfeited and reallocated.

Suspense account balances shall be forfeited and reallocated under the Formula set forth in subsection (c) above, as of the last day of the plan year following the plan year in which the participating boxer completes a break in service.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Sections 18881 and 18882, Business and Professions Code.

§ 404. Valuation And Earnings.

(a) Valuation Of The Pension Fund.

The assets of the pension fund shall be valued annually at fair market value on the last day of the plan year. The commission shall determine the net worth of the assets of the pension fund at their fair market value on the last day of the plan year.

(b) Audit of the Boxers' Pension Account.

The boxers' pension account shall be subject to audit at least once every two calendar years to calculate:

- (1) The amount in the boxers' pension account for distribution;
- (2) Boxers' pension account income over the previous five years and as projected for the next five years;
- (3) Existing obligations to those boxers: (i) who have vested; (ii) who are timely claimants; (iii) who are potential timely claimants; (iv) and projected boxers likely to vest for benefit status in the next five years.

(c) Re-Evaluating the Forfeiture Reserve Account

After each audit of the boxers' pension account, the commission may adjust pursuant to the Administrative Procedure Act the following:

- (1) The percentage of forfeited vested accounts reserved in the §403(d) forfeiture reserve

account, which is currently set at 20% of all forfeited vested accounts; and

- (2) The source of funding for the forfeiture reserve account. If the commission staff determines that forfeited vested accounts fail or may fail to provide funding to the forfeiture reserve account sufficient to pay projected late claimants in a given plan year, the commission may utilize all forfeitures in a plan year to fund the forfeiture reserve account.
- (d) Order of Adjustment.
Subject to the provisions governing allocations of contributions and valuation, the commission shall adjust the regular accounts as of the last day of the plan year as follows, in the order stated:
 - (1) First, the regular accounts shall reflect proportionately any adjustment of fair market value of assets in the manner provided in subsection (e) below;
 - (2) Second, the commission shall allocate proportionately any income or loss in the manner provided in subsection (e) below;
 - (3) Third, the commission shall deduct all fees and expenses for the administration of the Plan;
 - (4) Fourth, the commission shall allocate contributions in the manner provided in section 403(b);
 - (5) Fifth, the commission shall allocate forfeitures in the manner provided in section 403(c).
 - (6) Sixth, the commission shall allocate forfeited vested accounts in the manner provided in section 405(d).
- (e) Allocation Of Investment Results.
As of the last day of each plan year, the income or loss attributable to the assets of the pension fund, reduced by expenses incurred since the last day of the prior plan year, shall be allocated to the regular accounts of the participating boxers who had unpaid balances in their regular accounts as of such date in proportion to the balances in such accounts as of the last day of the prior plan year, after reducing such prior plan year balances by amounts withdrawn or distributed since last day of the the prior plan year, if any.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Sections 18881 and 18882, Business and Professions Code.

§ 405. Vesting.

- (a) Vesting.
A participating boxer shall become vested in the amount credited to the participating boxer's regular account when the participating boxer has:
 - (1) Fought in at least ten (10) scheduled rounds per calendar year during each of four (4) calendar years without an intervening break in service; and
 - (2) Has fought in at least seventy-five (75) scheduled rounds without a break in service.

- (b) Scheduled Rounds for Vesting.
A participating boxer shall be credited for fighting in one (1) round for each scheduled round of professional boxing fought in California in a commission-approved bout as part of a contest in which at least one (1) round was commenced after June 30, 1978; provided however, that the boxer must fight in at least twenty (20) scheduled rounds in the thirty-six (36) calendar month period following July 1, 1981, in order to receive any credit for scheduled rounds fought beginning after June 30, 1978 but prior to July 1, 1981.
- (c) Refund of Unvested Pre-1996 Boxer Contributions.
A separate refund account shall be created on the records of the Plan as of May 1, 1996, which shall contain all unvested boxer contributions made prior to May 1, 1996, for all boxers who have incurred a break in service as of April 30, 1996 under the terms of the Plan as it existed prior to such date. The commission will continue to invest and safeguard those assets within the investment vehicle in which it is investing the participating boxers and covered boxers accounts.

On or after May 1, 1996 a participating boxer who has not vested but who has contributed a portion of his or her purse (under the provisions of this Plan in this article in effect prior to May 1, 1996), and who has incurred a break in service may request a refund from the commission of the pre-1996 amounts contributed by him or her, plus 6% interest up to May 1, 1996 and plus his or her share of applicable earnings allocated to his or her account since that date. No boxer who has vested and become a covered boxer is eligible for such a refund. All amounts not claimed by these boxers before January 1, 2000, shall constitute forfeitures and shall be allocated in accordance with section 403(c), in three (3) equal installments for the plan years ending December 31, 1999, December 31, 2000, and December 31, 2001.

- (d) Forfeited Vested Account.
If, according to the records of the commission, a participating boxer has reached age 50 and the participating boxer or his or her beneficiary has not made a claim for benefits, the participating boxer's accrued benefit shall be held until the last day of the third plan year after the participating boxer reached age 50, at which time it shall be reallocated pursuant to section 403(c) and 403(d); provided,

however, that if a claim is later made by the participating boxer or beneficiary for the forfeited vested account, the commission shall reinstate the amount of the vested account balance that had been forfeited, unadjusted by any gains or losses attributable to such amount. Such reinstatement shall be made from the forfeiture reserve account pursuant to 403(d).

- (e) Vesting of Pre-1996 Regular Account Balance. Each participating boxer who is not a covered boxer as of May 1, 1996 shall continue to accrue credit towards vesting in his or her regular account balance under the terms of this Plan. In the event a participating boxer with a regular account balance attributable to pre-1996 contributions incurs a break in service before becoming a covered boxer, the portion of such boxer's regular account balance attributable to amounts other than boxer contributions, if any, shall be placed in the suspense account and shall be reallocated with other forfeitures under the terms of this restated Plan.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Sections 18881 and 18882, Business and Professions Code.

§ 406. Determination Of Benefits.

- (a) Measure Of Benefits.

The benefit distributable to a covered boxer upon retirement or to the covered boxer's beneficiary in the event of the covered boxer's death, shall be the value of the participating boxer's accrued benefit as of the last day of the plan year coinciding with or next following the date of death or attainment of age 50.

- (b) Nonliability.

The commission does not guarantee the pension fund, the participating boxers or their beneficiaries against loss of or depreciation in value of any right or benefit that any of them may acquire under the terms of this Plan. All of the benefits payable hereunder shall be paid or provided for solely from the pension fund.

- (c) Methods of Payment.

The commission, with respect to any benefit, is authorized:

- (1) To pay benefits directly from the pension fund in a lump sum or installments;
- (2) To invest the amount of the accrued benefit in an installment contract or annuity for the benefit of the covered boxer or the participating boxer's beneficiary by conversion of existing contracts or otherwise. Such installment contract, endorsed as nontransferable, may be distributed to the covered boxer or the covered boxer's beneficiary;

- (3) To distribute to the covered boxer the contracts on the covered boxer's life; in such event, if the vested interest of the covered boxer is less than the value of contracts to be distributed, then the commission may reduce their net value to the amount of the vested interest by making a policy loan or allowing the participating boxer to purchase the excess contract value; or

- (4) Any combination of the preceding methods.

(d) Payment Of Benefits.

- (1) When a covered boxer either reaches age 50 or dies, the commission shall determine the boxer's accrued benefit on the last day of the plan year (or a valuation date as of the date the participating boxer became entitled to benefits hereunder, if the commission orders a special valuation to be made or if the accounts are segregated individual accounts). The covered boxer shall be provided with a nontransferable, fixed or variable installment contract of such type and from such insurer as the trustee shall select, payable over a period of years not to exceed the greater of thirty (30) years, the covered boxer's life expectancy, or the joint life expectancy of the covered boxer and the covered boxer's designated beneficiary. The payments shall be substantially equal in amount and shall occur at least annually.

- (2) A covered boxer may, for good cause shown, petition the commission in writing to receive one of the following alternative methods of payment:

(A) Lump Sum.

A single lump sum distribution of the covered boxer's accrued benefit in cash or in-kind.

(B) Installments.

Cash payments in quarterly installments of substantially equal designated amounts or of a designated percentage of the value of the covered boxer's accrued benefit payable over a five-year term, which shall not exceed the covered boxer's remaining life expectancy or over the joint life expectancy of the covered boxer and the covered boxer's designated beneficiary.

- (3) For purposes of subsection (d), "good cause" means the covered boxer's terminal illness or disability retirement, or the situation where it is objectively imprudent to purchase an annuity contract.

- (e) Commencement Of Payment Of Benefits.

Distribution of the funds due to a covered boxer shall be made to such covered boxer as soon as is administratively feasible after the last day of the plan year in which the covered boxer reaches age 50

or dies or meets other applicable early retirement distribution criteria and without unreasonable delay unless due to causes beyond the control of the commission, its trustee or other appointed fiduciary.

(f) Vocational Early Retirement Benefit.

A covered boxer may ask the commission to convert all or a portion of the covered boxer's accrued benefit to a vocational education benefit. This request shall be made by the covered boxer on or after the covered boxer has reached the age of 36 and has retired from boxing, as evidenced by unconditional surrender or cancellation of the boxer's license. The commission may, in its discretion, grant such petition in whole or in part.

If the commission grants the petition, it may pay all or a portion of the covered boxer's accrued benefit for education or vocational training. The commission shall make such payments directly to an institution approved by the Bureau for Private Postsecondary Education, or its equivalent in another state or jurisdiction, on a periodic basis as billed by the institution and where the institution submits evidence satisfactory to the commission that the boxer is maintaining satisfactory attendance at the institution.

(g) Amount of Death Benefits.

Upon the death of a covered boxer prior to age 50, the covered boxer's accounts shall become payable to the covered boxer's beneficiary.

(h) Designation Of Beneficiary.

Each participating boxer shall have the right to designate, on forms provided by the commission, a beneficiary or beneficiaries to receive the participating boxer's death benefits, and shall have the right, at any time, to revoke such designation or to substitute another such beneficiary or beneficiaries without the consent of any beneficiary; provided, however, that a married participating boxer and spouse must both designate any non-spouse beneficiary or beneficiaries. The commission shall file all beneficiary designations with the trustee and with the insurer insofar as they affect any insurance contracts on the participating boxer's life.

(i) Absence Of Valid Designation Of Beneficiaries.

If, upon the death of a covered boxer or beneficiary, there is no valid designation of beneficiary on file, the commission shall designate the covered boxer's survivors and issue as the beneficiary, in order of priority according to the California Probate Code.

The determination of the commission as to which persons, if any, qualify within the aforementioned categories shall be final and conclusive upon all persons. The commission may seek a declaratory

judgment of a court of local jurisdiction to determine the identity of beneficiaries and their respective shares at the expense of the beneficiary's accounts.

(j) Distributions To Incapacitated Covered Boxers.

If a covered boxer or beneficiary who is entitled to a payment under the Plan is deemed incapable of personally receiving the payment, the commission or its trustee may make all benefit distributions to the persons or institutions which are providing for the care and maintenance of the covered boxer or beneficiary and continue to make distributions to them until a duly appointed legal representative of the covered boxer or beneficiary makes a claim for the payment. Payments made pursuant to the terms of this subsection shall constitute a distribution to the covered boxer or beneficiary entitled thereto, and shall immediately discharge the commission, the Plan and the pension fund of any further liability therefor.

NOTE: Authority cited: Sections 18611 and 18881, Business and Professions Code. Reference: Sections 18881 and 18882, Business and Professions Code.

§ 407. Benefit Claims and Appeals.

(a) Request for Information.

Any participating boxer or beneficiary may request information from the commission concerning rights or benefits under this article. The executive officer or his designee shall respond, in writing, not to exceed thirty (30) days, unless the failure to respond results from matters reasonably beyond the Administrator's control.

(b) Claim For Benefits.

The commission shall inform a claimant in writing within 30 days after receiving a claim whether the claim is complete and includes all information and documents necessary to establish the claim. The commission shall provide a written decision within 60 days after receipt of a complete claim. A written decision on each claim for benefits shall be provided to the person making the claim. If the claim is denied in any respect, the decision shall set forth the specific reasons for such denial, written in plain English, including:

- (1) Specific references to pertinent Plan provisions on which the denial is based;
- (2) An explanation of the Plan's review procedure for denied claims.

(c) Review Of Denied Claim.

Within ninety (90) days after receipt of decision denying a claim for benefits, the covered boxer or beneficiary making the claim or his/her authorized representative may file a written request for review.

The commission shall notify the claimant that it has received the request for review and that the claimant may submit, within thirty (30) days from the date of the notification, a written statement and documents to give whatever facts or evidence the claimant feels bears upon the claim, review pertinent documents and records and submit issues and comments in writing. The commission shall make a full review of the record, including the written and oral information submitted by the claimant. Within thirty (30) days of the claimant's deadline to provide information, the commission shall render a decision and if the claim is again denied, the commission shall set forth the specific reasons for such denial written in plain English. Such decision shall contain the same information required by subsection (b), above.

(d) Time.

The filing of a claim or receipt of a notice of decision and any event starting a time period shall be deemed to commence with personal delivery signed for by the claimant or by affidavit of personal service, or the date of actual receipt for certified or registered mail (or date returned if delivery is refused or a claimant has moved without giving the commission, or its agents a forwarding address).

§ 408. Plan Administration.

(a) Expenses.

The members of the commission shall receive no compensation from the boxer's pension account for administering the pension plan, but the boxers' pension account shall reimburse the commission for all necessary direct expenses incurred in carrying out its maintenance of the Plan. The commission shall pay any necessary direct expenses, including reasonable pension consulting fees incurred by it in administering the Plan out of the Plan's funds. All direct administrative expenses, including actuarial certification fees, trust accounting fees, and commissions and expenses related to the investment of the boxer's Pension Account shall be directly assessable and shall be paid out of the boxer's pension accounts.

Expenses related to the restatement and implementation of this Article, including any extraordinary actuarial, design and consulting fees, costs of locating lost boxers' beneficiaries and costs of preparing summary plan descriptions in English and Spanish shall be reimbursed to the commission from the Plan, but only out of and limited to the funds that the commission's enrolled actuary certifies exceeds the cumulative grandfathered PVAB balances (actuarial equivalent of the Plan's benefit and refund obligations) as April 30, 1996.

(b) Powers and Duties.

The primary responsibility of the commission under this article is to administer the Plan for the exclusive benefit of the boxers and their beneficiaries, subject to the specific terms of this article. The commission shall administer the Plan in accordance with its terms and shall have the power and discretion to construe the terms of this article and to determine all questions arising in connection with the administration, interpretation, and application of the Plan. Any such determination by the commission shall be conclusive and binding upon all persons. The commission shall have all powers necessary or appropriate to accomplish the duties under this Plan.

The commission shall be charged with the duties of the general administration of the Plan, including, but not limited to, the following:

- (1) The discretion to determine questions relating to the eligibility of boxers to participate or remain a participating boxer or a covered boxer hereunder and to receive benefits under the Plan;
- (2) To compute, certify, and direct the amount and the kind of benefits to which any covered boxer shall be entitled hereunder;
- (3) To maintain all necessary records for the administration of the Plan;
- (4) To interpret the provisions of the Plan consistent with the law and these rules;
- (5) To determine the size and type of any contract to be purchased from any insurer, if any, and to designate the insurer from which such contract shall be purchased;
- (6) To prepare and distribute to participating boxers information concerning their rights and obligations, including a summary plan description stating the requirements and benefits of the Plan in English and Spanish, using commonly spoken language to the extent possible, which shall be sent to each manager and to each boxer at appropriate times by the Pension Plan Committee or the commission staff, including at the time of initial licensure and renewal.
- (7) To place the funds in the pension plan in trust and to select a trustee to invest and administer the funds.

(c) Annual Pension Report.

The executive officer shall present an annual pension report draft to the commission, which shall review it and issue a final annual pension report.

The final annual pension report shall be a public document and shall include:

- (1) The financial condition of the pension fund, including present value, net income or losses by source over the previous twelve (12) months, gains or losses realized by sales of assets or disposition;
- (2) Number of currently covered boxers;
- (3) The number of covered boxers drawing benefits and the total amount expended by category of benefits (i.e. normal retirement, death, annuity conversion, or vocational early retirement);
- (4) The number of applicants denied requested benefits and the numbers and dispositions of requests for reconsideration and commission appeals;
- (5) Itemized administrative or other deductions from the pension fund; and
- (6) Other information that the commission deems appropriate.

§ 409. Transfer Or Assignment of Benefits.

Subject to the exceptions provided below and as otherwise specifically permitted by law, neither the assets or benefits under this Plan nor the pension fund shall be subject in any manner to anticipation, alienation, sale, transfer, assignment, pledge, encumbrance or charge. Any attempt to so anticipate, alienate, sell, transfer, assign, pledge, encumber or charge the same shall be void. Nor shall any such benefits in any manner be liable for or subject to the debts, contracts, liabilities or torts of the person entitled to such benefits.

§ 410. Time for Filing Claim. [Repealed]

§ 412. Amendment of Plan. [Repealed]

§ 413. Actuarial Reevaluation. [Repealed]

§ 415. Limitation of Liability. [Repealed]

§ 416. Termination of Plan. [Repealed]

This database is current through 1/3/14 Register 2014, No. 1

END OF DOCUMENT

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Article 12.5. Therapeutic Use Exemption

§ 424. Statement of Commission's Intent, Application of Article, Definitions.

- (a) It is the Commission's intent to allow a narrow exception to Regulation 303's prohibition against the Administration or use of Prohibited Substances and/or Prohibited Methods for those athletes who are medically required to use a Prohibited Substance or Prohibited Method. Such athletes may apply for a Therapeutic Use Exemption (TUE) only as provided by this Article. It is further the Commission's intent to ban all forms of Testosterone Replacement Therapy from use by athletes who are licensed by the Commission, except as expressly provided for in Commission Regulations, chapter 6.
- (b) This Article shall apply to all athletes who are licensed by the Commission or are participating in Commission-regulated events.
- (c) Definitions. As used in this Article, the following terms shall have the following meanings:
 - (1) "Prohibited Substance" is defined in Commission Regulation 303.
 - (2) "Prohibited Method" is defined in Commission Regulation 303.
 - (3) "Testosterone Replacement Therapy" or "TRT" means the use of natural or synthetic testosterone to treat or replace testosterone deficiency in men.
 - (4) "Board-certified physician" means a licensed doctor of medicine (M.D.) or osteopathy (O.D.), or a nurse practitioner or physician's assistant, in good standing and authorized to practice under state law, and practicing consistent with the laws governing their respective scope of practice in the state in which they are licensed.
 - (5) "Acute medical condition" includes but is not limited to gender dysphoria and low testosterone resulting from testicular cancer or treatments for testicular cancer.

NOTE: Authority cited: Section 18611, Business and Professions Code. Reference: Sections 18640, 18642, 18648, 18649, 18661 and 18711, Business and Professions Code.



AGENDA

ITEM #10



MEMORANDUM

DATE	February 19, 2019
TO	Chairman John Carvelli and Commissioners California State Athletic Commission
FROM	Andy Foster, Executive Officer California State Athletic Commission
SUBJECT	Agenda Item #10 – Executive Officer’s Report

A. Budget Update for the Support Fund, the Neurological Fund, and Pension program

As of 1/31/2019 the Commission deposited \$1,645,456.64 to the Support Fund, \$8,898.38 to the Neurological Examination Account, and \$261,663.52 to the Boxers Pension Fund.

At this time, I still have not received any recent expense reports due to the Fi\$cal system problems. The budget office has provided a fund condition statement and that is in your packet.

The fund condition of the Commission remains healthy and is projected to grow. The end of FY 17-18 saw a 6.6 months reserve (\$1,019,000) and is projected to grow to 7.9 months reserve (\$1,245,000) by the end of FY 18-19.

Based on the number of large events regulated up to this date and in careful consideration of the upcoming event schedule, I formally requested the additional \$250,000 in appropriation for athletic inspectors and travel costs allowed in the budget bill language.

B. Report on Pending and Proposed Regulations

- **Examination of Boxer Applicants** (Amendment to Title 4, California Code of Regulations section 280.) DCA Legal concluded their review on 11/5/18 and had recommended changes. Commission staff made the revisions and rerouted the package back to Legal on 11/29/18. On 12/5/18, Legal Counsel advised staff that the revised language must be approved by the Commission. The revised language is in your meeting materials under a separate agenda item for review and approval.

C. Status Update on Delegated Entities

- CAMO Report – please see attached report.
- USA Boxing Report – please see attached report.
- USFL Report – please see attached report.
- IKF Report – please see attached report.

D. Update on C3 Logix Sports Concussion Management Program

We continue to implement the C3 Logix concussion test at weigh ins and pre-licensing. As of 2/1/19, the Commission staff have uploaded 1050 tests.

E. Staffing Update

Patrisha Blackstock is the new Analyst in the office. She started on January 14, 2019. I will be holding interviews for the Supervising Special Investigator I (non-peace officer) in mid-March. The Commission currently has one vacancy left by Heather Jackson.

F. Association of Boxing Commissions Ratings Criteria

I requested that Spencer Walker send letters to the World Boxing Organization (WBO) and the World Boxing Association (WBA). The WBO has responded that they intend to fully comply with the new health and safety provisions regarding weight cutting that have been tied to the ratings criteria. The World Boxing Association (WBA) has not responded as of this date.

Please see the attached letter from the WBO.

The World Boxing Council (WBC) and the International Boxing Federation (IBF) actually have internal regulations that exceed the health and safety provisions regarding extreme weight cutting in the ABC ratings criteria, so an update from those organizations was unnecessary.

G. Association of Boxing Commissions (ABC) Report on Number of Shows Regulated

The Association of Boxing Commissions has reported on their number of shows regulated for boxing and mixed martial arts. As usual, California is number one, but of note is the sharp increase in the number of boxing shows regulated. In 2017, the Commission regulated 87 boxing events compared to 118 boxing events in 2018. The Commission regulated more boxing events in 2018 than Texas, New York, New Jersey, and Nevada combined.

H. Upcoming Event Schedule

Please find the Updated Event Schedule attached in your packets.

RAYMOND JAMES®

California State Athletic Comm Account Summary

Account No. 56658065

Closing Value \$4,720,190.05

ANDY FOSTER TTEE
U/A DTD JUL 1, 1981
CALIFORNIA STATE ATHLETIC COMM
FBO PROF BOXERS P/PL
2005 EVERGREEN ST STE 2010
SACRAMENTO CA 95815-3897104

CYRIL SHAH
Raymond James Financial Services, Inc.
RAYMOND JAMES FINANCIAL SVCS | 555 UNIVERSITY AVENUE | SUITE 120 | SACRAMENTO, CA
95825 | (916) 448-3754
<https://www.raymondjames.com/theshahgroup> | Cyril.Shah@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378)
Monday - Friday 8 a.m. to 9 p.m. ET
Online Account Access | raymondjames.com/clientaccess

Statement Copies to: BETH HARRINGTON, JOHN FRIERSON

Investment Objectives

Primary: Growth with a medium risk tolerance and a time horizon exceeding 10 years.
Secondary: Growth with a high risk tolerance and a time horizon exceeding 10 years.

Activity

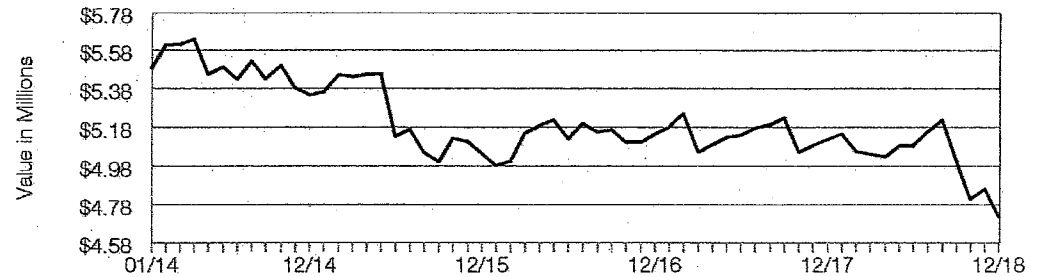
		This Statement		Year to Date	
Beginning Balance	\$	4,866,614.52	\$	5,117,697.02	
Deposits	\$	0.00	\$	0.00	
Income	\$	28,927.20	\$	128,950.43	
Withdrawals	\$	0.00	\$	(200,000.00)	
Expenses	\$	0.00	\$	(24,187.38)	
Change in Value	\$	(175,351.67)	\$	(302,270.02)	
Ending Balance	\$	4,720,190.05	\$	4,720,190.05	

Time-Weighted Performance

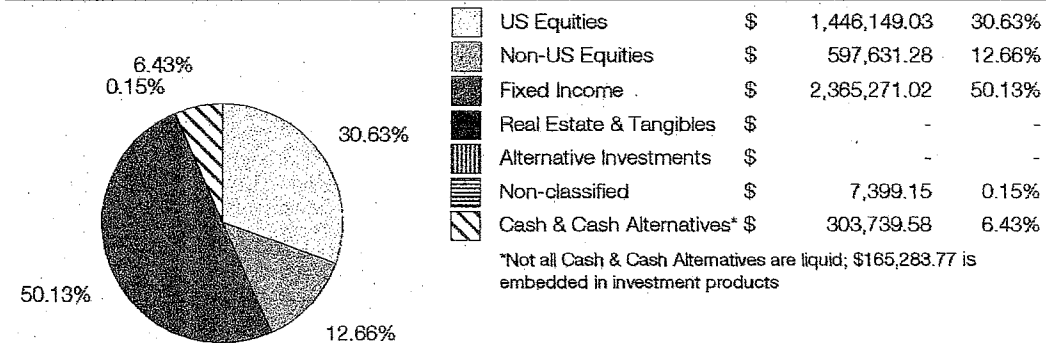
See Understanding Your Statement for important information about these calculations.

Performance Inception 10/24/07	This Quarter	YTD	2017	2016	Annualized Since 10/24/2007
	(5.83)%	(4.09)%	7.41%	6.01%	3.87%

Value Over Time



Asset Allocation Analysis



Morningstar asset allocation information is as of 12/28/2018 (mutual funds & annuities) and 12/20/2018 (529s).



Account carried by Raymond James & Associates Inc.
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007989 RJCP4D01 049951

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provided by MSRB rules, including how to file a complaint with the appropriate regulatory authority. For more information, visit <https://www.sec.gov/> and <http://www.msrb.org/>.

Availability of Free Credit, Bank Deposit Program, and Shares of the Cash Sweep Money Market Mutual Fund Balance - You have the right to receive, in the normal course of business, any free credit balance, bank deposit program balance, the net asset value of shares of the cash sweep money market mutual fund balance, and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

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Cost Basis - Effective January 1, 2011, Raymond James reports adjusted cost basis for tax lots of securities covered by the Emergency Economic Stabilization Act of 2008 to the IRS on Form 1099-B. These tax lots are indicated by a "c." Raymond James will default to the first-in, first-out (FIFO) cost basis accounting method for trades and transfers unless a different method has been selected.

For tax lots or securities that are not covered by the Emergency Economic Stabilization Act of 2008, cost basis information may not be available, may have been estimated by you or your financial advisor, or may have been obtained from third-party sources, and in these instances, Raymond James cannot guarantee its accuracy. Information for uncovered positions will not be reported to the IRS.

Gain or loss will only be calculated for tax lots that have cost basis. Gain or loss information may or may not reflect adjusted cost for return of principal/capital or accretion/amortization. Tax lots where the cost basis is true zero, displayed as 0.00, are included in cost calculations. "Gain or (loss) Pct" is calculated utilizing total cost basis.

Understanding Your Statement (continued)

California State Athletic Comm Account No. 56658065

Missing basis is not included in cost calculations. Please contact your financial advisor to have missing cost basis information added to your account.

The cost basis, proceeds, or gain/loss information reported has been adjusted to account for a disallowed loss from a wash sale. These adjustments are indicated by a "w" on the affected taxlots. A wash sale occurs when a security is sold for a loss and is re-purchased either 30 days before or after the sell.

Cost basis information for uncovered securities or tax lots will not be reported to the IRS; it is displayed for your information only and should not be relied upon for tax reporting purposes. Past performance is not a guarantee of future results. Market valuations may have been obtained from third-party sources and Raymond James cannot guarantee its accuracy or completeness.

For securities classified as Grantor or Royalty Trusts, Master Limited Partnerships or other widely held fixed income trusts, cost basis is not adjusted. These securities receive principal payments or distributions that are classified differently by the issuer at the end of the year. Clients should continue to rely on the issuer information for both cost basis adjustments as well as proceeds adjustments for these securities. For this reason the gain/loss displayed will be unadjusted and is not a true indicator of the investment return. Any adjustment to sales proceeds will be reflected on your 1099.

Unrealized gains or losses are not calculated for depreciated gifted securities, referred to as dual basis, indicated by a "d." Both Total Cost and Gift FMV exist, as the actual gain/loss cannot be determined until the position is sold.

Reinvestments of dividend or capital gain distributions are excluded from Amount Invested but are included in Total Cost Basis. For any security in which a client has elected the average cost reporting method, the Amount Invested will utilize the average cost per share of all tax lots to calculate amount invested.

Mutual fund tax lots are displayed as one total position and may include covered and non-covered tax lots some of which could be adjusted for wash sales. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of "various."

Adjustments made to cost basis throughout the year may cause the information displayed on your client statement to differ from what is reported on the 1099-B which is provided to the IRS at the end of the year.

Please refer to the fixed income and alternative investment disclosures for additional cost basis information on those securities.

Raymond James Bank Deposit Program - The Raymond James Bank Deposit Program is a multibank cash sweep program that deposits available cash in your brokerage account into interest-bearing deposit accounts at one or more banks. Raymond James Bank Deposit Program balances are insured solely by the Federal Deposit Insurance Corporation (FDIC), subject to FDIC limitations and guidelines, which are explained at <https://www.fdic.gov>.

The Raymond James Bank Deposit Program rate displayed in the Cash & Cash Alternatives section of your statement is the established rate for the last business day of the reported month. However, in the event that a large deposit is made on the last business day of the month, the rate for the next business day may be displayed. Estimated Annual Income is calculated using this rate and, therefore, is solely an estimated value for the month and may not reflect your actual income.

"Your bank priority state" indicates the corresponding Bank Priority List that applies to your account. "RJBDP participating banks you declined" displays the names of the banks you have designated as ineligible to receive your funds, which results in your funds being directed to the next bank on the Bank Priority List. "Participating banks recently added" displays additional banks that have been added to the program in the last 90 days. You have the right to designate any bank in the program as ineligible to receive your funds by contacting your financial advisor.

More information about the Bank Deposit Program, including the current Bank Priority Lists, is available at <https://www.raymondjames.com/rjbdp>.

As a reminder, Raymond James may modify or amend the Cash Sweep Program including the terms, conditions and availability of any Cash Sweep option at any time in its sole discretion by providing you with thirty (30) days' prior notice, or in some cases, as set forth in your account opening documentation, no prior notice.

Exchange-Traded Products (ETPs) - ETPs are investment products that are listed on a national stock exchange and can thus be bought and sold in the equity trading markets. ETPs encompass a number of structures that track an underlying benchmark, index or portfolio of securities. ETPs may be structured as registered unit investment trusts (UITs), exchange-traded funds (ETFs), exchange-traded notes (ETNs), grantor trusts or commodity pools.

A number of ETPs employ, to varying degrees, more sophisticated, financial strategies and instruments such as leverage, futures, swaps and derivatives in order to achieve their investment objectives. Those ETPs are commonly referred to as "non-traditional ETPs." Non-traditional ETPs are more complex than traditional ETPs and may not be appropriate for all investors. These may include leveraged or inverse ETPs, some actively-managed ETPs, futures-linked ETPs, volatility ETPs, some ETNs and other products.

Non-traditional ETPs will generally have higher fees than traditional ETPs. All fees and expenses are described in the prospectus. The ability of an ETP issuer to perpetually create new shares contributes to an ETP's efficiency and accuracy in tracking its index. However, under certain circumstances, issuers may cease or suspend creating new shares, which may cause ETPs to trade at a price that differs significantly from the value of its underlying holdings or index. Furthermore, all ETPs may trade at a premium or discount to their net asset value (NAV) or indicative value in the case of ETNs.

Some ETPs may not trade in high volume, which could impact your ability to buy or sell your shares at the desired price and/or quantity. ETPs can be closed for a variety of reasons, which can cause forced taxable events for investors, including capital gains distributions. Furthermore, there can be closing costs associated with the final liquidation of the ETP and index tracking uncertainty as the ETP liquidates its assets.

Investors should consider an ETF's investment objective, risks, charges and expenses carefully before investing. The prospectus, which contains this and other important information, is available from your financial advisor and should be read carefully before investing.

Time Weighted Performance Reporting - Performance returns are calculated net of management fees, if applicable. Returns exclude some limited partnerships, unpriced securities and annuity history prior to the annuity being linked to the account. Returns for periods greater than one year are annualized returns unless they represent entire 12-month periods. All performance figures exclude unpriced securities (including securities of indeterminate value), limited partnerships (other than limited partnerships classified as Alternative Investments and appearing in that section of your



Understanding Your Statement (continued)

California State Athletic Comm Account No. 56658065

statement). Performance for Annuity and RJ Bank CD's may not be all inclusive. Previous calculations for managed accounts may have been adjusted for investment manager performance. Considering these exclusions, overall performance may be different than the results presented in this statement. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources and Raymond James cannot guarantee the accuracy of such information.

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Estimated Annual Income and Estimated Income Yield - The Estimated Annual Income (EAI) and Estimated Income Yield (EIY) provided on this statement are an estimate of the income a security will distribute during the year. These figures should not be confused with actual cash flows, investment yields or investment returns. Actual income or yield may be lower or higher than the estimated amounts. A number of factors may influence the actual income or yield that is received. The amount or frequency of an issuer's dividend may fluctuate or cease, which may cause the income and or yield of the security to fluctuate. EIY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. EAI and EIY for certain types of securities could include a return of principal or capital gains which could overstate the EAI and EIY. Information used to calculate Estimated Annual Income and or Estimated Income Yield may be obtained from third party sources and Raymond James cannot guarantee the accuracy of such information. Estimated

Annual Income and or Estimated Income Yield amounts should not be used as a financial planning tool.

Pricing - While sources used for pricing publicly traded securities are considered reliable, the prices displayed on your statement may be based on actual trades, bid/ask information or vendor evaluations. As such, the prices displayed on your statement may or may not reflect actual trade prices you would receive in the current market. Pricing for non-publicly traded securities is obtained from a variety of sources, which may include issuer-provided information. Raymond James does not guarantee the accuracy, reliability, completeness or attainability of this information. Investment decisions should be made only after contacting your financial advisor.

Asset Allocation Analysis - This analysis is for informational purposes only and is intended to be used as part of a complete portfolio review with your financial advisor. The data provided in the asset allocation analysis is subject to inherent limitations and is not guaranteed to represent actual asset class exposure(s) within your account(s) at the time of calculation. See <https://clientaccess.rjf.com/faq/#assetallocation> to learn more. Raymond James and Morningstar data are subject to the availability of fund filings as well as internal analysis and may not represent real-time allocations.

The Cash & Cash Alternatives asset class represents cash and money market holdings, as well as cash allocations contained in mutual funds, annuities, and other investment products. For an actual cash value, please refer to the holdings sections of the Client Statement.

Due to rounding, the sum of the broad classes may not exactly match the total assets value.

Product Interest Rate Risk - The performance of your investment can be affected by various risks, including without limitation, interest rate risk. The fixed income portion of your investment is particularly sensitive to interest rate risk. Generally, a rise (fall) in interest rates will reduce (increase) the market value of the fixed income portion of your investment. An overview of this and other risks is available at <https://www.raymondjames.com>, <http://www.finra.org>, <https://emma.msrb.org>, and <http://investinginbonds.com>.

Cash & Cash Alternatives

Raymond James Bank Deposit Program †

Description	(Symbol)	Value	Estimated Income Yield	Estimated Annual Income
Raymond James Bank Deposit Program † - Selected Sweep Option				
Raymond James Bank N.A.		\$138,455.81	0.80%	\$1,107.64
Raymond James Bank Deposit Program Total		\$138,455.81		\$1,107.64

Your bank priority state: CA

† Please see the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Cash & Cash Alternatives Total

\$138,455.81

\$1,107.64

Exchange-Traded Products (ETPs) *

Exchange-Traded Funds

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
ISHARES TR CORE US AGGBD ET (AGG)		17,399.000		\$108.098	\$1,880,790.10	\$106.490	\$1,852,819.51	2.71%	\$50,300.51	(1.49)%	\$(27,970.59)
LOT 1		1,684.000	10/25/2007	\$101.130	\$170,302.92	\$106.490	\$179,329.16	2.71%	\$4,868.44	5.30%	\$9,026.24
LOT 2		3,062.000	10/29/2009	\$104.530	\$320,070.86	\$106.490	\$326,072.38	2.71%	\$8,852.24	1.88%	\$6,001.52
LOT 3		361.000	11/01/2010	\$108.380	\$39,125.18	\$106.490	\$38,442.89	2.71%	\$1,043.65	(1.74)%	\$(682.29)
LOT 4		699.000	10/31/2011	\$109.992	\$76,884.06	\$106.490	\$74,436.51	2.71%	\$2,020.81	(3.18)%	\$(2,447.55)
LOT 5		240.000	03/06/2012	\$110.590	\$26,541.60	\$106.490	\$25,557.60	2.71%	\$693.84	(3.71)%	\$(984.00)
LOT 6		169.000	09/10/2012	\$111.797	\$18,893.66	\$106.490	\$17,996.81	2.71%	\$488.58	(4.75)%	\$(896.85)
LOT 7		818.000	04/01/2013	\$110.540	\$90,421.56	\$106.490	\$87,108.82	2.71%	\$2,364.84	(3.66)%	\$(3,312.74)
LOT 8		105.000	09/17/2013	\$105.980	\$11,127.89	\$106.490	\$11,181.45	2.71%	\$303.56	0.48%	\$53.56
LOT 9		3,030.000	02/17/2015	\$110.490	\$334,784.09	\$106.490	\$322,664.70	2.71%	\$8,759.73	(3.62)%	\$(12,119.39)
LOT 10		1,878.000	08/17/2015	\$109.310	\$205,283.99	\$106.490	\$199,988.22	2.71%	\$5,429.30	(2.58)%	\$(5,295.77)
LOT 11		1,338.000	08/16/2016	\$112.460	\$150,471.21	\$106.490	\$142,483.62	2.71%	\$3,868.16	(5.31)%	\$(7,987.59)



Exchange-Traded Products (ETPs) (continued) *

Exchange-Traded Funds (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
LOT 12	1,038.000	01/11/2017	\$108.500	\$112,622.69	\$106.490	\$110,536.62	2.71%	\$3,000.86	(1.85)%	\$(2,086.07)
LOT 13	2,827.000	11/14/2017	\$109.110	\$308,453.40	\$106.490	\$301,047.23	2.71%	\$8,172.86	(2.40)%	\$(7,406.17)
LOT 14	150.000	09/24/2018	\$105.380	\$15,806.99	\$106.490	\$15,973.50	2.71%	\$433.65	1.05%	\$166.51
ISHARES TR CORE S&P MCP ETF (IJH)	986.000	02/07/2018	\$186.760	\$184,145.26	\$166.060	\$163,735.16	1.72%	\$2,816.02	(11.08)%	\$(20,410.10)
ISHARES TR CORE S&P SCP ETF (IJR)	1,708.000		\$70.047	\$119,639.49	\$69.320	\$118,398.56	1.58%	\$1,870.26	(1.04)%	\$(1,240.93)
LOT 1	1,400.000	01/11/2017	\$68.860	\$96,404.00	\$69.320	\$97,048.00	1.58%	\$1,533.00	0.67%	\$644.00
LOT 2	308.000	02/07/2018	\$75.440	\$23,235.49	\$69.320	\$21,350.56	1.58%	\$337.26	(8.11)%	\$(1,884.93)
ISHARES TR CORE MSCI EAFE (IEFA)	10,866.000		\$62.986	\$684,401.39	\$55.000	\$597,630.00	3.46%	\$20,678.00	(12.68)%	\$(66,771.39)
LOT 1	2,926.000	01/11/2017	\$54.659	\$159,933.40	\$55.000	\$160,930.00	3.46%	\$5,568.16	0.62%	\$996.60
LOT 2	1,953.000	11/14/2017	\$64.469	\$125,908.35	\$55.000	\$107,415.00	3.46%	\$3,716.56	(14.69)%	\$(18,493.35)
LOT 3	4,258.000	02/07/2018	\$66.429	\$282,854.68	\$55.000	\$234,190.00	3.46%	\$8,102.97	(17.20)%	\$(48,664.68)
LOT 4	1,464.000	05/15/2018	\$67.330	\$98,571.12	\$55.000	\$80,520.00	3.46%	\$2,785.99	(18.31)%	\$(18,051.12)
LOT 5	265.000	09/24/2018	\$64.656	\$17,133.84	\$55.000	\$14,575.00	3.46%	\$504.30	(14.93)%	\$(2,558.84)
ISHARES TR CORE 1 5 YR USD (ISTB)	3,123.000	05/15/2018	\$48.950	\$152,870.85	\$49.060	\$153,214.38	2.57%	\$3,941.23	0.22%	\$343.53
SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF (SPIB)	6,000.000	01/11/2017	\$33.990	\$203,940.00	\$33.040	\$198,240.00	3.04%	\$6,018.00	(2.79)%	\$(5,700.00)
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF (JNK)	3,557.000		\$38.760	\$137,870.95	\$33.590	\$119,479.63	5.90%	\$7,053.53	(13.34)%	\$(18,391.32)
LOT 1	76.000	09/10/2012	\$40.217	\$3,056.52	\$33.590	\$2,552.84	5.90%	\$150.70	(16.48)%	\$(503.68)
LOT 2	330.000	09/17/2013	\$39.880	\$13,160.27	\$33.590	\$11,084.70	5.90%	\$654.36	(15.77)%	\$(2,075.57)

RAYMOND JAMES®**Your Portfolio (continued)**

California State Athletic Comm Account No. 56658065

Exchange-Traded Products (ETPs) (continued) ***Exchange-Traded Funds (continued)**

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
LOT 3	2,296.000	02/17/2015	\$39.457	\$90,593.04	\$33.590	\$77,122.64	5.90%	\$4,552.74	(14.87)%	\$(13,470.40)
LOT 4	855.000	02/07/2018	\$36.329	\$31,061.12	\$33.590	\$28,719.45	5.90%	\$1,895.38	(7.54)%	\$(2,341.67)
SPDR S&P DIVIDEND ETF (SDY)	3,796.000		\$72.790	\$276,310.48	\$89.520	\$339,817.92	2.73%	\$9,266.04	22.98%	\$63,507.44
LOT 1	1,651.000	03/07/2011	\$53.458	\$88,258.83	\$89.520	\$147,797.52	2.73%	\$4,030.09	67.46%	\$59,538.69
LOT 2	759.000	02/17/2015	\$79.352	\$60,227.94	\$89.520	\$67,945.68	2.73%	\$1,852.72	12.81%	\$7,717.74
LOT 3	1,386.000	02/07/2018	\$92.225	\$127,823.71	\$89.520	\$124,074.72	2.73%	\$3,383.23	(2.93)%	\$(3,748.99)
SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)	6,625.000		\$30.720	\$203,520.65	\$30.370	\$201,201.25	2.39%	\$4,809.75	(1.14)%	\$(2,319.40)
LOT 1	2,565.000	08/26/2013	\$30.780	\$78,950.70	\$30.370	\$77,899.05	2.39%	\$1,862.19	(1.33)%	\$(1,051.65)
LOT 2	2,224.000	09/17/2013	\$30.820	\$68,543.68	\$30.370	\$67,542.88	2.39%	\$1,614.62	(1.46)%	\$(1,000.80)
LOT 3	1,836.000	02/17/2015	\$30.515	\$56,026.27	\$30.370	\$55,759.32	2.39%	\$1,332.94	(0.48)%	\$(266.95)
VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	3,643.000		\$224.452	\$817,879.27	\$229.810	\$837,197.83	2.06%	\$17,256.89	2.39%	\$19,518.56
LOT 1	2,191.000	01/11/2017	\$208.190	\$456,144.29	\$229.810	\$503,513.71	2.06%	\$10,378.55	10.38%	\$47,369.42
LOT 2	1,452.000	02/07/2018	\$248.991	\$361,534.98	\$229.810	\$333,684.12	2.06%	\$6,877.98	(7.70)%	\$(27,850.86)
Exchange-Traded Funds Total				\$4,661,168.44		\$4,581,734.24	2.71%	\$124,010.23	(1.70)%	\$(79,434.20)
Exchange-Traded Products Total				\$4,661,168.44		\$4,581,734.24	2.71%	\$124,010.23	(1.70)%	\$(79,434.20)

* Please see the Exchange-Traded Products on the Understanding Your Statement page.

Portfolio Total \$4,720,190.05Log in to Client Access at <https://www.raymondjames.com/clientaccess> to view additional position details, filter, sort, or download up to 18 months of activity and see available delivery options for account documents.

RAYMOND JAMES®**Your Activity**

California State Athletic Comm Account No. 56658065

Activity Summary

Income			Withdrawals			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Dividends	\$28,380.58	\$128,037.71	Withdrawals	\$0.00	\$(200,000.00)	Purchases	\$0.00	\$(1,312,780.23)
Interest	\$464.43	\$464.43	Total Withdrawals	\$0.00	\$(200,000.00)	Total Purchases	\$0.00	\$(1,312,780.23)
Interest at RJ Bank Deposit Program	\$82.19	\$448.29	Expenses			Sales / Redemptions		
Total Income	\$28,927.20	\$128,950.43	Type	This Statement	Year to Date	Type	This Statement	Year to Date
			Fees	\$0.00	\$(24,187.38)	Sales	\$0.00	\$1,431,849.78
			Total Expenses	\$0.00	\$(24,187.38)	Total Sales/Redemptions	\$0.00	\$1,431,849.78

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			Beginning Balance				\$109,528.61	
12/07/2018	Income	Dividend	SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF (JNK)			\$588.22	\$110,116.83	\$16537 per share x 3,557.000 shares
12/07/2018	Income	Dividend	SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)			\$439.57	\$110,556.40	\$0.06635 per share x 6,625.000 shares
12/07/2018	Income	Dividend	ISHARES TR CORE 1 5 YR USD (ISTB)			\$358.71	\$110,915.11	\$11486 per share x 3,123.000 shares
12/07/2018	Income	Dividend	SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF (SPIB)			\$554.82	\$111,469.93	\$0.09247 per share x 6,000.000 shares
12/07/2018	Income	Dividend	ISHARES TR CORE US AGGBD ET (AGG)			\$4,373.93	\$115,843.86	\$25139 per share x 17,399.000 shares
12/13/2018	Income	Interest	Credit Interest Program			\$464.43	\$116,308.29	Credit Interest Adjustment
12/20/2018	Income	Dividend	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)			\$4,695.83	\$121,004.12	\$1.28900 per share x 3,643.000 shares

Activity Detail (continued)

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
12/21/2018	Income	Dividend	ISHARES TR CORE S&P MCP ETF (IJH)			\$458.92	\$121,463.04	\$.46544 per share x 986,000 shares
12/21/2018	Income	Dividend	ISHARES TR CORE S&P SCP ETF (IJR)			\$548.95	\$122,011.99	\$.32140 per share x 1,708,000 shares
12/24/2018	Income	Dividend	ISHARES TR CORE 1 5 YR USD (ISTB)			\$314.83	\$122,326.82	\$.10081 per share x 3,123,000 shares
12/24/2018	Income	Dividend	ISHARES TR CORE US AGGBD ET (AGG)			\$3,646.83	\$125,973.65	\$.20960 per share x 17,399,000 shares
12/24/2018	Income	Dividend	ISHARES TR CORE MSCI EAFE (IEFA)			\$3,171.23	\$134,144.88	\$.75200 per share x 10,866,000 shares
12/26/2018	Income	Dividend	SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF (SPIB)			\$565.80	\$134,710.68	\$.09430 per share x 6,000,000 shares
12/26/2018	Income	Dividend	SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)			\$511.91	\$135,222.59	\$.07727 per share x 6,625,000 shares
12/26/2018	Income	Dividend	SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF (JNK)			\$616.21	\$135,838.80	\$.17324 per share x 3,557,000 shares
12/27/2018	Income	Dividend	SPDR S&P DIVIDEND ETF (SDY)			\$2,534.82	\$138,373.62	\$.66776 per share x 3,796,000 shares
12/31/2018	Income	Interest at RJ Bank Deposit Program	Raymond James Bank Deposit Program			\$62.19	\$138,455.81	

Cash Sweep Activity Recap**Raymond James Bank Deposit Program**

Date	Activity Type	Amount	Balance	Date	Activity Type	Amount	Balance
11/30/2018	Beginning Balance		\$109,528.61				
12/07/2018	Transfer To	\$6,315.25	\$115,843.86	12/20/2018	Transfer To	\$4,695.83	\$121,004.12
12/14/2018	Transfer To	\$464.43	\$116,308.29	12/21/2018	Transfer To	\$1,007.87	\$122,011.99



RAYMOND JAMES®**Your Activity (continued)**

California State Athletic Comm Account No. 56658065

Cash Sweep Activity Recap (continued)**Raymond James Bank Deposit Program (continued)**

Date	Activity Type	Amount	Balance	Date	Activity Type	Amount	Balance
12/24/2018	Transfer To	\$12,132.89	\$134,144.88	12/27/2018	Transfer To	\$2,534.82	\$138,373.62
12/26/2018	Transfer To	\$1,693.92	\$135,838.80	12/31/2018	Interest at RJ Bank Deposit Program	\$82.19	\$138,455.81

Realized Capital Gains & Losses ^o**Short Term**

Description	(Symbol or CUSIP)	Quantity	Opening Date	Opening Amount	Closing Date	Closing Amount	Gain or (Loss) Pct.	Gain or (Loss)
ISHARES TR CORE S&P MCP ETF	(IJH)	95.000	02/07/2018	\$17,742.19	09/24/2018	\$19,327.50	8.93%	\$1,585.31
Net Short-Term Gain / Loss Total				\$17,742.19		\$19,327.50	8.94%	\$1,585.31

^o Please see Cost Basis on the Understanding Your Statement page.**Long Term**

Description	(Symbol or CUSIP)	Quantity	Opening Date	Opening Amount	Closing Date	Closing Amount	Gain or (Loss) Pct.	Gain or (Loss)
ISHARES TR CORE US AGGBD ET	(AGG)	5,316.000	10/25/2007	\$537,607.08	02/07/2018	\$570,659.94	6.14%	\$33,052.86
SPDR PORTFOLIO INTERMEDIATE TERM CORPORATE BOND ETF	(SPIB)	4,352.000	01/11/2017	\$147,924.48	02/07/2018	\$147,138.16	(0.53)%	\$(786.32)
SPDR PORTFOLIO SHORT TERM CORPORATE BOND ETF	(SPSB)	4,243.000	01/11/2017	\$129,623.65	02/07/2018	\$128,692.73	(0.71)%	\$(930.92)
SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF	(FLRN)	1,608.000	08/26/2013	\$49,494.24	02/07/2018	\$49,433.59	(0.12)%	\$(60.65)
VANGUARD SHORT-TERM GOVERNMENT BOND ETF	(VGSH)	2,132.000	01/11/2017	\$129,476.36	02/07/2018	\$128,064.49	(1.09)%	\$(1,411.87)
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	(JNK)	1,042.000	10/27/2008	\$33,083.29	05/15/2018	\$37,209.27	12.47%	\$4,125.98
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	(JNK)	1,023.000	03/16/2009	\$29,057.80	05/15/2018	\$36,530.79	25.71%	\$7,472.99
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	(JNK)	479.000	11/01/2010	\$19,423.45	05/15/2018	\$17,104.84	(11.93)%	\$(2,318.61)
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	(JNK)	174.000	09/10/2012	\$6,997.83	05/15/2018	\$6,213.45	(11.20)%	\$(784.38)

Realized Capital Gains & Losses (continued) ^o**Long Term (continued)**

Description (Symbol or CUSIP)	Quantity	Opening Date	Opening Amount	Closing Date	Closing Amount	Gain or (Loss) Pct.	Gain or (Loss)
SPDR SERIES TRUST BARCLAYS CAP INVESTMENT GRADE FLOATING RATE ETF (FLRN)	1,624.000	08/26/2013	\$49,986.72	05/15/2018	\$49,953.24	(0.06)%	\$(33.48)
VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	397.000	01/11/2017	\$82,651.43	05/15/2018	\$98,858.65	19.60%	\$16,207.22
ISHARES TR CORE S&P SCP ETF (IJR)	298.000	01/11/2017	\$20,520.28	09/24/2018	\$26,279.35	28.06%	\$5,759.07
SPDR S&P DIVIDEND ETF (SDY)	307.000	03/07/2011	\$16,411.55	09/24/2018	\$30,399.88	85.23%	\$13,988.33
VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)	320.000	01/11/2017	\$66,620.80	09/24/2018	\$85,983.90	29.06%	\$19,363.10
Net Long-Term Gain / Loss Total			\$1,318,878.96		\$1,412,522.28	7.10%	\$93,643.32

^o Please see Cost Basis on the Understanding Your Statement page.

Summary of Gains & Losses

	Year To Date
Short-Term Gain	\$1,585.31
Short-Term Loss	\$0.00
Long-Term Gain	\$99,969.55
Long-Term Loss	\$(6,326.23)
Net Gain / Loss Total	\$95,228.63



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RAYMOND JAMES®

Important Account Information

The following is important information related to your Raymond James accounts. If you have questions about this information, please contact your financial advisor or call Raymond James Client Services at 800.647.7378.

To view important investor information and disclosures, visit http://raymondjames.com/legal_disclosures/rjfs.pdf.

UPDATES TO THE RAYMOND JAMES CLIENT AGREEMENT

Raymond James is changing the terms of our Client Agreement and Master Client Agreement. Pursuant to the terms of your agreement, if you do not contact us to terminate the agreement, your existing agreement will include the following changes effective 30 days from the date of this notice. To obtain a complete copy of the updated agreement, please contact your advisor or call Raymond James Client Services at 800.647.7378. Please note that the actual text of your applicable agreement may refer to you, the client, in the first person (e.g., as "I," "me," "my," etc.).

All references to "Investor Access" have been replaced with "Client Access." You may visit Client Access at: <http://www.raymondjames.com/clientaccess>.

If your current Raymond James Agreement does not already contain the following provisions, your agreement is amended as set forth below.

- The term "Retirement Account" has been added and means any plan or account that is subject to the Employee Retirement Income Security Act of 1974 ("ERISA") and any plan or account that is subject to section 4975 of the Internal Revenue Code of 1986. For clients with a Master Client Agreement, this term is a part of the "General Definitions" section; for clients with a Client Agreement, this term is a part of the "Brokerage Retirement Accounts" section.
- The following new section has been added immediately after the "Applicable Regulations" section in the Client Agreement and immediately after the "Representations" section in the Master Client Agreement:
"Brokerage Retirement Accounts"
 When we provide recommendations concerning your brokerage Retirement Account investments, we do so as a broker-dealer for transaction-based compensation (such as commissions, sales loads, and spreads). As such, you understand and agree that:
 - The recommendations that we provide are subject to certain conflicts of interest inherent in the brokerage business model.
 - You do not pay a separate advisory or similar fee, and we do not act as a registered investment adviser, in connection with these recommendations.
 - We have no obligation to provide you with recommendations on an ongoing or regular basis, or to update recommendations that we previously provided.
 - We do not intend, or agree, to act as a "fiduciary" under ERISA or Internal Revenue Code section 4975.
 - If you would like fiduciary investment services with respect to your Retirement Account, you should speak with your financial advisor regarding options or arrangements that may be available to you.
- The third paragraph of the "Raymond James Cash Sweep Programs" section has been modified as follows: "For Retirement Accounts that are Managed Accounts, the sole sweep option available is RJB DP – RJ Bank Only. This option exclusively uses Raymond James' bank affiliate – Raymond James Bank, N.A. – as the depository institution. Retirement Account sweep deposits through RJB DP – RJ Bank Only will bear a reasonable rate of

interest."

- The "Margin, Loans, and Collateral" section in the Master Client Agreement and the "Loans and Collateral" section in the Client Agreement have been modified to remove the following sentence: "Additionally, no provision of this agreement concerning indemnity, liens, security interests or cross-collateralization shall apply to any Account to the extent such application would be in conflict with any provisions of ERISA or the Internal Revenue Code relating to retirement or other tax-favored accounts." The sentence has been replaced in its entirety with the following: "Raymond James does not extend margin to Retirement Accounts."

UPDATES TO IMPORTANT INVESTOR INFORMATION

All references to "Investor Access" have been replaced with "Client Access." You may visit Client Access at: <http://www.raymondjames.com/clientaccess>.

The second sentence of the subsection "Equity Research" under the section "Investment Products: Costs, Compensation and Other Considerations" has been amended and restated as follows: "Raymond James provides research coverage on approximately 1,200 companies."

The first sentence of the subsection "Amendments to the Raymond James Cash Sweep Programs" under the section "Sweeps (Transfers) To and From Income-Producing Accounts" has been amended and restated as follows: "Raymond James may modify or amend the Cash Sweep Program, including the terms, conditions, and availability of any Cash Sweep Option, at any time in its sole discretion by providing you with thirty (30) days' prior notice, or in some cases, as set forth below, no prior notice."

NOTICE OF DISCLOSURES RELATED TO TRUSTED CONTACT REQUEST

Raymond James is requesting that you provide the name and contact information for a Trusted Contact. By choosing to provide information about a Trusted Contact, you authorize us to contact your Trusted Contact in the following circumstances: to address possible financial exploitation, to confirm the specifics of your current contact information, health status, or the identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by FINRA Rule 2165 (Financial Exploitation of Specified Adults). For additional disclosures related to Trusted Contacts, please refer to your updated Client Agreement. To provide or update Trusted Contact information for your account, please contact your financial advisor.

Additionally, Raymond James will request that you provide or update information regarding a Trusted Contact in various ways in the future. Trusted Contact disclosures will be included on your Account Information Verification letters and will fulfill our obligation to provide these disclosures.

MUTUAL FUND C SHARE POLICY CHANGE

Effective March 1, 2019, Raymond James will begin automatically converting Class C share mutual funds held for eight (8) years or longer to Class A shares (or the most appropriate share class) at net asset value. This conversion is a non-taxable event. We have also provided mutual fund companies with specific sales charge waiver language to be included in mutual fund prospectuses. For additional information, please review those prospectuses. If you have any questions, please contact your advisor.

ALLOCATION PROCEDURES FOR CALLABLE SECURITIES

Raymond James follows strict regulatory guidelines and employs extensive internal procedures to ensure partial call redemptions are completed fairly and consistently. These procedures may be accessed at https://www.raymondjames.com/callable_securities or will be provided as a hard copy upon request.



Important Account Information (continued)

STATEMENT OF FINANCIAL CONDITION

Securities industry regulation requires that we make available to you our Statement of Financial Condition on a semiannual basis. Included in this document is the amount of net capital that we have, as required by the Securities and Exchange Commission's Uniform Net Capital Rule.

Under that rule, the net capital for Raymond James & Associates, which is custodian for your account, must maintain the highest minimum net capital requirement of all its regulators and is the greater of \$1,000,000 or 2% of aggregate debit items arising from client transactions. Minimum capital requirements are designed to ensure we operate in the best interest of our clients.

Financial Industry Regulatory Authority ("FINRA") may require a member firm to reduce its business if its net capital is less than 4% of aggregate debit items and may prohibit a member firm from expanding its business and declaring cash dividends if its net capital is less than 5% of aggregate debit items.

As of September 30, 2018, Raymond James and Associates' net capital as a percentage of aggregate debit items was 28.22%, as compared with the minimum 2%, and net capital, as defined, was \$934,612,000 as compared with minimum required net capital of \$66,239,000 resulting in excess net capital of \$868,373,000.

For a complete copy of our audited Statement of Financial Condition, please visit

<http://www.raymondjames.com/rja> and click on "Raymond James & Associates Statement of Financial Condition – September 2018," or call Raymond James Client Services at 800.647.7378.

ENSURING ACCURATE ACCOUNT INFORMATION AND ACTIVITY

To help ensure that you stay informed on the current status of your investment portfolio, please remember to carefully review all account statements and confirmations to verify the accuracy of your account holdings and any activity that has occurred. If you have made a purchase or sale through your financial advisor and it is not reflected on your statement, or if you discover any errors or transactions not in accordance with your instructions, please contact your financial advisor or Raymond James Client Services at 800.647.7378. All subsequent corrections will be reflected in your next account statement.

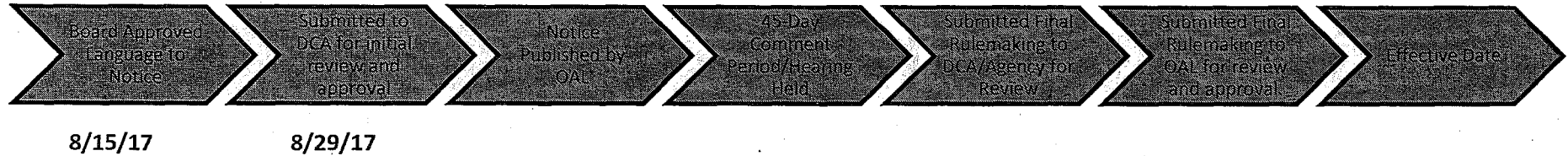
IMPORTANT INFORMATION ABOUT YOUR ACCOUNT

Due to an isolated programming error that affected a small population of accounts, your Raymond James Bank Deposit Program interest rate was incorrectly calculated. The error has been corrected as of September 14, 2018, and an interest adjustment was credited to your account during the month of December to bring your account balance up to date. We sincerely apologize for any inconvenience this may have caused. If you have any questions, please contact your advisor or call Client Services at 800.647.7378.

February 19, 2019

Examination of Boxer Applicants and Neurological Assessment

OAL File Number: _____



STATUS: Deputy Director of Legal Affairs had changes to the rulemaking package. The package was returned to CSAC on 11/3/18. Staff made the changes and returned to Legal Affairs on 11/29/18. Changes to language must be approved by the Commission.



February 6, 2019

To: California State Athletic Commission

From: California Amateur Mixed Martial Arts Organization, Inc. ("CAMO")

Re: CAMO UPDATE / DOCUMENT REQUEST

Below and attached please find a CAMO update and report in anticipation of the regularly scheduled CSAC meeting scheduled for February 19, 2019 in Anaheim, CA:

1. CAMO SAFETY AND SUSPENSION REPORT: UPDATE

There have been no major injuries of a heightened concern for the commission to review since the last CSAC meeting and report on December 11, 2018 in Sacramento, CA. All suspensions since the December 11, 2018 CSAC meeting have been attached hereto as Exhibit A.

2. FINANCIAL REPORT:

CAMO has provided Executive Officer Andy Foster with CAMO's most recent tax returns including an internal financial report in advance of the July 24, 2018 meeting. CAMO reserves are within required operating ranges after the seasonal slow period.

3. PED & DRUGS OF ABUSE TESTING:

As announced at the December 11, 2018 CSAC meeting, CAMO is in the process of designing a new comprehensive drug testing program that includes testing for anabolic steroids for amateur athletes—the first of its kind. CAMO is currently training inspectors and adjusting the process to make the program efficient and effective. The December 11, 2018 announcement gained significant traction within the MMA community and was met with community support and interest.

4. CAMO OFFICIALS RECOGNITION:

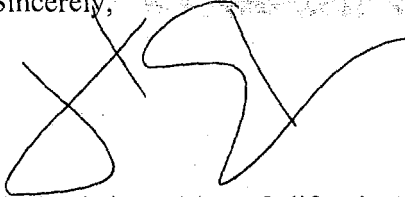
As we begin the New Year, we would like to thank the hundreds of CAMO officials that make amateur MMA possible. California has the largest and most active amateur program in the country, and it would not be possible without the contribution and skill of our amateur officials. CAMO officials are of the most skilled officials in the world and, perhaps, the most selfless. The journey of an amateur official is long and often involves countless volunteer hours to support the continued growth of MMA. The continued growth of the MMA requires competent officials and we thank them for their passion, dedication, and excellence.

5. CAMO UPCOMING EVENTS:

As requested by the Commission, attached please find our upcoming event calendar (Exhibit B) that is also maintained on our website: www.camomma.org. CAMO anticipates an exciting 2019 as there are already 42 scheduled events. It is always our pleasure to have a member of the Commission visit our events.

In closing, 2019 appears to have very strong start and we look forward to advancing our steroid testing program. We hope to continue to collaborate with CSAC in 2019 to explore opportunities to advance our unified interest in preventing the use of performance enhancing substances and to maintain CAMO at the forefront of this issue. Thank you for your continued support.

Sincerely,

A handwritten signature in black ink, appearing to be 'JT Steele', written over a faint, illegible background.

JT Steele | President, California Amateur Mixed Martial Arts Organization, Inc.

EXHIBIT A

Date Issued	Days	Expires	Injury Type	Notes
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	KO	
1/19/19	45	3/5/19	TKO	
1/19/19	180	7/18/19	Possible Fracture	180/180 nose fracture OR cleared by dr
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	TKO	
1/19/19	60	3/20/19	Cut	60/60 laceration above R eye OR cleared by dr
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	KO	
1/19/19	45	3/5/19	TKO	
1/19/19	45	3/5/19	TKO	
1/5/19	180	7/4/19	Hand Injury	180/180: R index finger OR cleared by dr
1/5/19	45	2/19/19	TKO	
1/5/19	45	2/19/19	TKO	
1/5/19	0	1/5/19	Possible Fracture	180/180 R thumb pain OR cleared by ortho Cleared by MD: 10/15/19
1/5/19	45	2/19/19	TKO	
1/5/19	45	2/19/19	TKO	
1/5/19	45	2/19/19	KO	
1/5/19	2147483647		Not fit for Competit	Indefinite: abuse of officials
1/5/19	30	2/4/19	Hard Bout	30/30 submission choke. 30 days minimum suspension period.
1/5/19	180	7/4/19	Possible Fracture	180/180 R knee pain OR cleared by ORTHO
1/5/19	45	2/19/19	TKO	
1/5/19	180	7/4/19	Possible Fracture	180/180 possible FX jaw OR cleared by dr
1/5/19	45	2/19/19	TKO	
12/22/18	45	2/5/19	TKO	
12/22/18	45	2/5/19	TKO	
12/22/18	45	2/5/19	TKO	

12/22/18	45	2/5/19	TKO	
12/22/18	45	2/5/19	TKO	
12/22/18	45	2/5/19	KO	
12/22/18	180	6/20/19	Possible Fracture	180/180 possible FX L ribs OR cleared by dr.
12/22/18	60	2/20/19	Cut	60/60 laceration below & lateral to L eye OR cleared by dr.
12/22/18	45	2/5/19	TKO	
12/22/18	45	2/5/19	TKO	

EXHIBIT B



Upcoming Events in region

[\[create\]](#)

Event Name	Date	Region	Location	Actions
West Coast Elite MMA III	02/09/2019		Unify Event Center	view fights edit officials needs delete
IFS 42	02/22/2019		M3Live Event Center	view fights edit officials needs delete
Eddie Bravo Invitational	02/22/2019		Florentine Gardens Incas	view fights edit officials needs delete
Epic Fighting	02/22/2019		Four Points Sheraton San Diego	view fights edit officials needs delete
Bay Area Combat	02/23/2019		Napa Valley Expo	view fights edit officials needs delete
USKO Fight Night	02/23/2019		USKO Training Center	view fights edit officials needs delete
FightersRep Promotions	03/02/2019		Sportsmen's Lodge	view fights edit officials needs delete
Hendo Sports Management	03/09/2019		Dan Henderson's AFC	view fights edit officials needs delete
King of the Cage	03/10/2019		Citizen's Business Bank Arena	view fights edit officials needs delete
FNT Entertainment LLC	03/15/2019		Avalon Hollywood	view fights edit officials needs delete
Evolution Sports Expo	03/16/2019		Santa Clara Convention Center	view fights edit officials needs delete
The Titans Cage	03/16/2019		McClellan Conference Center	view fights edit officials needs delete
559 Fights 70	03/16/2019		Rainbow Ballroom	view fights edit officials needs delete
Dragon House	03/23/2019		Kezar Pavilion	view fights edit officials needs delete
JR Entertainment	03/23/2019		Modesto Centre Plaza	view fights edit officials needs delete
SparStarMMA	03/23/2019		The Commerce Casino	view fights edit officials needs delete
Knockout Promotions	04/13/2019		Metroflex Gym	view fights edit officials needs delete
559 Fights 71	04/19/2019		Visalia Convention Center	view fights edit officials needs delete
California Fight League	04/20/2019		San Bernardino County Fair	view fights edit officials needs delete
IEF Fight Night	04/20/2019		California Education and Performing Arts Center	view fights edit officials needs delete

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 PH: 213-908-2185
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Upcoming Events in region [\[create\]](#)

Event Name	Date	Region	Location	Actions
No Prisoners MMA	04/27/2019		No Prisoners MMA	view fights edit officials needs delete
559 Fights 72	05/18/2019		Rainbow Ballroom	view fights edit officials needs delete
SparStarMMA	06/01/2019		Commerce Casino	view fights edit officials needs delete
IFS 44	06/14/2019		M3Live Event Center	view fights edit officials needs delete
Dragon House	06/15/2019		Kezar Pavillon	view fights edit officials needs delete
Bay Area Combat	06/22/2019		Napa Valley Expo	view fights edit officials needs delete
Roy Englebrecht	06/23/2019		San Diego County Fair (Paddock Area)	view fights edit officials needs delete
559 Fights 73	06/29/2019		Visalia Convention Center	view fights edit officials needs delete
559 Fights 74	07/20/2019		Rawhide Stadium	view fights edit officials needs delete
Dragon House	07/27/2019		Oracle Arena	view fights edit officials needs delete
California Fight League	08/03/2019		San Bernardino County Fair	view fights edit officials needs delete
SparStarMMA	08/10/2019		Commerce Casino	view fights edit officials needs delete
559 Fights 75	08/17/2019		Rainbow Ballroom	view fights edit officials needs delete
559 Fights 76	09/21/2019		Visalia Convention Center	view fights edit officials needs delete
IFS 46	10/04/2019		M3Live Event Center	view fights edit officials needs delete
SparStarMMA	10/19/2019		Commerce Casino	view fights edit officials needs delete
559 Fights 77	10/19/2019		Rainbow Ballroom	view fights edit officials needs delete
Bay Area Combat	10/26/2019		Napa Valley Expo	view fights edit officials needs delete
Dragon House	11/09/2019		Kezar Pavillon	view fights edit officials needs delete
California Fight League	11/16/2019		San Bernardino County Fair	view fights edit officials needs delete

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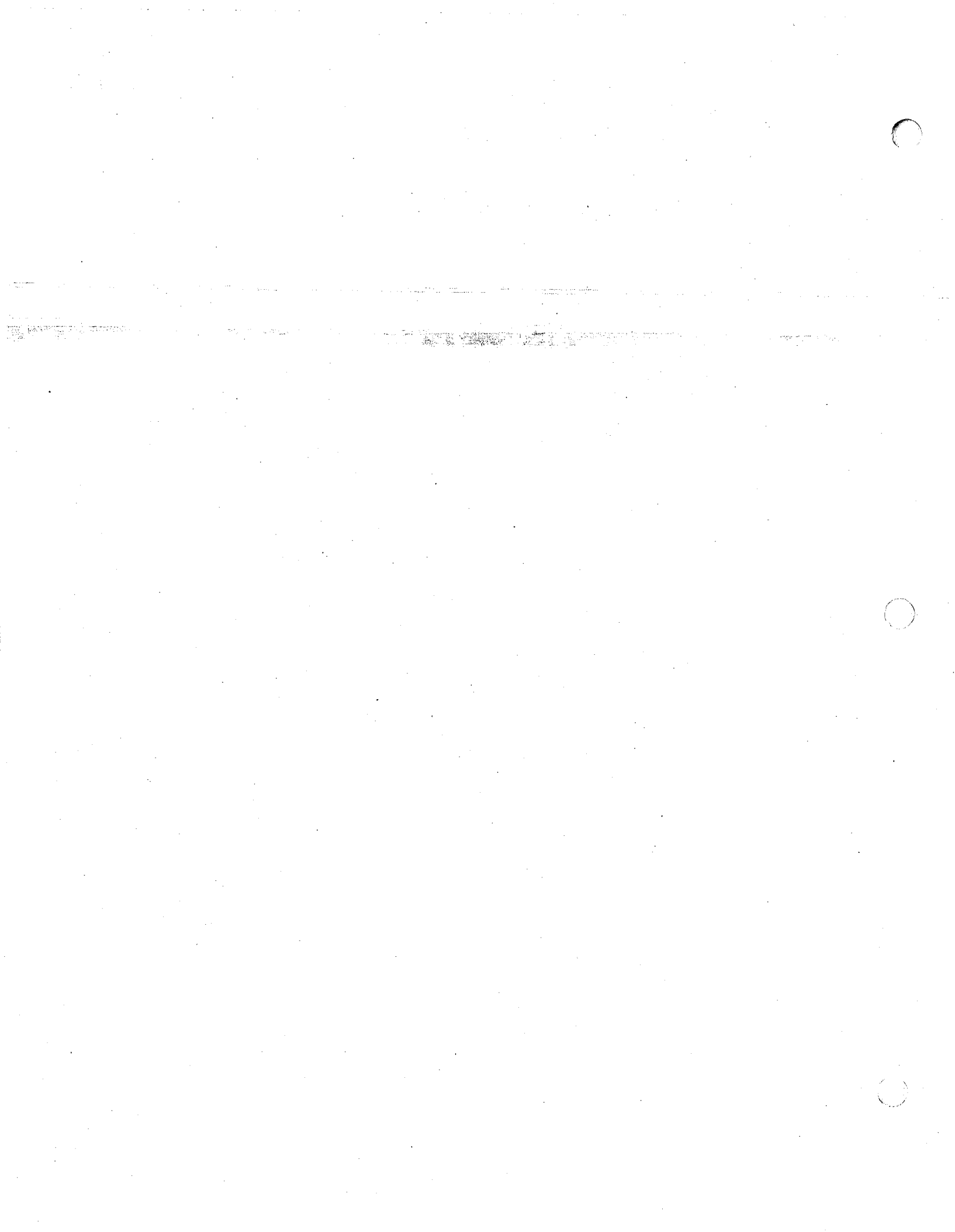
Upcoming Events in region

[\[create\]](#)

Event Name	Date	Region	Location	Actions
559 Fights 78	11/23/2019		Visalla Convention Center	view fights edit officials needs delete
559 Fights 79	12/14/2019		Rainbow Ballroom	view fights edit officials needs delete

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memo



DATE: February 6, 2019
TO: California State Athletic Commission
Andy Foster, Executive Director
FROM: Mike McAtee, Executive Director
RE: USA Boxing Delegation

Please accept this MEMO and attachments as part of USA Boxing's reporting requirements 2018. This 5th report includes information from November and December as well as a full year summary of 2018.

USA Boxing, after consulting with Andy Foster, requests permission to submit 2019 reports per the following schedule below:

First Quarter: January – March reports be submitted for the May meeting.
Second Quarter: April – June reports be submitted for the July meeting.
Third Quarter: July – September reports be submitted for the October meeting.
Fourth Quarter: October – December reports be submitted for the first meeting in 2020.

USA Boxing would also like to recognize the following California athletes who participated in international and national boxing tournaments in 2018.

Elite

Youth

Aidyl Cardenas

Youth Continental Championships (USA) - 1st
Youth World Championships (Hungary)

Sandra Tovar

Youth Continental Championships (USA) - 1st
Youth World Championships (Hungary)

Iyana Verduzco

Youth Continental Championships (USA) - 1st
Youth World Championships (Hungary) - 1st

Heaven Garcia

Youth Continental Championships (USA) - 1st
Youth World Championships (Hungary) - 1st
Youth Olympics (Argentina) - 3rd

Iajaira Gonzalez

USA vs. Canada (Canada)

Charlie Sheehy

USA vs. Ireland,
USA vs. Canada (USA/Canada)
Chemistry Cup

Kevin Montano

Felix Stamm (Poland) - 3rd
Presidents Cup (Kazakhstan)

Lupe Gutierrez

Felix Stamm (Poland)

Kristyn Juarez

Felix Stamm (Poland)

Richard Torrez Jr.

Strandja (Bulgaria)
Chemistry Cup (Germany) - 1st

Marc Castro

Chemistry Cup

Summary Report of 2018

Match Tracker Update: 2018 Yearly Match Tracker total entries:

LBC	Number of Bouts	Total
Northern	650	
Central	405	
Southern	1,592	
Border	133	
		2,780

USA Boxing fees collected from Californians from January 1, 2018 through December 31, 2018:

Category	Number	USA Boxing Fees	LBC Fees	Total
Boxers	4,395	\$ 225,190	\$ 89,189	\$ 314,379
Non-Athletes	1521	\$ 114,075	\$ 22,507	\$ 136,582
Club or Gym	279	\$ 51,390	\$ 13,620	\$ 65,010
Club show Sanction	157	\$ 41,444	\$ 19,999	\$ 61,443
Totals		\$ 432,099	\$ 145,315	\$ 577,414

USA Boxing National Events from January 1, 2018 through December 31, 2018:

Event	Number of Boxers	Number of California Boxers	Number of Non-Athletes	Number of Non-Athletes Californians	Percent Participation from Californians	Event Expense	Total Event Expense for Californians
Western Qualifier (March 4-10)	709	132	549	1,022	18.6%	\$ 92,012	\$ 17,114
Junior Olympics (June 24-30)	683	84	548	70	12.5%	\$143,846	\$ 17,980.75
Eastern Qualifier (October 6-13)	825	41	625	27	8.25%	\$ 208,806.98	\$ 17,226.58
National Championships (Dec. 1-8, 2018)	965	174	604	96	16%	\$ 254,596.19	\$40,735.39
Total							\$ 93,056.72

2018 High Performance Expenses for Californians:

Part of USA Boxing's mission is to invest in world class athletes and coaches. The following is a breakdown of \$ 221,509.51 of USA Boxing funds used to support California athletes and coaches in International Competition and National Training Camps in Colorado Springs, Colorado.

2018 California Women's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$3,250.00	\$4,270.00	\$600.00	\$4,000.00	\$150.00	\$12,270.00
	\$434.00	\$900.00	\$2,750.00	\$2,000.00	\$250.00	\$6,334.00
	\$2,033.11	\$5,865.00	\$542.00	\$2,000.00	\$130.00	\$10,570.11
	\$2,443.33	\$1,620.00	\$2,152.00	\$2,000.00	\$441.25	\$8,656.58
	\$4,951.74	\$0.00	\$0.00	\$2,000.00	\$0.00	\$6,951.74
	\$13,112.18	\$12,655.00	\$6,044.00	\$12,000.00	\$971.25	\$44,782.43
Youth	\$2,850.00	\$7,560.00	\$0.00	\$0.00	\$0.00	\$10,410.00
	\$1,782.00	\$4,320.00	\$3,200.00	\$0.00	\$200.00	\$9,502.00
	\$11,598.40	\$4,680.00	\$9,185.80	\$0.00	\$799.00	\$26,263.20
	\$383.80	\$1,170.00	\$0.00	\$0.00	\$100.00	\$1,653.80
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$16,614.20	\$17,730.00	\$12,385.80	\$0.00	\$1,099.00	\$47,829.00
Junior	\$2,030.00	\$3,780.00	\$0.00	\$0.00	\$0.00	\$5,810.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$1,902.25	\$2,970.00	\$0.00	\$0.00	\$150.00	\$5,022.25
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$3,932.25	\$6,750.00	\$0.00	\$0.00	\$150.00	\$10,832.25
Totals	\$33,658.63	\$37,135.00	\$18,429.80	\$12,000.00	\$2,220.25	\$103,443.68
2018 California Men's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$8,700.00	\$16,110.00	\$2,540.00	\$10,400.00	\$300.00	\$38,050.00
	\$760.40	\$5,400.00	\$0.00	\$6,650.00	\$0.00	\$12,810.40
	\$766.98	\$6,405.00	\$0.00	\$6,650.00	\$0.00	\$13,821.98
	\$266.80	\$810.00	\$0.00	\$6,650.00	\$132.50	\$7,859.30
	\$10,575.00	\$8,370.00	\$3,210.00	\$6,650.00	\$655.00	\$29,460.00
	\$21,069.18	\$37,095.00	\$5,750.00	\$37,000.00	\$1,087.50	\$102,001.68
Youth	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Junior	\$380.00	\$630.00	\$0.00	\$0.00	\$0.00	\$1,010.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$380.00	\$630.00	\$0.00	\$0.00	\$0.00	\$1,010.00
Totals	\$21,449.18	\$37,725.00	\$5,750.00	\$37,000.00	\$1,087.50	\$103,011.68

2018 California Coaches Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Coaches	\$700.00	\$0.00	\$700.00	\$0.00	\$0.00	\$1,400.00
	\$258.60	\$1,080.00	\$800.00	\$1,500.00	\$50.00	\$3,688.60
	\$3,175.80	\$1,800.00	\$2,240.00	\$2,500.00	\$249.75	\$9,965.55
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals	\$4,134.40	\$2,880.00	\$3,740.00	\$4,000.00	\$299.75	\$15,054.15

**USAB Injury Statistics for California
January 1, 2018 through December 31, 2018:**

LBC	Number of Bouts	Number of Rounds	Total Number of Injuries	Number of Injuries Per Round
Northern California	650	1,950	1	0.000512821
Central California	468	1,404	0	0
Southern California	1,813	5,439	5	0.000919287
California Boarder	160	480	1	0.002083333
Total Combined:	3,091	9,273	7	0.00075488

**USAB Financial Report Summary for California
January 1, 2018 through December 31, 2018:**

LBC	Revenue	Expenses	Net Proceeds for Sanction Holders
Northern California	\$251,193	\$202,756	\$48,437
Central California	\$164,679	\$118,210	\$46,469
Southern California	\$236,385.00	\$350,692.77	-\$114,308
California Boarder	\$81,186	\$62,028	\$19,158
Total Combined:	\$733,443	\$733,687	-\$244

November 1, 2018 through December 31, 2018 Report

USA Boxing and California LBC 2018 annual fee schedule for club shows

Category	USA Boxing Fee ¹	Northern LBC Fees	Total
Boxers	55.00	10.00	65.00
Coach, Official	75.00	15.00	90.00
Club or Gym	180.00	95.00	275.00
Club show Sanction	305.00	145.00	450.00

Category	USA Boxing Fee	Central LBC Fees	Total
Boxers	55.00	45.00	100.00
Coach, Official	75.00	25.00	100.00
Club or Gym	180.00	45.00	225.00
Club show Sanction	305.00	188.00	493.00

Category	USA Boxing Fee	Southern LBC Fees	Total
Boxers	55.00	15.00	70.00
Coach, Official	75.00	10.00	85.00
Club or Gym	180.00	25.00	205.00
Club show Sanction	305.00	25.00	330.00

Category	USA Boxing Fee	Border LBC Fees	Total
Boxers	55.00	12.00	67.00
Coach, Official	75.00	20.00	95.00
Club or Gym	180.00	30.00	210.00
Club show Sanction	305.00	105.00	410.00

Match Tracker Update:

Match Tracker total entries for November 1, 2018 through December 31, 2018:

LBC	Number of Bouts	Total
Northern	60	
Central	34	
Southern	284	
Border	15	
		393

¹ USA Boxing fees are the same for all 57 Local Boxing Committees.

**USA Boxing fees collected from Californians
November 1, 2018 through December 31, 2018:**

Category	Number	USA Boxing Fees	LBC Fees ²	Total
Boxers	294	\$ 16,170	\$ 4,437	\$ 20,607
Non-Athletes	61	\$ 4,575	\$ 880	\$ 5,455
Club or Gym	15	\$ 2,700	\$ 800	\$ 3,500
Club show Sanction	19	\$ 5,795	\$ 1,198	\$ 6,993
Totals		\$ 29,240	\$ 7,315	\$ 36,555

**USA Boxing's California financial, competition and injury reports are included.
Please refer to page 8.**

**USA Boxing National Events
November 1, 2018 through December 31, 2018:**

Event	Number of Boxers	Number of California Boxers	Number of Non-Athletes	Number of Non-Athletes Californians	Percent Participation from Californians	Event Expense	Total Event Expense for Californians
National Championships (Dec. 1-8, 2018)	965	174	604	96	16%	\$ 254,596.16	\$ 40,735.39
Total							\$ 40,735.39

² Totals of the four LBCs returned to the state.

High Performance Expenses for Californians:

Part of USA Boxing's mission is to invest in world class athletes and coaches. The following is a breakdown of \$16,515.88 of USA Boxing funds used to support California athletes and coaches in International Competition and National Training Camps in Colorado Springs, Colorado.

November - December 2018 California Women's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$2,443.33	\$1,620.00	\$2,152.00	\$2,000.00	\$441.25	\$8,656.58
Youth						\$0.00
Junior						\$0.00
Totals	\$2,443.33	\$1,620.00	\$2,152.00	\$2,000.00	\$441.25	\$8,656.58
November - December 2018 California Men's Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Elite	\$266.80	\$810.00	\$0.00	\$6,650.00	\$132.50	\$7,859.30
Youth						\$0.00
Junior						\$0.00
Totals	\$266.80	\$810.00	\$0.00	\$6,650.00	\$132.50	\$7,859.30
November - December 2018 California Coaches Costs						
Age Division	Flights	OTC Room/Board	International Room/Board	Stipend	Other Costs (Med Ex, Per diem)	Total
Coaches	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**USAB Injury Statistics for California
November 1, 2018 through December 31, 2018**

LBC	Number of Bouts	Number of Rounds	Total Number of Injuries	Number of Injuries Per Round
Northern California	60	180	1	0.005555556
Central California	34	102	0	0
Southern California	284	852	0	0
California Border	15	45	0	0
Total Combined:	393	1,179	1	0.000848176

**USAB Financial Report Summary for California
November 1, 2018 through December 31, 2018**

LBC	Revenue	Expenses	Net Proceeds for Sanction Holders
Northern California	\$13,835	\$10,415	\$3,420
Central California	\$3,961	\$3,018	\$943
Southern California	\$34,608.00	\$43,953.77	-\$9,346
California Border	Pending	Pending	Pending
Total Combined:	\$52,404	\$57,387	-\$4,983

Northern California - Sanction List

Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries
11/3/2018	Northern California Silver Gloves	NAPA Sheriff's Activities League	26	78	0	0
12/1/2018	Caballero Promotes Boxing	Caballero Boxing Club	19	57	0	0
12/8/2018	Battle Fit Boxing Invitational XI	Battle Fit Gym	15	45	1	1
12/15/2018	Bay Holiday Showdown	Kings Gym	Pending	Pending	0	0
Totals:			60	180	1	1

Northern California - Financial Report

Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
11/3/2018	Northern California Silver Gloves	NAPA Sheriff's Activities League	\$2,956.00	\$3,878.39	-\$922.39
12/1/2018	Caballero Promotes Boxing	Caballero Boxing Club	\$5,779.00	\$3,240.00	\$2,539.00
12/8/2018	Battle Fit Boxing Invitational XI	Battle Fit Gym	\$2,000.00	\$1,342.00	\$658.00
12/15/2018	Bay Holiday Showdown	Kings Gym	\$3,100.00	\$1,955.00	\$1,145.00
Totals:			\$13,835.00	\$10,415.39	\$3,419.61

Central California - Sanction List

Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries
11/3/2018	2018 CCA Silver Gloves Tournament	LBC Event/Heartbeat Boxing	34	102	0	0
Totals:			34	102	0	0

Central California - Financial Report

Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
11/3/2018	2018 CCA Silver Gloves Tournament	LBC Event/Heartbeat Boxing	\$3,961.00	\$3,018.00	\$943.00
Totals:			\$3,961.00	\$3,018.00	\$943.00

Southern California - Sanction List

Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries
11/1/2018	Silver Gloves District Tournament	LBC Event/ Compton Sheriff YAL	52	156	0	0
11/3/2018	Boxing in South El Monte	South El Monte Boxing Club				
11/10/2018	Turkey Showdown	The Rock	27	81	0	0
11/11/2018	Neighborhood Champions Belt Challenge	Highlanders Boxing Club	25	75	0	0
11/11/2018	KO High Boxing	KO Boxing	4	12	0	0
11/17/2018	Thanksgiving Boxing Show in South El Monte	South El Monte Community Center	23	69	0	0
11/17/2018	1st Annual Turkey Brawl	Central Coast Boxing	12	36	0	0
11/18/2018	Gobbler Gloves	Chino Boxing Club	16	48	0	0
12/2/2018	Broadway Boxing Show	Broadway Boxing Gym	20	60	0	0
12/8/2018	Road to Glory Pro-Am Show	Industry Hills Expo Center	5	15	0	0
12/9/2018	All World Boxing Show	All World Boxing	18	54	0	0
12/15/2018	State Silver Gloves Tournament	LBC Event/ The Rock Boxing Gym	59	177	0	0
12/22/2018	Timothy Rambo Memorial Boxing Show	Pasadena Boxing Club	23	69	0	0
Totals:			284	852	0	0

Southern California - Financial Report

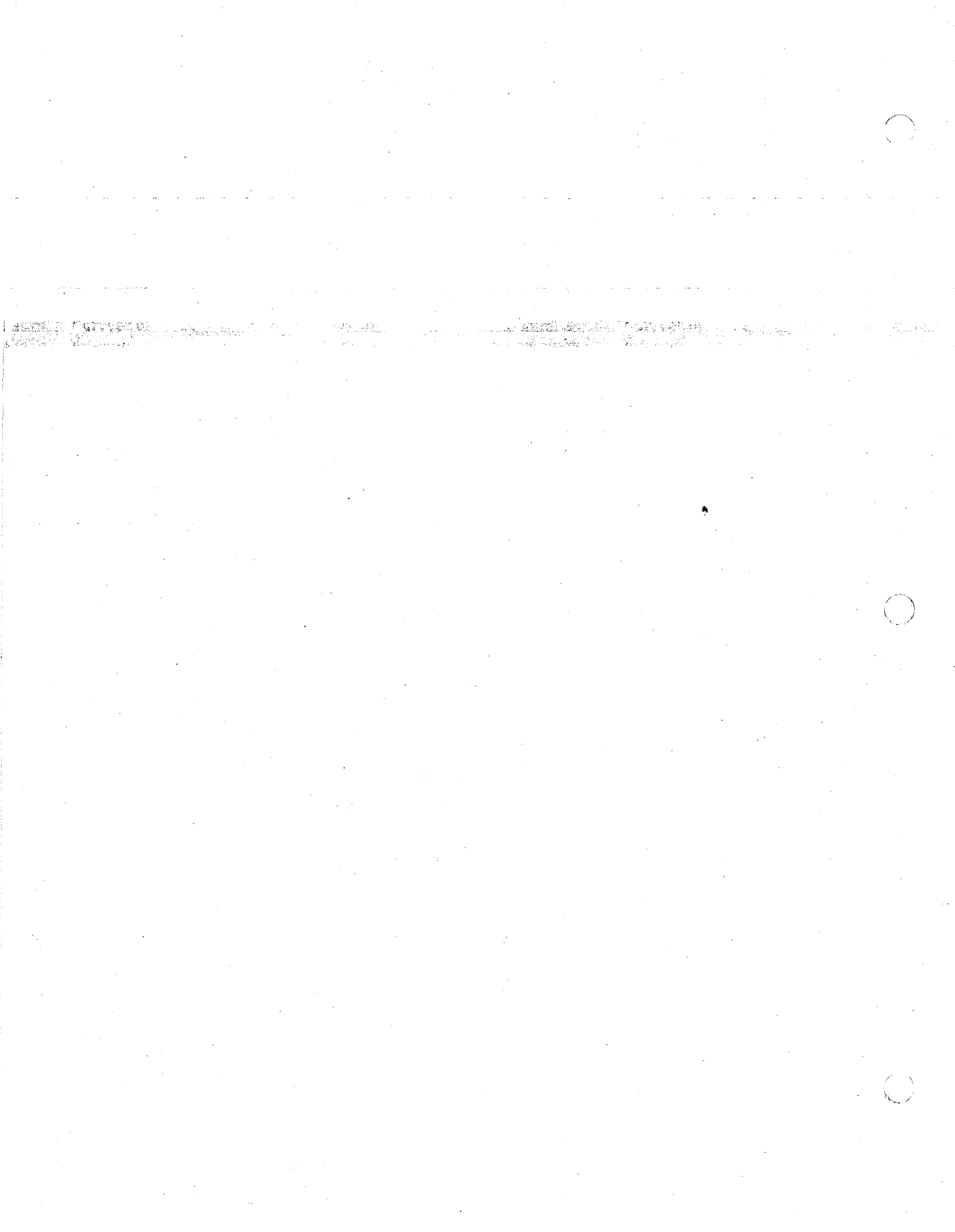
Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
11/1/2018	Silver Gloves District Tournament	LBC Event/ Compton Sheriff YAL	\$3,222.00	\$3,541.00	-\$319.00
11/3/2018	Boxing in South El Monte	South El Monte Boxing Club	\$0.00	\$930.00	-\$930.00
11/10/2018	Turkey Showdown	The Rock	\$1,707.00	\$2,005.00	-\$298.00
11/11/2018	Neighborhood Champions Belt Challenge	Highlanders Boxing Club	\$3,260.00	\$5,233.00	-\$1,973.00
11/11/2018	KO High Boxing	KO Boxing	\$10,000.00	\$15,450.00	-\$5,450.00
11/17/2018	Thanksgiving Boxing Show in so El Monte	South El Monte Community Center	\$0.00	\$930.00	-\$930.00
11/17/2018	1st Annual Turkey Brawl	Central Coast Boxing	\$6,575.00	\$6,666.76	-\$91.76
11/18/2018	Gobbler Gloves	Chino Boxing Club	\$4,908.00	\$4,741.83	\$166.17
12/2/2018	Broadway Boxing Show	Broadway Boxing Gym	\$2,750.00	\$2,460.38	\$289.62
12/8/2018	Road to Glory Pro-Am Show	Industry Hills Expo Center			\$0.00
12/9/2018	All World Boxing Show	All World Boxing	\$1,725.00	\$2,740.00	-\$1,015.00
12/15/2018	State Silver Gloves Tournament	LBC Event/ The Rock Boxing Gym	\$3,170.00	\$2,796.80	\$373.20
12/22/2018	Timothy Rambo Memorial Boxing Show	Pasadena Boxing Club	\$2,220.00	\$2,935.00	-\$715.00
			\$34,608.00	\$43,953.77	-\$9,345.77

California Border - Sanction List

Date	Event	Club	Number of Bouts	Total Number of Rounds Boxed	Total Number of Injuries	Concussion Injuries
11/17/2018	Silver Gloves	LBC Event/ B.A.D. Boxing	Pending	Pending	0	0
Totals:			0			

California Border - Financial Report

Date	Event	Club	Revenue	Expenses	Net Proceeds for Sanction Holder
11/17/2018	Silver Gloves	LBC Event/ B.A.D. Boxing	Pending	Pending	Pending
Totals:					





United States Fight League
P.O. Box 451
Lake Forest, CA 92630
www.fightleague.org
949 813-1041

Feb. 04, 2019

To: Andy Foster, California State Athletic Commission
Subj: USFL annual report:

I. USFL events since last CSAC meeting:

- Jan 19, 2019 – Ontario, CA
20 bouts. No Injuries/ Suspensions

II. 2018 Review

A. 26 youth events with 320 bouts:

- 19 California (includes 2 events with just 1 bout)
- 5 Florida (Including event with just 1 bout)
- 2 Missouri
*Plus 1 Kansas w/ 17 bouts – (Not counted/ not included in statistics)

Licensees:

- 234 Youth Athletes Licensed
- 120 Coaches Licensed (plus 6 who did not complete training/ vetting)

Gender of Athletes:

- 189 boys
- 45 girls

Athletes from 17 States participated:

Arizona – Arkansas - California - Colorado - Florida - Georgia - Illinois - Iowa
– Kansas - Maine - Massachusetts - Michigan- Missouri - Nevada - South
Carolina – Tennessee - Wisconsin

B. Competition Statistics – 205 out of 320 matches won by stoppage

- 186 Submissions
- 11 Other stoppages (Injury default, doctor or referee stoppage)
- 6 Disqualifications
- 2 wins via technical superiority (20 point advantage in a single round)

C. Injuries:

9 Injuries out of 640 athletic exposures

0 Emergency transports

7 boys (M) / 2 girls (F)

1 knee contusion from strike (M- age 14)

1 asthma attack (M- age 13)

1 blow to jaw (Illegal strike/ DQ) (M- age 16)

1 hand fracture from strike (M- age 17)

1 Arm fracture from take down (M- age 14)

3 Elbow strains from arm bar (2 F-age 13 & 14) / 1- M-age 9)

1 Lower back strain from guillotine choke (M age 13)

III. Other USFL activities:

- Four free Law Enforcement Seminars
- Two Camp Pendleton CG Gup Grappling tournaments
- Grappling portion of the U.S. Police & Fire Games

IV. Ongoing Projects:

1. Character Development Program: 1st phase of development completed by the Kravis Leadership Institute. Phase 2 is to interview athletes and coaches. Total project may take 2 more years to complete.
2. Competition injury study: Dr. Adam Brooks presented results of 4 year study at the annual meeting of the Association of Ringside Physicians. Results tracked approximately 1.3% injury rate based on 1000 exposures. Post bout injury reports and stats will continue to be submitted.
3. New Coach and Officials training: The USFL has adopted a new training module to incorporate Safe Sport training and protocols.
4. Development of International Youth Rules with IMMAF: USFL has strongly advocated for USFL rules, specifically for prohibition of head strikes in competitions for ages under 18 years.

V. 2018 Financial Report:

See Attached

R/S
Jon Frank
United States Fight League
www.fightleague.org

2018 USFL P&L

Revenue

Donation	\$	3,397.48
Licensing	\$	14,073.49
Promotion	\$	2,037.67

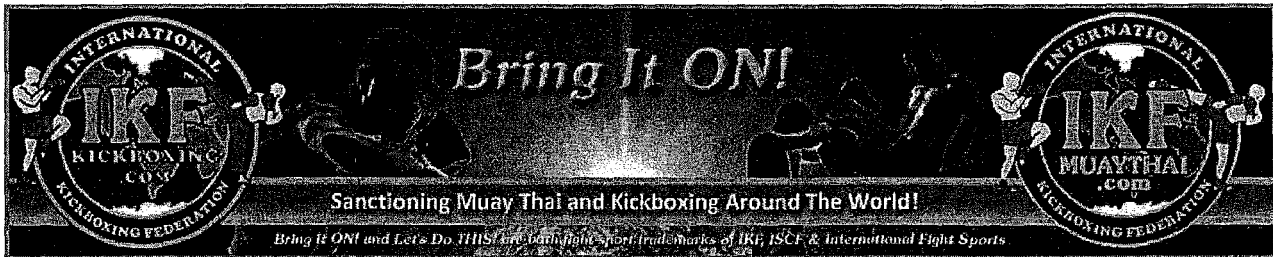
Total Revenue \$ **19,508.64**

Expenses

Awards	\$	1,242.95
Clothing	\$	323.76
Fees	\$	1,602.33
Insurance	\$	3,597.20
Marketing	\$	246.00
Meals	\$	365.22
Services	\$	4,745.00
Sponsorship	\$	2,027.67
Supplies	\$	26.57
Technology	\$	2,146.51
Training	\$	20.00
Travel	\$	3,643.09

Total Expenses \$ **19,986.30**

Net Income \$ **(477.66)**



IKF - INTERNATIONAL KICKBOXING / MUAY THAI FEDERATION

P.O. BOX 1205, 9250 CYPRESS STREET, NEWCASTLE, CA, 95658, USA

INTERNATIONAL FIGHT SPORTS / (916) 663-2467 - FAX: (916) 663-4510

www.IKFKickboxing.com - www.IKFMuayThai.com - www.IFightSports.com - main@IKFKickboxing.com

IKF CALIFORNIA "SHORT REPORT" FOR THE CSAC

November 30th, 2018 - February 4th, 2019

Since the IKF's last CSAC report of November 30th, 2018, the IKF has regulated 6 Amateur Muay Thai / Kickboxing Events in the State of California. Here are the event details of these "6" IKF Sanctioned Events:

MONTH OF EVENTS	BOUTS	DECISIONS	ENDED IN KO/TKO	MEDICAL SUSPENSIONS	NOR CAL EVENTS	SO CAL EVENTS
DECEMBER 2018 (* One bout was an Exhibition with no winner or loser.	*23	16	6	8	1	1
JANUARY 2019	34	28	6	10	0	3
FEBRUARY 4th	20	14	6	7	0	1
TOTAL (* One bout was an Exhibition with no winner or loser.	*77	58	18	25	1	5

ALL OF THE ABOVE BOUT DETAILS CAN BE FOUND AT THIS WEB PAGE:

<http://www.ikfkickboxing.com/IKFCAPASTEVENTS.htm>

- ◆ Of these 6 Events, 1 of them was a combined Pro & Amateur Events with the CSAC.
- ◆ Of ALL 77 Bouts, the IKF Physicians issued 25 Medical Suspensions.
 - 1 was a 30 day Medical Suspensions.
 - 16 were 45 day Medical Suspensions.
 - 2 were 60 day Medical Suspensions.
 - 4 were 180 day Medical Suspensions.
 - 1 Indefinite Medical Suspension due to history of seizures. Fighter must be cleared by neurological exam prior to fighting again.
 - 1 Indefinite Medical Suspension until cleared by a cardiologist due to a possible heart problem.

DETAILS OF THE 4, 180 DAY MEDICAL SUSPENSIONS

- 1: 12-1-18 / Burbank, CA: Bout ended by TKO at 1:41 of Round 3. Suspension was due to Injury/Fracture Nose, until May 31, 2019 by Dr. Mitch Jelen.
- 2: 12-29-18 / Fresno, CA: Bout ended by TKO at :18 seconds of Round 1. Suspension was due to TKO by Dr. Mitch Jelen. Fighter can be cleared by any MD/DO prior to 180 days, with a minimum of 30/45 day suspension.
- 3: 1-18-19 / Anaheim, CA: Bout ended by TKO at the end of round 2. Suspension was due to a possible fracture of the right ankle/leg by Dr. Mitch Jelen. Fighter can be cleared by an orthopedic Doctor.
- 4: 1-18-19 / Anaheim, CA: Bout ended by TKO at 1:29 of round 2. Suspension was due to a possible fracture of the left forearm Dr. Mitch Jelen. Fighter can be cleared by any MD.

DETAILS OF MEDICAL SUSPENSIONS CONTINUED ON NEXT PAGE

IKF CALIFORNIA "SHORT REPORT" FOR THE CSAC

November 30th, 2018 - February 4th, 2019

DETAILS OF MEDICAL SUSPENSIONS CONTINUED

DETAILS OF THE 2, 60 DAY MEDICAL SUSPENSIONS

- 1: 12-29-18 / Fresno, CA: Bout ended by Unanimous Decision. Suspension was due to injury to left hip flexor pain, by Dr. Mitch Jelen. Can be cleared by any MD/DO.
- 2: 1-18-19 / Anaheim, CA: Bout ended in a Unanimous Decision. Suspension was due to due to a cut above the left eye requiring sutures. Dr. Mitch Jelen sutured the cut at end of event. Can be cleared by any MD.

DETAILS OF THE 16, 45 DAY MEDICAL SUSPENSIONS

- 1: 12-1-18 / Burbank, CA: Bout ended by TKO at 1:08 of Round 3. Suspension was precautionary. - Dr. Matthew Pautz.
- 2: 12-1-18 / Burbank, CA: Bout ended by TKO at 1:11 of Round 2. Suspension was precautionary. - Dr. Mitch Jelen.
- 3: 12-1-18 / Burbank, CA: Bout ended by TKO at 1:57 of Round 2. Suspension was precautionary. - Dr. Mitch Jelen.
- 4: 12-1-18 / Burbank, CA: Bout ended by TKO at 1:41 of Round 3. Suspension was precautionary. - Dr. Mitch Jelen.
- 5: 12-29-18 / Fresno, CA: Bout ended by TKO at 1:21 of Round 3. Suspension was precautionary. - Dr. Mitch Jelen.
- 6: 1-5-19 / Burbank, CA: Bout ended by TKO at the End of Round 2. Suspension was precautionary. - Dr. Mitch Jelen.
- 7: 1-5-19 / Burbank, CA: Bout ended in a draw. Suspension was precautionary. - Dr. Mitch Jelen.
- 8: 1-18-19 / Anaheim, CA: Bout ended by TKO at 1:29 of round 2. Suspension was precautionary. - Dr. Mitch Jelen.
- 9: 1-18-19 / Anaheim, CA: Bout ended by KO at :57 of round 1. Suspension was precautionary. - Dr. Mitch Jelen.
- 10: 1-18-19 / Anaheim, CA: Bout ended by Majority Draw. Suspension was precautionary. - Dr. Mitch Jelen.
- 11: 1-19-19 / Riverside, CA: Bout ended by TKO at 1:13 of round 1. Suspension was precautionary. - Dr. Mitch Jelen.
- 12: 1-19-19 / Riverside, CA: Bout ended by TKO End of Round 1. Suspension was precautionary. - Dr. Mitch Jelen.
- 13: 2-2-19 / Seal Beach, CA: Bout ended by TKO at 1:43 of round 1. Suspension was precautionary. - Dr. Mitch Jelen.
- 14: 2-2-19 / Seal Beach, CA: Bout ended by TKO at 1:25 of round 3. Suspension was precautionary. - Dr. Mitch Jelen.
- 15: 2-2-19 / Seal Beach, CA: Bout ended by TKO at 1:32 of round 1. Suspension was precautionary. - Dr. Mitch Jelen.
- 16: 2-2-19 / Seal Beach, CA: Bout ended by KO at :53 of round 1. Suspension was precautionary. - Dr. Mitch Jelen.

DETAILS OF THE 1, 30 DAY MEDICAL SUSPENSION

- 1: 9-28-18 / Anaheim, CA: Bout ended by TKO at 1:25 of round 3. Fighter won the bout but complained of dizziness after the bout. Suspension was precautionary. - Dr. Mitch Jelen

SANCTIONED EVENT TOTALS

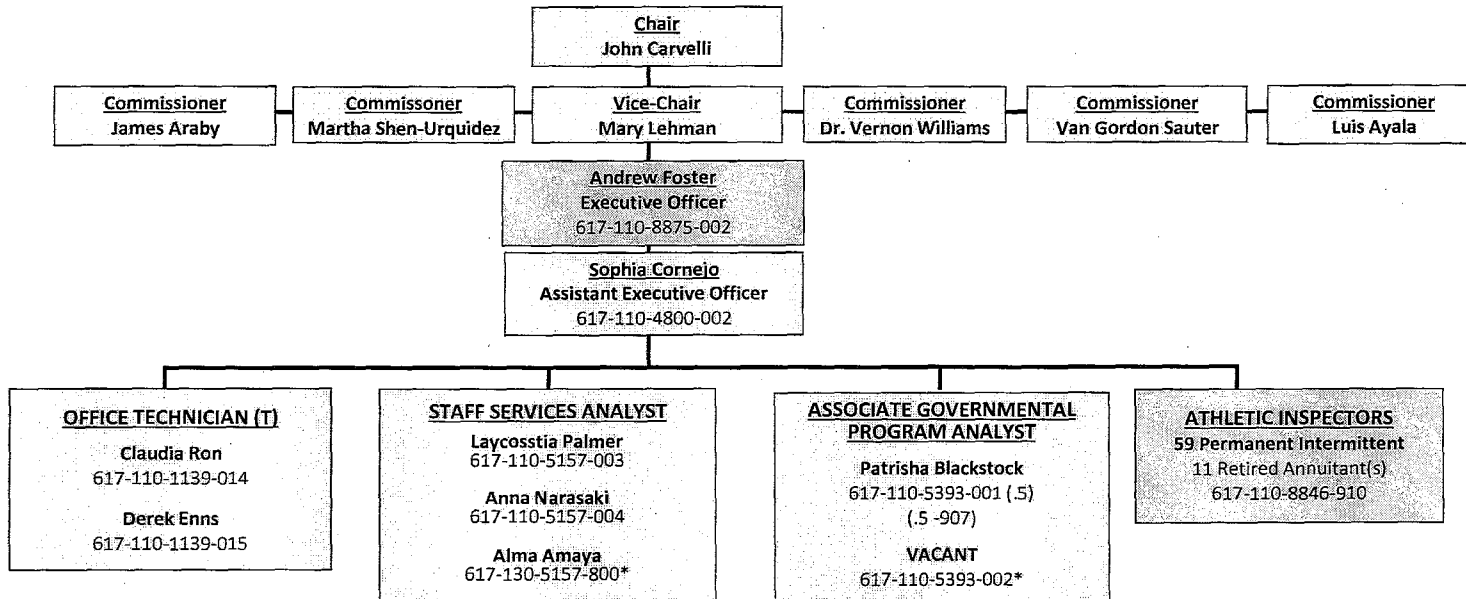
In all, since the IKF was delegated to oversee Amateur Kickboxing and Muay Thai in California on March 17th, 2014 by the California State Athletic Commission, the IKF has sanctioned/regulated a total of 229 events.

By the February 19th, 2019 CSAC Commission meeting, the IKF will have sanctioned a total of 232 Amateur Kickboxing / Muay Thai Events in California since March 17th, 2014.

END OF FINAL 2 EVENTS OF 2018 & FIRST REPORT OF 2019

Department of Consumer Affairs
 California State Athletic Commission
 1-Jan-2019

CURRENT
 FY 2018-19
 110 Authorized Positions 7.5
 130 Authorized Positions 0.5
 Temporary Help Positions 70.5



Sophia Cornejo 12/13/2018
 Asst. Executive Officer Date
 Personnel Analyst Date

*CORI Position



January 17, 2019

Francisco Valcárcel, President
World Boxing Organization
1056 Muñoz Rivera Avenue, Suite 711-714
San Juan, PR 00927

Re: Association of Boxing Commissions New Ratings Criteria

Dear Mr. Valcárcel:

The California State Athletic Commission (CSAC) and other commissions that regulate boxing in their respective state recognize that weight-cutting is a threat to the safety of boxers. The purpose of this letter is to inform you of new ratings criteria passed by the Association of Boxing Commissions (ABC) to address weight-cutting. The CSAC anticipates that the World Boxing Organization (WBO) will utilize such criteria when sanctioning championship bouts in California.

The CSAC enforces its statutes and regulations, which include requirements related to the safety of persons under its jurisdiction. Pursuant to section 6307c, subdivision (a), of Title 15, Chapter 89, of the United States Code, the ABC was required to develop and approve by a vote of no less than a majority of its member State boxing commissioners, guidelines for objective and consistent written criteria for the ratings of professional boxers and that sanctioning bodies and State boxing commissions should follow the ABC guidelines. The criteria were adopted by the ABC in 2000 and further amended in 2002. In 2018, the ABC again considered amending the criteria and adopted the following new criteria to address weight-cutting, effective January 1, 2019:

- Any 8, 10, or 12 round championship Bout will be subject to a follow up weigh-in held at a time and place determined by the local commission. At this follow up weigh-in the boxers cannot exceed 10% of the Bout contract weight.
For example, if bout contract is 147lbs., $147 \times 10\% = 14.7\text{lbs}$. Thus, this boxer cannot exceed 161.7lbs.
- If the boxer misses weight at this second day weigh-in the bout shall continue as a title fight only for the boxer that has made weight. If the over-weight boxer wins this bout he/she cannot claim the title. If the champion is the over-weight boxer and he/she wins the bout, the title becomes vacant. It should be noted there is NO opportunity to lose weight at this Second day weigh-in. It is a onetime weigh-in.
- There shall be a minimum FINE for missing weight on either day of at least 10% of the offender's purse which shall go to the other boxer. Commissions may also choose to fine and/or issue a suspension to the offender.
- The ABC shall recommend to the sanctioning organization(s) that any rated boxer who exceeds the contracted weight and/or the ten percent (10%) maximum allowable weight gain on the

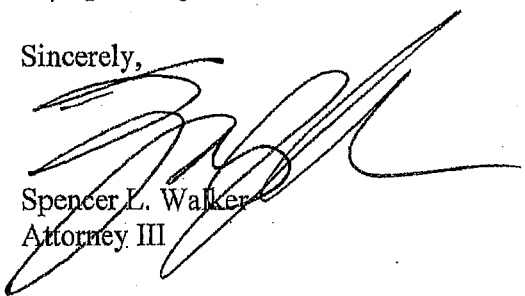
Francisco Valcárcel, President
World Boxing Organization
Page 2

second-day weigh-in for any championship bout, be lowered in the ratings of any and all sanctioning organizations and not be allowed to participate in Championship bouts for twelve (12) months.

- The ABC shall recommend to the sanctioning organization (s) that any rated boxer who exceeds the contracted weight and/or the ten percent (10%) maximum allowable weight gain on the second-day weigh-in for a second occasion for any championship fight, be excluded from the ratings of any and all sanctioning organizations for twelve (12) months.
- These provisions shall be subject to and determined by any and all the sanctioning organizations.

To ensure that the WBO has updated its rules accordingly, provide me, as the CSAC's legal counsel, and Andy Foster, Executive Officer of the CSAC, a copy of the WBO rules, so they may be reviewed prior to any upcoming WBO scheduled bouts in California.

Sincerely,



Spencer L. Walker
Attorney III

cc: Andy Foster, Executive Officer, California State Athletic Commission

From: [REDACTED]
Sent: Friday, January 25, 2019 11:49:42 AM
To: Foster, Andy@DCA
Cc: [REDACTED]
Subject: Re: WBO Regulations - ABC New Ratings Criteria

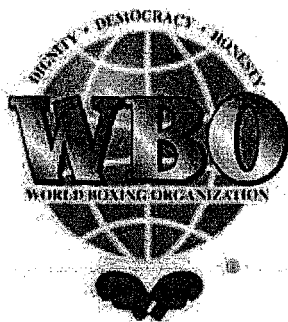
Dear Andy:

Happy New Year. I hope this email finds you well and wishing you the very best in 2019. Kindly be advised the WBO headquarter offices received an official letter from your office dated January 17, 2019, requesting a copy of the WBO Regulations. Per said request, attached herewith for your review, the WBO Regulations of World Championship Contests.

Conversely, the WBO acknowledges the ABC's new rating's criteria passed during the ABC's annual convention held in 2018, and thus, we must comply therewith. In such regard, please refer to Section 26 of the WBO Regulations of World Championship Contests.

All the best,

Gustavo Olivieri, Esq.



WORLD BOXING ORGANIZATION

REGULATIONS OF WORLD CHAMPIONSHIP CONTESTS

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**REGULATIONS OF WORLD CHAMPIONSHIP
CONTESTS**

The World Boxing Organization was organized by national delegations and representatives from countries throughout the boxing world. The WBO is the most democratically representative institution in professional boxing, and accordingly its Champions and Championships are accorded the greatest esteem in professional boxing.

The World Championship has been established for generations as the highest objective in professional boxing. A World Championship is not the property of any boxer. The Championship is a trust, subject to the conditions defined herein, for the use and benefit of all boxers and boxing fans, who through their contribution of time, effort, risks and resources sustain the existence of the profession. The World Boxing Organization encourages each World Champion and Challenger to be an example of the highest ideals and spirit of sportsmanship. A World Champion is an integral part of the sport of boxing, but he is not greater than the sport of boxing. A World Champion's sportsmanship shows his respect for the profession he has chosen, including the Champion himself, his opponent, the World Boxing Organization, and, most importantly, boxing fans worldwide.

The World Boxing Organization's Regulations of World Championship Contests are intended to reconcile the interests of all necessary elements of World Championship Boxing in a manner that is in the best interests of the sport. The most important interests are those of the Championship contestants themselves and their worldwide fans. World Championship boxing in the Twenty First Century has become a global sport. World Championships are regularly contested in numerous cities and countries around the world on every populated continent. Due to the travel distances and costs of attending World Championship boxing contests, the majority of boxing fans are not able to personally attend these events; they must follow the sport through television, and increasingly through premium and pay-per-view broadcasts. Moreover, the

purses for the contestants in World Championship Boxing contests are often substantially dependent upon television revenue. These rules are intended to reconcile the interests of all WBO Participants for the overall good of sport of boxing, and are to be interpreted and applied to that end.

SECTION 1. WORLD CHAMPIONSHIP COMMITTEE

(a) The World Championship Committee (hereinafter the Committee) shall be composed of five members: the WBO President, ex-officio, and four (4) members, including the Chairman of the Committee (hereinafter the Chairman), who shall be appointed by the President. The members of this Committee, upon appointment, shall hold office until their successors have been appointed. In the event that the Chairman is unavailable, the WBO President shall have all powers and authority of the Chairman.

(b) The World Championship Committee shall have the following powers and discretion:

- (1) To determine the format and venue for making any determination that is the subject of the WBO World Championship Rules; and
- (2) To designate not more than two Mandatory Challengers for any category in accordance with the criteria specified in these Rules; and
- (3) To determine whether a challenger seeking a Mandatory Challenger designation is acceptable to the Champion's television network; and
- (4) To determine if there is good cause to extend the time during which a Champion must make a Mandatory Challenge; and
- (5) to approve the terms and conditions under which a Champion may extend the time for a Mandatory challenge so as to compete in a Unification Bout or a Unification Tournament; and
- (6) to order an eliminatory bout or bouts for good cause, including, but not limited to circumstances such as when a higher classified boxer has been denied the designation of Mandatory Challenger because he was not acceptable to a Champion's television network or where a box off is determined for a vacant championship; and
- (7) To recommend to the President and the Executive Committee that a Title should be vacated if a Champion fails to comply with the WBO World Championship Rules; and
- (8) To order a Purse Bid; and

(9) to recommend to the President to reduce the minimum purse bid by up to 50% and to rebid a purse bid or to grant an extension to the Champion of up to 120 days for a voluntary fight if there are no qualified bids for a purse bid

(10) To determine if a Promoter has defaulted on a Purse Bid; and

(11) To select contestants for a Vacant Championship or a box off for a Vacant Championship; and

(12) To approve the challenger for any Championship, including a replacement challenger where a challenger is unable to complete a previously scheduled World Championship fight; and

(13) To determine whether a Champion is entitled to a disability extension to make a Mandatory Challenge, and the terms and conditions for such a disability extension; and,

(14) To determine whether the Promoter which is the successful bidder for a Purse Bid may assign its rights to conduct the Championship match, and the terms and conditions for any approved assignment of the Promoter's rights to a Purse Bid; and

(15) To recommend to the Executive Committee whether a boxer should be designated as a Super Champion; and

(16) To sanction all Championship Contests and Eliminary Bouts; and

(17) To recommend to the Executive Committee whether a direct return fight should be authorized; and

(18) To determine if an Interim Championship is warranted and to sanction an Interim Championship Bout; and

(19) To determine the contestants for an Interim or Vacant Championship; and

(20) To make or recommend exceptions or variations from the Rules as the World Championship Committee determines are necessary, and

(21) To vacate the Championship of a Champion who has had his/her boxing license suspended for the use of performance enhancing drugs or illegal drugs, and

(22) To vacate a championship title regardless of cause or fault if the Champion has not defended within a nine (9) month period.

(23) To designate a Mandatory Challenger; and

(24) To determine whether a Challenger is entitled to a disability extension to complete his qualifying to comply with the ABC Guidelines for Ratings of Professional Boxers; and

(25) To recommend to the President and the Executive Committee amendments to these Rules.

(c) The above powers shall be interpreted to include any or all powers necessary, helpful or convenient to accomplish the purposes, policies and intent of these rules

SECTION 2. MEETINGS OF THE COMMITTEE

The Committee shall meet in the places and at the time and manner as are scheduled by the WBO President or the Chairman. Three members shall constitute a quorum for matters that come before the Committee. The majority vote of the members of the Committee that constitute the quorum of the meeting shall constitute the action of the Committee. The Committee is authorized to meet remotely, by telephone conference, and/or by written submittals, and the Chairman may, in his discretion request the vote of the members of the Committee by telephone, fax, or e-mail. A member need not participate in all discussions of the Committee on a matter to participate in its determination. In the cases in which the Committee acts by written submittals, the Chairman shall recommend the adoption or rejection of any measure. If the Committee does not receive the vote of any member of the Committee within two (2) days of the Chairman's recommendation, the vote of the member of the Committee not cast shall be considered as a vote approving the recommendation of the Chairman. The Chairman shall submit a report indicating the results of voting on the particular matter to each of the members of the Committee and to the WBO. In the event there is a tie vote of the Committee, the vote of the WBO President shall determine the action of the Committee.

SECTION 3. WEIGHT CATEGORIES

The World Championships shall be recognized in the following weight categories of divisions:

Weight Division	Maximum Weight
Mini Flyweight	Up to 105 lbs or 47.63 kgs.
Jr. Flyweight	Up to 108 lbs or 48.99 kgs.
Flyweight	Up to 112 lbs or 50.80 kgs.
Jr. Bantamweight	Up to 115 lbs or 52.16 kgs.
Bantamweight	Up to 118 lbs or 53.52 kgs.

Jr. Featherweight	Up to 122 lbs or 55.34 kgs.
Featherweight	Up to 126 lbs or 57.15 kgs.
Jr. Lightweight	Up to 130 lbs or 58.97 kgs.
Lightweight	Up to 135 lbs or 61.24 kgs.
Jr. Welterweight	Up to 140 lbs or 63.50 kgs.
Welterweight	Up to 147 lbs or 66.68 kgs.
Jr. Middleweight	Up to 154 lbs or 69.85 kgs.
Middleweight	Up to 160 lbs or 72.57 kgs.
Super Middleweight	Up to 168 lbs or 76.20 kgs.
Light Heavyweight	Up to 175 lbs or 79.38 kgs.
Jr. Heavyweight	Up to 200 lbs or 90.72 kgs.
Heavyweight	Over 200 lbs or 90.72 kgs.

SECTION 4. WEIGHT

(a) In General. Weight determines the championship contests. If the Champion and/or the challenger fails to make the prescribed weight at the time of the official weigh-in, either or both of them shall have two hours from that time to make the prescribed weight. If either or both contenders fail to make the prescribed weight within the additional two hour period of time, these regulations remain in full force and effect and the contest shall be held as an overweight title fight or a non-title fight as the case may be. The scales that are to be used in the official weigh-in should be available to both the Champion and the challenger at least two hours before the time of the official weigh-in.

(b) Weigh-ins for Postponements. If a Championship is postponed after a weigh-in for not more than 48 hours, a new weigh-in will not be necessary.

(c) Overweight Contestants.

(1) If a World Champion fails to make the prescribed weight for his category, the Champion shall lose the title at the scales, and the Championship shall then and there be declared vacant, whether or not the challenger makes weight. The Championship may not, however, be won at the scales. The fight shall be carried out as planned, and if the challenger, having made the weight, wins the contest, the challenger shall be the successor of the Championship; but if the prior Champion whose Championship was vacated at the scales wins the contest, the championship shall remain vacant.

(2) If the Champion makes the weight and the challenger fails to do so, and the fight goes forward as an overweight fight, the challenger is not eligible to win the Championship; if the Champion loses the overweight fight, the Championship shall be vacated.

(3) If both the Champion and the challenger fail to make weight, the Championship shall be vacated at the scale and the fight will go forward as a non-title fight.

SECTION 5. DEFENSE OF THE TITLE

(a) Each WBO World Champion except in the Heavyweight Division shall defend his title at intervals not greater than nine (9) months counting from the date of the acquisition thereof or from the last compulsory defense, as the case may be, in a twelve (12) round fight, against the Mandatory Challenger, as determined by the Championship Committee according to these Regulations, unless an exception is made pursuant to these Regulations. For reasons unique to the Heavyweight Division, the WBO World Champion in the Heavyweight Division shall defend his title at intervals not greater than twelve (12) months counting from the date of the acquisition thereof or from the last compulsory defense as the case may be in a twelve (12) round fight, against the Mandatory Challenger, as determined by the Championship Committee according to these Regulations, unless an exception is made pursuant to these Regulations.

(b) In the interim period, the World Champion may defend the title against any contender classified within the fifteen (15) best by the World Boxing Organization, always with the approval of the World Championships Committee.

(c) The WBO World Championship Committee may, in its discretion, vacate a Champion's title (including the Heavyweight Division) regardless of cause or fault if the Champion fails to defend his title within a nine (9) month period. This decision will be final unless appealed to the Grievance Committee within ten (10) days of Notification to the Champion as provided for in these Rules. A Champion so stripped of his Title shall be classified using the WBO rating criteria, and he shall be classified in his division or in such other weight division as the Classifications Committee for good cause determines, provided that he has complied with the WBO and ABC rating criteria.

(d) No WBO Champion may hold titles in more than one Weight Division. If a WBO Champion wins a WBO Championship in a higher or lower division, the WBO Champion shall have Ten (10) Days to determine which Weight Division the WBO Champion will retain. The other Weight Division will be declared vacant.

(e) In any circumstance in which a Mandatory Defense does not occur within the designated time period, the World Championship Committee may order an Interim Championship between contenders determined by the World Championship Committee.

SECTION 6. DESIGNATION OF THE MANDATORY CHALLENGER

(a) The Mandatory Challenger may be selected and determined by the World Championship Committee according to the current list of the best-classified boxers, promulgated by the WBO Classification Committee. The World Championship Committee shall for good cause designate the date on which the determination of the Mandatory Challenger shall be determined. For the purpose of designating the Mandatory Challenger, the current list of the Classifications is that which corresponds to the month for which the designation of the Mandatory Challenger is to be made that the World Champion is obliged to defend his Title against the Mandatory Challenger, or any other date in which the World Championship Committee may determine, for just cause.

(b) When a Champion must make a mandatory defense, the Mandatory Challenger shall be the highest classified available boxer who, in the judgment of the World Championship Committee, best satisfies the following criteria:

(1) Has a record acceptable to the World Championship Committee, and who, because of accomplishment and achievement, is recognized by the World Championship Committee as a class boxer; and

(2) Complies with the WBO and Association of Boxing Commissions Criteria for the Ratings of Professional Boxers; and

(3) In the event that the Champion has a contract with a major television network (HBO, SHOWTIME, ZDF, Sky or other similar broadcast company), is an acceptable challenger to the Champion's television network.

(c) A classified boxer may request recognition as Mandatory Challenger in writing to the WBO on a form which contain substantially the provisions of the Application for Designation as Mandatory Contender contained on the WBO web site. Alternatively, the Committee may designate a Mandatory Challenger based on the above criteria. In either event, the Mandatory Challenger must agree to be subject to and to abide by the Regulations and to be bound to participate in and comply with the results of a purse bid. The World Championship Committee may deny a request for recognition based on any of the above referred criteria or because the Champion has an approved unification bout, or an approved unification tournament is scheduled, or if the Champion is contesting the Championship with a WBO Super Champion or a WBO Champion, or for other good cause.

(d) If a fighter is classified higher than the Mandatory Challenger, and is not designated the Mandatory Challenger because of criteria three (3), or for other good cause, the Championship Committee has the authority to order an

eliminary bout between the higher classified boxer or boxers provided that at least one of the elimination bout contestants is considered an acceptable challenger to the Champion's broadcast company. The winner of the elimination bout shall be then designated the Mandatory Challenger.

(e) The designation of a Mandatory Challenger creates an obligation on behalf of the Champion to defend against the designated Mandatory Challenger as a condition to the Champion's retention of the title. This obligation may be subject to modification or extension by the Championship Committee for good cause, such as (but not limited to) participation by the Champion in a unification bout or a unification tournament on such terms and conditions as the Championship Committee approves. The designation of Mandatory Challenger does not create rights in the Challenger, and may be subject to such conditions, modification, or extension as the Championship Committee determines.

(f) That a Champion has signed a contract for the defense of his championship, even against a Mandatory Challenger approved and designated by the Committee, shall not of itself constitute compliance with these Regulations, unless the Championship defense actually takes place within the stipulated time period.

SECTION 7. UNIFICATION BOUTS AND UNIFICATION TOURNAMENTS AS MANDATORY TITLE BOUTS

(a) The World Championship Committee has the power and authority to sanction a Unification Bout or participation in a unification tournament as a Mandatory Title Bout. A Unification Bout is a championship bout with the champion of another world sanctioning organization recognized by the WBO, including the WBA, the WBC and the IBF. A Unification Tournament is a tournament of single elimination bouts involving 4 Champions or top contenders of 2 or more WBO recognized world sanctioning organizations.

(b) When a Champion has been granted an extension of his Mandatory Obligation to participate in a Unification Bout or a Unification Tournament, his next mandatory obligation must be completed within 9 months of completion of the Unification Bout or Unification Tournament.

SECTION 8. CRITERIA TO MAINTAIN RATING AS A CLASSIFIED FIGHTER

(a) To keep his position on the official WBO classification list and to be eligible to be designated by the World Championship Committee as the Mandatory Challenger, contender number 1 and contender number 2 shall defend his classification at least annually against any of the classified contenders in his weight category, according to the current list of classifications promulgated by the

Classifications Committee, and he shall comply with the Association of Boxing Commissions Criteria for Ratings of Professional Boxers. If contender number 1 and/or contender number 2 fails to comply with this Rule, contender number 1 and/or contender number 2 may lose his classification. It is the responsibility of each classified boxer to know the WBO and ABC Criteria for Ratings, to meet the criteria for ratings, and to keep the WBO Classification Committee informed of all facts relevant to his rating.

(b) If any of the classified boxers (with the exception of contender number 1 and/or contender number 2) rejects the World Champion in an optional title fight or refuses to fight contender number 1 and/or contender number 2 in a ten round fight, without just cause, he may be eliminated from or lowered in the list of classifications.

SECTION 9. VOLUNTARY DEFENSES

In the interim period of the compulsory defense of his title against the Mandatory Challenger, the World Champion may defend his title against any opponent selected from the list of the fifteen best boxers in his weight category or division, according to the current list of classifications of the Organization.

SECTION 10. COMPULSORY DEFENSES

(a) Within the sixty (60) days prior to the date of the compulsory defense, no World Champion shall sign a Contract for the defense of his title, nor defend it against a boxer other than the Mandatory Challenger, unless authorized by the World Championship Committee.

(b) If a World Champion loses his title against a contender other than the Mandatory Challenger, the new World Champion shall defend his title in a bout, within a period not greater than one hundred eighty (180) days counting from the date of the acquisition of the title, against the Mandatory Challenger in his category according to the current list of the Classifications Committee, and from then on, shall defend his title at intervals not greater than nine (9) months against the Mandatory Challenger, unless an exception is approved by the World Championship Committee.

(c) The World Championships Committee shall inform each World Champion recognized as such by the Organization in his respective weight category or division, in writing, with at least sixty (60) days of previous notice, of the obligation to defend the title in a compulsory fight against the Mandatory Challenger. It is contemplated that the Champion and the Mandatory Challenger shall attempt in good faith to reach an agreement within the first thirty (30) days of the sixty (60) days mentioned herein. The President or the Championship Committee may increase or decrease the time in which the Champion and the Mandatory Challenger should reach an agreement, which period shall be not less

than five (5) days. If the Champion and the Mandatory Challenger fail to reach agreement within such time as is determined by the President consistent with these regulations, the President may order a Purse Bid as hereinafter provided. Either the Champion or the Mandatory Challenger may end this negotiation period by written notice to the WBO at any time during the Thirty (30) day negotiation period. The WBO President shall have the discretion to reduce the negotiation period to not less than five (5) days. The WBO President may call the Purse Bid within ten (10) days of the end of the negotiation period.

SECTION 11. PURSE BIDS

(a) Purse Bids for championship fights shall contain the elements required by these rules. Only those proposals submitted by Promoters registered with and in good standing with the WBO shall be accepted by the WBO.

(b) Bid Deposits. Each Promoter shall submit a Purse Bid Deposit of One Thousand (\$1,000.) Dollars with its bid at the time the Promoter submits its proposal. The Purse Bid Deposit for all bidders shall be retained by the WBO, and applied as the Purse Bidders Service Fee to cover the expenses incurred by the World Boxing Organization on calling the contest to bid.

(c) Proposals. The proposals shall be submitted to the office where the President of the World Boxing Organization indicates that the bid shall be held, in two sealed envelopes as follows:

(1) ENVELOPE NO.1- DOCUMENTS. A Promoter whose bid does not meet the requirements of this section shall be automatically disqualified from the Purse Bid and cannot participate in the Purse Bid. The World Boxing Organization shall retain the disqualified Promoter's Bid Deposit as the disqualified bidder's Purse Bidders Service Fee, and shall also return Envelope No. 2 "Proposal" which shall not be opened. Envelope Number 1 shall contain the following documents:

(A) Certified check, cashier's check or bank money order payable to the World Boxing Organization, or money in cash, in the legal tender of the United States of America (dollars) for the Purse Bid Deposit in the sum of US\$1,000.00 to cover the Promoter's Purse Bid Service Fee.

(B) WBO Receipt or a copy thereof evidencing payment of the Annual Registration fee as WBO Championship Contest Promoter, or a copy of the Promoter's cancelled check or wire transfer to the WBO for the Annual Promoter's Registration Fee. The WBO President may waive this requirement for any Promoter known to the WBO President to have paid its Annual Registration fee.

(C) A letter of authority for a representative of a proponent, for those bidders who cannot attend the bid and sends a representative who is not an officer or employee of a bidder. This letter may be an original letter or may be sent by the proponent by facsimile, e-mail or telegraph.

(2) ENVELOPE NO. 2 - PROPOSAL

(A) A written proposal indicating the total purse offered to the Champion and the Challenger, the proposed sites for the Championship Contest, and the proposed date for the Championship Contest. This amount will be net, with no deductions except for the Sanction Fees to be paid by the Champion and the Challenger pursuant to these WBO Regulations of World Championship Contests and tax withholdings required by the law of the country where the fight is to be held.

(B) The Proposal shall include a Purse Bond in the form of a certified check, cashier's check or bank money order payable to the World Boxing Organization, or money in cash, in the legal tender of the United States of America (dollars) totaling Ten (10%) Percent of the total of the proposed purse. The Purse Bond of the successful bidder shall be retained by the World Boxing Organization to secure the Champion, Challenger and the WBO in the event that the successful bidder fails to promote the Championship Contest pursuant to the Purse Bid without just cause.

(C) The Proposal shall indicate the place or places where the fight is to be held; the Proposal shall not identify more than three (3) potential sites.

(D) The Proposal shall indicate the date the fight is to be held, which shall not be later than ninety (90) days nor earlier than forty-five (45) days from the date of the Purse Bid, counting from the date of adjudication of the bid, unless the interested parties agree to hold the bout prior to the forty-five (45) days established as minimum. The World Championship Committee may extend the 90 days during which a fight ordered by purse bid may be held for a period not to exceed 45 days for just cause shown.

(d) In the event that no qualified bid is made, the Championship Committee may recommend to the President that the minimum bid be reduced by up to 50% and the purse bid rebid or that the Champion be granted a 120 day extension to make a voluntary defense. The President shall determine which option will be pursued within 30 days and the President will notify the contestants of his decision in writing on or before the expiration of the 30 day period.

(e) When a Championship has been completed, the Purse Bond will be refunded to the Promoter, net of any collection costs or bank charges incurred by the WBO, upon receipt by the WBO of evidence of satisfaction by the Promoter of all obligations secured by the Purse Bond, including all WBO Sanction Fees for the Championship.

SECTION 12. PROMOTER DEFAULT ON PURSE BID

(a) If the Promoter fails to comply with the Purse Bid commitment on the date indicated on the accepted Proposal without a justified cause, the World Championship Committee may declare the right of the Promoter in and to the Purse Bid extinguished and proceed to the distribution of the Purse Bond (the security deposit) as herein provided.

(b) If the Championship Committee declares a default by the Promoter, the Promoter's Purse Bond shall be forfeit and it shall be distributed as follows:

Champion	68%
Challenger	17%
WBO	15%

(c) If a successful Promoter defaults on a Purse Bid without justified cause, the Forfeiture of the Purse Bond provided for herein shall be sole and exclusive remedy of any party against the Promoter, and shall be retained and distributed in the proportions provided for herein as liquidated damages.

(d) If the fight is not to be presented, without a justified cause as determined by the Championship Committee, the Promoter shall forfeit the Purse Bond and the World Boxing Organization may proceed to call a new Purse Bid for said championship contest, or the WBO Championship Committee or the WBO President may offer the contest to the second highest bidder at the original purse bid, or the Champion may be granted a 120 day extension to fight a voluntary defense, as determined by the World Championship Committee.

SECTION 13. DIVISION OF PURSE FOR CHAMPIONSHIPS HELD PURSUANT TO PURSE BID

(a) The purse for Championship Contests held and completed pursuant to Purse Bids (except those Championship Contests involving situations described in Section 14(e)(1) hereof, the Purse Bid division for which shall be distributed as per Section 14(e)(2)) shall be distributed as follows:

(1) CHAMPIONSHIPS with an EXISTING CHAMPION

(A) In fights for the Title between the Champion and the Mandatory Challenger held in the country of origin, residence or nationality of the Champion, the Champion shall receive 75% of the purse and the Challenger shall receive the remaining 25%.

(B) In fights for the title held in any other country, the Champion shall receive 80% of the purse and the Challenger shall receive the remaining 20%.

(2) VACANT CHAMPIONSHIPS or ELIMINATORY FIGHTS

(A) In fights for a vacant title or eliminatory fights (except in the case where one of the contestants is a Super Champion in which case the Super Champions provision for purse bid division shall apply) the distribution shall be as follows:

(B) If the fight is held in the country of origin, residence or nationality of one of the contender, the resident contestant shall receive 40% and his opponent shall receive 60% off the total purse offered.

(C) If the fight is held in a neutral place or if the contenders are from the same country of origin, residence or nationality, in such a way that neither have an advantage over the other, the purse shall be divided in equal parts between the contenders. USA Residents shall be considered of the same country of residence, regardless of which State they reside in for Purse Bid purposes.

(b) The Promoter who has been awarded the promotion rights of a World Championship, an interim World Championship or an Eliminatory contest through a Bid, cannot sell, transfer or assign its rights to a third person without the written consent of the WBO.

(c) The Purse Bid shall be directed by the President or by the person designated by him. All WBO Participants directly involved in the bid may be present when the bid envelopes are opened.

(d) **MINIMUM PURSE BIDS:** The following minimums are hereby established for Purse Bids:

Division	Minimum Purse Bid
Mini-Flyweight to Flyweight	US\$80,000.00
Junior Bantam to Junior Featherweight	US100,000.00
Featherweight to Jr. Welter	US150,000.00
Welter to Middleweight	US200,000.00
SuperMiddleweight to Jr. Heavyweight	US300,000.00

Heavyweight	US1,000,000
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SECTION 14. SUPER CHAMPIONS

(a) It is the policy of the World Boxing Organization to encourage its Champions to pursue recognized status as undisputed World Champions and to unify the Championships of the four major world sanctioning organizations (the WBO, WBA, WBC and IBF). A World Champion who has achieved preeminent status may require and be entitled to additional scheduling flexibility to achieve his place in boxing history and for the good of the sport. A boxer may be considered for designation for Super Champion status when he meets the following criteria:

(1) The boxer presently or previously has or had a multiple bout contract with a major television network (HBO, SHOWTIME, ZBF, Sky or other similar broadcast company), for multiple years; and

(2) As a WBO Champion,

(A) The boxer has successfully defended his title cumulatively a minimum of ten (10) title bouts, or;

(B) In the event of less than ten (10) successful defenses has defended his/her title against opponents of high recognition and high skills; and

(C) Has substantially met the other standards set forth herein.

(3) The boxer's amateur history will be considered; and

(4) The quality of prospective Super Champion's record including the quality of his opponents and their records will be considered.

(5) Additionally, the following factors will be considered in determining Super Champion designation:

(A) If the prospective Super Champions has been a WBO World Champion in more than one (1) weight division; or

(B) If the Prospective Super Champion has held more than one (1) Championship of the four major world sanctioning organizations; or

(C) If the prospective Super Champion has unified the Championships of more than one (1) of the four (4) major world sanctioning bodies

(b) Current champion status is not required to qualify or continue as a Super Champion.

(c) The Championship Committee may recommend Super Champion status for the boxer to the Executive Committee, if a boxer has substantially complied with the conditions set forth herein. Super Champion status shall be determined by the vote of the Executive Committee.

(d) **PRIVILEGES AFFORDED SUPER CHAMPIONS.** Those fighters recommended by the Championship Committee and approved by the Executive Committee qualify for the following rights and privileges:

(1) **EXTENSION OF MANDATORY TITLE DEFENSE.**

The Championship Committee may extend a Super Champion's mandatory title defense for good cause shown one or more times beyond the time provided for in the WBO Regulations for World Championship Contests for the purpose of giving a Super Champion opportunity or opportunities to unify the titles of more than one major sanctioning body either in a unification bout or a unification tournament, or any other important match or matches that the Committee determines would contribute to the Super Champion's legacy or would be of great interest to boxing fans.

(2) **ELIGIBILITY TO BE CONSIDERED FOR DESIGNATION AS THE MANDATORY CHALLENGER IN HIGHER OR LOWER DIVISION.**

If requested by a Super Champion, the Championship Committee may designate the Super Champion as the mandatory challenger for the immediate higher or lower division.

(3) **ELIGIBILITY TO BE CONSIDERED FOR DESIGNATION AS THE MANDATORY CHALLENGER IN SAME DIVISION.**

If requested by a Super Champion who has lost his title and who wishes to fight for the title again, the Championship Committee may designate the Super Champion as the mandatory challenger in the Super Champion's division.

(4) **An Honorary member of the WBO for life**

(e) **PURSE BID**

(1) In case of a purse bid involving a contest between contestants one or more of whom are WBO Super Champions, or a contest between a current WBO Champion and another current WBO Champion or a contest

between a WBO Champion and a WBO Interim Champion, or a contest between two WBO Interim Champions, the following rules shall be applied in conjunction with the purse bid rules contained in the WBO Regulations for World Championship Contests; these provisions concerning the division of purse bids shall supersede the rules for division of purse bids contained within Section 13 of these WBO Rules, "DIVISION OF PURSE for CHAMPIONSHIPS HELD PURSUANT TO PURSE BID"

(2) In any of the situations described in Section 14(e)(1) above and a purse bid takes place, the division shall not be greater than 80/20 in any case and shall be calculated by the Championship Committee using a ratio based upon the average purse of each fighter's last three (3) fights. The WBO may rely upon the purses reported to the WBO on the WBO Participant's Promoter's receipts for the fights that are used to calculate the Purse Division for this purpose. By way of example, if contestant A has had his last 3 purses of \$1,000,000, \$500,000, and \$400,000 (a total of \$1,900,000) and contestant B has had his last 3 purses of 1,000,000, \$2,000,000, and \$2,500,000 (a total of \$5,500,000), the purse division would be $\$1,900,000/\$7,400,000$ or 25.68% for contestant A and $\$5,500,000/\$7,400.00$ or 74.32% for contestant B. If by way of further example, if contestant A has had his last 3 purses of \$500,000, \$400,000, and \$300,000 (a total of \$1,200,000) and contestant B has had his last 3 purses of 1,000,000, \$2,000,000, and \$2,500,000 (a total of \$5,500,000) the purse division would be 20% for contestant A and 80% for contestant B (as the ratio of the purses is $\$1,200,000/\$6,700,000$ or 17.91% for contestant A to $\$5,500,000/\$6,700,000$ or 82.09% for contestant B and the purse division cannot be more than 80/20.

(f) LOSS OF PRIVILEGES

(1) All Super Champion privileges referred to in Section 14(d) shall be terminated in the event (a) a Super Champion violates any of the WBO Rules and Regulations, (b) for unsportsmanlike conduct or activities unbecoming to a professional boxer, (c) for drug abuse (of either illegal drugs or performance-enhancing drugs), or (d) conviction of a crime involving moral turpitude.

(2) The Super Champion Privileges referred to in Section 14(d)(1) to 14(d)(3) shall be terminated in the event of the loss of any boxing contest unless the World Championship Committee recommends that Super Champion status be retained and a majority of the WBO Executive Board resolves that Super Champion status be retained.

SECTION 15. NONCHAMPIONSHIP FIGHTS or NON-WBO CHAMPIONSHIP FIGHTS

(a) A WBO champion shall not participate in a non-championship fight that is not a WBO Championship without the prior authorization of the WBO World Championship Committee. This authorization shall be subject to such conditions as the WBO World Championship Committee determines. In addition, this authorization will be granted only on the condition that the WBO Champion pay a fee to the WBO that is the equivalent of the amount that he WBO Champion would have paid to the WBO if the nonchampionship fight were conducted as a WBO Championship fight.

(b) A WBO Champion who wins a non-WBO Championship in weight class that is different than the weight class in which he holds his WBO Championship must decide within ten (10) days of the non-WBO Championship which title in which weight class he will retain. No WBO Champion may hold a non-WBO Championship in a weight class that is different from the weight class of his WBO Championship.

(c) The WBO may vacate the title of any Champion who conducts a contest in the Champion's Weight Division which is not a defense of the title.

SECTION 16. SANCTION FEES

The WBO shall be paid a Sanction Fee of a rate according to the following schedule:

a) Champion and Challenger:

(1) Three percent (3%) of their purses with a minimum of \$1,000.00 and a maximum of \$200,000.00 per boxer.

(2) A Unified Champion is a WBO Champion who holds more than one World Championship of World Sanctioning Bodies recognized by the WBO (the WBO, the WBA, the IBF and the WBC). The WBO sanctioning fee for a Unified Champion shall be two percent (2%) of his purse with a minimum of \$1,000 up to a maximum of \$200,000. The WBO Sanctioning fee for the Challenger to a Unified Champion shall be two percent (2%) of his purses with a minimum of \$1,000.00 and a maximum of \$200,000.00.

(3) A Unification Championship is one in which a WBO Champion contests the Championship with a contestant who holds one or more of the World Championship of the other World Sanctioning Bodies recognized by the WBO (the WBA, the IBF and the WBC). The WBO sanctioning fee for a Unification Championship shall be two percent (2%) of purse of the WBO

Champion with a minimum of \$1,000 up to a maximum of \$200,000. The WBO Sanctioning fee for the Challenger in the Unification Championship, that is the contestant who is the Champion of one or more of the WBA, the IBF and the WBC, shall be two percent (2%) of his purses with a minimum of \$1,000.00 and a maximum of \$200,000.00.

b) Promoter:

(1) The Promoter's Sanction Fees for fights which are neither a Unified nor a Unification Championship shall be:

(A) \$3,000.00 in fights in which the combined purses of the boxers is up to \$500,000.00 plus \$1,000 for the Welfare Fund.

(B) \$4,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$500,000.00 and is up to \$1,000,000.00 plus \$3,000 for Welfare Fund.

(C) \$5,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$1,000,000.00 and is up to \$3,000,000 plus \$10,000 for Welfare Fund.

(D) \$6,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$3,000,000.00 and is up to \$5,000,000 plus \$15,000 for Welfare Fund.

(E) \$7,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$5,000,000.00 plus \$18,000 for Welfare Fund.

(F) The above notwithstanding, the Promoter's Sanction Fees for a Championship in the Heavyweight Division shall be \$15,000 plus \$10,000 for Welfare Fund

(2) The Promoter's Sanction Fees for fights involving a Unified or a Unification Championship shall be:

(A) \$4,500.00 in fights in which the combined purses of the boxers is up to \$500,000.00 plus \$1,000 for the Welfare Fund.

(B) \$6,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$500,000.00 and is up to \$1,000,000.00 plus \$3,000 for Welfare Fund.

(C) \$7,500.00 in fights in which the combined purses of the boxers exceeds the sum of \$1,000,000.00 and is up to \$3,000,000 plus \$5,000 for Welfare Fund.

(D) \$9,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$3,000,000.00 and is up to \$5,000,000 plus \$7,000 for Welfare Fund.

(E) \$10,000.00 in fights in which the combined purses of the boxers exceeds the sum of \$5,000,000.00 plus \$10,000 for Welfare Fund.

(F) The above notwithstanding, the Promoter's Sanction Fees for a Unification or Unified Championship in the Heavyweight Division shall be \$15,000 plus \$10,000 for Welfare Fund

c) **Welfare Fund:**

The World Boxing Organization is a not-for-profit corporation committed to aid other institutions in the areas of education, rehabilitation, research that relates to boxing and boxing safety, and other social causes according to our constitution and bylaws. The Welfare Fund is devoted solely to the not-for-profit purposes of the World Boxing Organization.

d) **Sanction Fees are due whether or not a Championship is awarded:**

In the event a sanctioned fight results in a no contest or a sanctioned fight is held as an overweight fight, all sanctioning fees are due regardless of whether a Championship is awarded.

e) The term "Purse" shall mean all gross payments of any or all consideration paid to a boxer for participation in a WBO Championship, including any or all gross purse, compensation derived from pay-per-view, cable or satellite transmission, television broadcast, or internet distribution, shared promotion fees (in the case where the boxer is promoter or co-promoter) including amounts calculated and paid following the contest and emoluments directly or indirectly of every kind.

f) It shall be the responsibility of the Promoter who receives the WBO Sanction to collect from the contestants and remit to the WBO all fees owed pursuant to these Rules.

SECTION 17. PROMOTER'S ANNUAL WBO REGISTRATION

(a) A promoter who is not registered with the World Boxing Organization as a Promoter and who has not paid its annual registration fee cannot promote WBO World Championship contests or participate in the bids on WBO World Championships. The Promoter's Annual Registration Fee is US \$1,000.00 if paid

on or before January 31 of the current year and US \$1,500.00 if paid after January 31 of the current year.

(b) The WBO President and/or the Chairman of the World Championship Committee shall have the authority and be responsible for collecting all the registration fees from the promoter and all sanction fees regarding WBO World Championship fights and shall remit them to the Treasurer of the Organization.

SECTION 18. RETURN FIGHTS

The WBO shall not allow direct return fights, unless recommended by the World Championship Committee and authorized by the Executive Committee. A direct return fight is a fight between the new Champion and the former Champion from whom the new Champion won the title (or between a new Champion and the losing Contestant in a Vacant Title Fight), before the new Champion defends his title against any of the other fighters classified in his category. If the World Championship Committee determines either that the resolution of a Championship Contest was substantially irregular, or that there was a clear misapplication of the rules of boxing resulting in a manifest unfairness, such that in either case the World Championship Committee determines that the Championship was substantially unresolved, the World Championship Committee may, in its discretion, recommend a direct return fight, which may be authorized only by the majority vote of the Executive Committee. The World Championship Committee may recommend a direct return fight for any other circumstance; in that event a direct return fight shall only be authorized by the affirmative vote of not less than 75% of the Executive Committee.

SECTION 19. FAILURE OF THE CHAMPION TO COMPLY WITH THE TERMS OF A WORLD CHAMPIONSHIP CONTRACT OR THE WORLD CHAMPIONSHIP RULES

(a) If a World Champion fails to comply with his contractual obligation to fight in a WBO World Championship bout without justifiable cause, or is determined by a Host Commission, a licensing commission, or otherwise has tested positive for an illegal or any performance enhancing drug, or fails to inform the WBO of any medical condition, impairment or any other circumstance which jeopardizes his participation in a WBO World Championship contest, or if a Champion or any of his team members misleads, attempts to mislead, or petitions a Local Boxing Commission to illegally or incorrectly license said Champion, the Committee may recommend to the WBO President and to the Executive Committee that the fighter be stripped of the title and that the title be declared vacant.

(b) However, if the Champion is unable to defend his Championship within the time periods provided in these Rules because he is physically disabled for a justified cause, and said disability has been verified and accepted by the World

Championships Committee, the Champion may be granted a reasonable time to recover from the disability and retrain to competitive condition, which shall not in total exceed 180 days. The disability extension will be granted only if the Committee determines, based on competent medical advice, that the Champion is reasonably expected to recover from his disability within the maximum allowable term of the disability extension. The Committee may condition its approval of a disability extension upon the Champion providing interim medical certifications that he is reasonably expected to recover within the term of the disability extension.

(c) A disability extension shall be approved only by the vote of the World Championships Committee. If the Champion's recover time exceeds the maximum term of the disability extension, or if upon receipt of an interim medical certification the World Championship Committee determines that the Champion is not reasonably likely to recover and be prepared to defend his Championship within the term of the disability extension, the title shall be declared vacant and a Vacant or Interim Championship fight or fights, such as a box off, as the case may be, shall be held for the title pursuant to the provisions of the World Championships Regulations.

(d) The Championship Committee may order an Interim championship bout or bouts when the Champion is incapacitated, inactive, or when a Champion is moving out of his division to fight for another championship. In this case the interim Champion must defend his interim title against the Champion, if the Champion who vacated his Title due to disability is medically able and prepared to compete for the Championship within 180 days of the Interim Championship. If an interim Championship is held due to a Champion's inactivity, the Champion must defend his title against the Interim Champion within 120 days of the interim Championship or the Interim Champion shall become Champion.

(f) In any of the above mentioned circumstances, a former Champion must meet the WBO and ABC Rating Criteria to be classified.

SECTION 20. PROCEDURE WHEN A TITLE IS DECLARED VACANT

(a) A World Championship may be lost for breach of contract, for disability to fight or to make the required weight, inactivity, or for any other reason established in the Regulations that govern World Championships, but a World Championship shall only be obtained as the result of a bout or bouts duly authorized by the World Championship Committee. In the case that a World Championship is declared vacant, The Committee shall determine if the Vacancy should be filled by a contest between the two Best Classified Contenders Available, or a box off among the four Best Classified Contenders Available. In either event, the contestants as determined by the Championship Committee shall be granted a period not to exceed thirty (30) days to negotiate a contract for

the vacant World Championship or an Interim World Championship, as the case may be with a duly qualified promoter. The WBO President may, upon the request from either contestant in a championship or any of the contestants in a box off for which a purse bid has been ordered, order a purse bid to be held within ten (10) days from the date of the request. In the event that the contestants fail to negotiate the contract within the 30 day period, the President may also order a Purse Bid for that Vacant or Interim Championship, pursuant to the procedure established in these Regulations.

(b) When a boxer obtains the World Championship title or an Interim World Championship title. Or after a box off in any category in a fight for a vacant title, he shall defend the title against the Mandatory Challenger within a term which shall not exceed one hundred eighty (180) days counting from the date he acquired the title.

SECTION 21. DRAW DECISION

If a World Championship fight is declared a draw or a no contest or if for any reason both contestants in a Championship fight simultaneously cannot continue fighting, or if for any reason not under the control of the contestants the fight ends prematurely while both contestants are still, in the judgment of the Referee, prepared to continue, or, if for any other reason, the official or officials duly designated for such purpose fail to render, or are prevented from rendering a decision after the bout, the Champion shall retain the Championship title.

SECTION 22. RINGS

The rings used in World championship fights shall not measure less than eighteen (18) feet (5.486 m) nor more than twenty-four (24) feet (7.315 m) per side of the rope, and the floor of the ring shall extend beyond the ropes not less than eighteen (18) inches (457.2 cm). The floor of the ring shall be padded with a one inch (25.4 mm) layer of Enslolite or its equivalent, placed on a one inch (25.4 mm) construction wood base or other appropriate material stretched and secured under the platform. The ropes of the ring may be three (3) or four (4) in number and not less than one (1) inch (25.4 mm) of diameter. All the ropes shall be covered with a soft material. When three ropes are used, the same shall extend in three parallel lines at two (2) feet (.610 m), three (3) feet (.914 m) and four (4) feet (1,219 mm) from the floor, the second at thirty (30) inches (762.0 mm), the third one at forty-two inches (1066.8 mm) and the fourth rope at fifty-four (54) inches (1371.6 mm) from the floor of the ring.

SECTION 23. OFFICIALS

(a) The WBO International Officials Committee shall keep a list of officials -- Judges and Referees -- qualified to act in WBO World Championship contests for

the purpose of the appointments to be made by the President. The President shall appoint in an impartial manner and according to availability, the officials for the World Championship contests, which shall be three (3) JUDGES who shall keep the score and ONE non-scoring Referee. However, where it may be necessary for a Referee to keep score, the President may appoint TWO (2) JUDGES and ONE (1) REFEREE to consign the score.

(b) The officials shall be remunerated for their services in the fights according to the following schedule:

Total Purse	Referee	Judge
Up to \$250,000	\$1,600	\$1,300
From \$250,000 to \$500,000	\$2,000	\$1,600
From \$500,001 to \$1,000,000	\$2,400	\$1,800
From \$1,000,001 to \$3,000,000	\$2,800	\$2,000
From \$3,000,001 to \$5,000,000	\$3,200	\$2,250
From \$5,000,001 to \$8,000,000	\$3,600	\$2,500
Over \$8,000,000	\$5,000	\$3,500

(c) The Promoter shall also be responsible to pay the Supervisor's fee in an amount equal to the fee payable to a Judge based on the above remuneration schedule. The Officials fees as herein provided shall be paid by the Promoter at or before the date that all purse deposits are required to be made by the Local Boxing Commission, but in no event later than the Rules Reading Ceremony.

(d) No official shall be authorized to collect any other sum of money under penalty of suspension or cancellation of his license. It is the responsibility of the Local Boxing Commission or the Authority in whose jurisdiction the contest takes place to require that the officials be paid according to the schedule determined herein. No official who is not a licensed member of the World Boxing Organization and who has not been appointed by the President shall be authorized to act as an official in a WBO World Championship contest.

(e) The officials appointed by the WBO President shall be present at the place where the championship contest shall be held two (2) days before the Championship Contest, except when there are special circumstances. The Promoter shall remit coach class round trip airline tickets to the Officials and to the Supervisor. The Promoter shall also make the necessary arrangements for lodging and meals for these officials at the Promoter's expense. In all World Championship contests, the WBO President shall endeavor to appoint neutral officials, that is, officials who are not of the same nationality of place of residence or origin of the Champion or the Challenger(s), or of their respective agents. USA residents shall be considered neutral if they are residents of different states or commonwealths as the contestants. When the contestants are of the same nationality, the officials that are also of the same nationality, residence or origin

of the contestants, shall be considered neutral for the purposes of these Regulations.

(f) The WBO President shall appoint a Supervisor and such assistants as he determines necessary or convenient for World Championship contests.

(g) The WBO Supervisor is empowered to resolve, with the information and advice that may be offered by the Local Boxing Commission, any problem, matter or incident that has to be solved with respect to the holding of the fight that relates to the awarding of the WBO Championship and these Rules, including the resolutions required to deal with unforeseen events or acts of God that require immediate solutions that may not be practically referred to the President or the Executive Committee of the World Boxing Organization. If an atmospheric phenomenon or a circumstance that may be resolved in less than 2 hours results in delay in commencement of a World Championship, no decision shall be made to postpone a Championship prior to the expiration of 2 hours. Any decision to postpone a Championship shall be made after consultation among the Supervisor, the Local Boxing Commission, the Promoter and the contestants.

(h) The decision of the Supervisor in such exceptional case shall be final.

(i) The WBO recognizes and abides by Local Boxing Commission rules and regulations concerning the appointment of Officials to a World Title Contests. The WBO President shall have the discretion to accept or reject officials so selected.

(j) No member of the Executive Committee of the WBO, whether elected or appointed, shall be allowed to act as a Judge or a Referee in a WBO World Championship or a WBO Regional or WBO Zone Championship fight.

SECTION 24. REFEREES

(a) The Referee shall be the chief official in all Championship contests and shall be in charge of the control of the fight.

(b) Before the Championship contest begins, the Referee shall identify the Chief Second for each one of the Contestants. The Chief Seconds shall be responsible for the conduct of his or her Contestant's corner.

(c) It is the customary act of sportsmanship that the Contestants shake hands before the fight immediately after the Referee's Instructions and when the fight is over.

(d) The Referee shall examine the bandages and gloves of each Contestant before the fight begins to make sure that the gloves are free of any extraneous substance that could be harmful to the opponent, and that the bandages of each contestant are in conformity with the WBO World Championship Regulations.

(e) The Referee may stop the fight and consult with the ringside physician on whether, in the physician's opinion, a contestant is physically able to continue.

(f) The Referee may impose discipline and enforce the WBO Rules and the Uniform Rules regarding the conduct and behavior of the Contestants and Seconds.

SECTION 25. COUNT

(a) When a contestant is down, the Referee shall order the opponent to retire to the farthest neutral corner and shall immediately begin the count on the fallen contestant. The Referee shall audibly announce the count while he moves his arm downward indicating the end of each second of the count.

(b) If the opponent refuses to remain in the neutral corner farthest from the fallen boxer, the Referee shall stop the count until the boxer returns to the corner and shall then resume the count at the point in which it was interrupted. The fallen fighter shall take the compulsory eight (8) count.

(c) If, when reaching the count of eight (8), the fighter is up, the Referee, if he deems it necessary, may examine said contestant taking all the time needed to evaluate whether the contestant is fit to continue. If the Referee determines that the fighter is fit to continue, the Referee shall promptly order the contest to continue.

(d) If the contestant taking the count is still down when the Referee calls the count of ten (10), the Referee shall wave both arms indicating that the contestant has been knocked out.

(e) A fighter may not be saved by the bell in any round. If a contestant is down when a round's three-minute duration has expired, the timekeeper shall not ring the bell, and the Referee shall continue the count until the contestant rises or is counted out. If the Referee determines that the contestant is on his feet and fit to continue the fight before he reaches the ten count, he shall indicate to the timekeeper to ring the bell and the round will thereupon terminate. The one-minute rest period will then commence at the termination of the round as determined by the Referee. Only the Referee may make the determination when the round ends when there is a knockdown within 10 seconds of the end of the round. If a timekeeper inadvertently rings the bell before the Referee determines that the knocked down fighter is fit to continue, the Referee will continue his count and resolve the knockdown and determine the end of the round without regard to the inadvertent bell. If the Referee determines that the knocked down fighter is fit to continue before the ten count, he will thereupon terminate the round and will instruct the timekeeper to commence the one-minute rest period from the point of that determination.

(f) A contestant shall be considered down when any part of his body, other than his feet, is on the floor, or if he is hanging helplessly over the ropes, and only is held up by the ropes, as the result of a legal blow. Only the Referee may determine whether there has been a knockdown.

SECTION 26. FOULS

(a) The WBO adopts and incorporates by reference the Uniform Rules of the Association of Boxing Commissioners (the Uniform Rules) as amended from time to time for all WBO World Championships and Regional or Zone Championships.

(b) Each WBO Participant acknowledges that the Uniform Rules are published on the Association of Boxing Commissioners Website. Each WBO contestant shall acknowledge receipt of the Uniform Ruler

SECTION 27. SECONDS

Each contestant in a Championship contest shall be allowed not more than four (4) Seconds. One of these Seconds shall be designated the Chief Second, responsible for the conduct of the corner during the fight.

SECTION 28. DRUGS OR STIMULANTS

(a) The use of illegal drugs or performance enhancing drugs or stimulants before or during the Championship contest by any of the contestants shall be sufficient cause for the disqualification of the contestant guilty of said use.

(b) The determination of illegal drug use or performance enhancing drug use or stimulants use under this Section or Section 19 (a) may be made only by the Host Commission for the Contest or the WBO Participant's Licensing Commission if supported by test results by WADA, VADA or any internationally recognized anti-doping entity.

(c) Only plain water may be given to a contestant in the course of the bout. Lubricants around the eyes is allowed in amounts within the Referee's discretion; the use of lubricants, or any other substance on a contestant's arms, legs and body is prohibited in Championship fights.

(b) The use of hemostats such as Thrombin, Avetine or Adrenalin 1/1000 solution shall be allowed between rounds to control the bleeding from minor cuts and lacerations sustained by a contestant as permitted by the rules and regulations of the Local Boxing Commission. If the Local Boxing Commission has no rule concerning permissible hemostats, then only Adrenalin 1/1000 is

permitted. Each contestant is obligated to know and comply with the rules and regulations of the Local Boxing Commission as to use of hemostats.

(c) The World Boxing Organization encourages all Local Boxing Commissions to conduct anti-doping tests before or after the fight. If the Local Boxing Commission conducts the tests, they shall be administered in accordance with the rules and regulations of the Local Boxing Commission.

SECTION 29. BANDAGES

In all weight classes hand bandages shall be restricted to twelve (12) yards of soft gauze bandage per hand not more than two (2) inches in width, held in place by not more than eight (8) feet (2.438 m) of surgical tape of One (1) inch (25.4 mm) of width. The surgical tape shall not be applied within one (1) inch (25.4 mm) of the knuckles of the contestant's hands.

SECTION 30. WEIGHT OF THE GLOVES

(a) The weight of the gloves to be used in World Championship contests shall be as follows:

(1) From Mini Flyweight to Welterweight, eight (8) ounce gloves shall be used.

(2) From Jr. Middleweight to Heavyweight, ten (10) ounce gloves shall be used.

(b) The weight of gloves to be used is determined by the weight classification at the official weight-in, not the weight of the Contestants at the time of the contest.

(c) The Contestants may, by agreement, determine which brand of gloves are to be used. The Contestants may agree that different brands of gloves may be used by each Contestant. In the absence of agreement, the Promoter shall be responsible to provide not less than four (4) identical pairs of new gloves to be selected by the Contestants for use in the championship contest and for back-up. The weight of the gloves shall be as per Section 20(a) based on the Weight Division of the championship contest.

SECTION 31. PRESENCE OF A WORLD BOXING ORGANIZATION SUPERVISOR AT ALL SANCTIONED WORLD CHAMPIONSHIP CONTESTS

The President of the Organization shall attend or designate a WBO Supervisor to attend every World Championship contest sanctioned by the WBO. The duties of said Supervisor shall be to represent the WBO at the Championship Match and

prefight events including the weigh in, the Rules Reading Ceremony, and the dressing room instructions, to interpret and enforce the WBO Rules, and to award the Championship belt. The Supervisor of the World Boxing Organization shall submit to the President a complete report, which shall include expenses incurred, and other matters pertinent to the designation within the ten (10) days following the contest. The President shall submit this written report to the Executive Committee.

SECTION 32. PROTECTORS

The protective cups and mouth protectors shall be compulsory for all boxers participating in championship contests. A World Championship contest is not to be terminated by a low blow, as the protector that contestants use must be sufficient to withstand any low-blow which might incapacitate a contestant (Please refer to Section 27(b)(13)). All contestants are required to have 2 mouthpieces at the start of the contest.

SECTION 33. INSURANCE

With the approval of the Executive Committee, the President of the World Boxing Organization may enter contracts with one or several life insurance companies, to cover the boxers involved in world title contests, as well as to cover the officials of the organization and its Supervisors, and the member of the organizations as the case may be. The amount and types of the insurance shall have such limits and coverage as the WBO determines. Upon review of insurance premium the President shall have the authority to increase the money charged to the promoter for payment of the insurance fee for the fighter.

SECTION 34. GRIEVANCES

Any WBO Participant, including, but not limited to a Boxer, Manager, or Promoter who is or could be affected by a determination of the World Championship Committee who wishes to contest such a determination must, as his or her sole and exclusive remedy, file a complaint or grievance pursuant to the WBO Appeal Regulations. In all cases the complaint shall be referred to the WBO President, who may attempt for a reasonable period to resolve the complaint or grievance amicably. The WBO President may reject a complaint or he may refer it to the Grievance Committee which shall determine the complaint or grievance in accordance with the WBO Appeals Regulations.

SECTION 35. MISCELLANEOUS

(a) It is the WBO's Policy that all of the activities of the WBO shall be conducted without discrimination based on race, creed, religion, nationality or political belief. No WBO Participant may engage in, encourage, abet, or take sides, direct or

indirectly, in controversies between contestants on account of race, creed, religion, nationality, political belief or any other difference other than the natural ability of the contestants. The World Boxing Organization reserves the right to cancel a sanction granted to a World Championship contest or to withdraw its recognition as Champion to a Title Holder or the sanctioning of a Challenger, or to cancel the Promoter's license to promote World Championship contests for a violation of this prohibition. The Organization may also suspend, or withdraw its recognition to the agents of a WBO Participant who is in violation of this prohibition.

(b) Any member of the World Boxing Organization who violates these regulations or collaborates in the violating thereof, may be subjected to deprivation of his position as regular member of the Organization. A WBO World Champion or Regional Champion who violates these rules may lose the recognition of the Title, which shall then be declared vacant.

(c) Any notice to a boxer required under these rules shall be to the boxer or in care of his Manager or Promoter at his or his Manager's or Promoter's address by personal service, or first class mail, or by certified mail, or by private delivery service, such as UPS, Fed Ex, DHL or other similar services, or by e-mail address or fax number to whom such notices may be sent. Any notice by the WBO to a boxer shall be effective when dispatched in any of the alternate manners provided under this paragraph or when posted on the WBO Web Site. Notices to managers, promoters or any other WBO participant may be made in the same manner. It is the obligation of all WBO participants to advise the WBO of his or her current contact information for notification purposes. Electronic notification, fax notice, or posting on the WBO web site shall be as effective as written notice under these Regulations.

(d) These Regulations are to be interpreted in conformity with the Laws of the Commonwealth of Puerto Rico. All WBO Participants agree and consent that the exclusive venue for any or all action in which the WBO is made a party, whether it is to enforce, interpret or declare the application of these Regulations or to appeal from any determination of the WBO, including, but not limited to a determination of the Grievance Committee, may be maintained only in the Superior Court of the Commonwealth of Puerto Rico, or, if applicable, in the U.S. District Court for the Commonwealth of Puerto Rico.

(e) All WBO participants acknowledge and agree that the mandatory resort to the WBO Appeals Regulation is the sole and exclusive remedy for any claim, appeal, grievance or contest that arises from any right or status that is or could be subject to these Regulations or which results or could result from or relate to the interpretation or application of these Regulations. These WBO Grievance Committee determinations are Arbitrations within the contemplation of the Arbitration Law of Puerto Rico, 32 LPRA Section 3201 et. seq. and the US Arbitration Act, Title 9 of the United States Code and the Inter-American

Convention on International Commercial Arbitration of July 30, 1975 and the Convention on the Recognition and Enforcement of Foreign Arbitration Awards of June 10, 1958. All WBO participants stipulate and agree that the nature of the sport requires a prompt, final and uniform resolution of all disputes concerning application of these Regulations by a tribunal experienced with the application of these Regulations and with special knowledge and experience in world championship professional boxing.

(f) These Regulations apply to all WBO Participants. The term WBO Participant includes any and all person or company who participates in any WBO activity, including, but not limited to any and all current or former WBO World Champions, WBO Regional Champions, or WBO Zone Champions; WBO World Championship contenders or WBO Regional Championship contenders, or WBO Zone Championship contenders; all WBO World, Regional or Zone ranked or rated boxers; Manager and/or Promoters of any of the foregoing; all WBO Members, and all WBO Officials. The WBO is a voluntary not-for profit organization. All WBO Participants who participate in any WBO sanctioned activity do so on the express condition that such WBO Participant is bound by and subject to these WBO World Championship Rules and all WBO Rules and Regulations.

(g) All WBO Participants by their participation acknowledge that the activities of the WBO Regional and Zone affiliates are conducted by separate not for profit corporate entities organized and existing under the laws of the Commonwealth of Puerto Rico. The activities of NABO are conducted by the North American Boxing Organization, Inc. The Activities of WBO Inter-Continental, WBO Latino, WBO Asia Pacific, WBO Oriental, WBO Asia Pacific Youth, and WBO Africa Zone and WBO China Zone are conducted by WBO Inter-Continental, Inc. All of these World Championship Rules, except for those specific to the World Championship itself and the Super Champion Rules, including, but not limited to the Appeals Regulations of the Appeals and Grievance Committee, apply, to the extent not inconsistent with rules specifically adopted by the respective Regions or Zones, to these Regional and Zone participations. With respect to Regional or Zone Activities, where the term WBO Participant is used, it shall refer to the participation in the WBO affiliated Region or Zone. For example, where these Regulations apply to activities of the North American Boxing Organization, the term WBO Participant shall be interpreted to mean NABO Participant, and shall be intended to apply these Regulations to the NABO Activity to the extent not inconsistent with specifically adopted NABO Regulations.

(h) The Section or Sub-Section Headings, Table of Contents and Index in these Regulations are for convenience only and are not intended to limit the interpretation of the provisions of these Regulations.

SECTION 36. EXCEPTION TO THE REGULATIONS

The World Championships Regulations may be amended at any time, with respect to any aspect, through an exception or special case, provided the amendment is approved by the majority vote of the World Championship Committee and the Executive Committee. If any of these Rules are determined to be unenforceable, the balance of these Rules shall remain in full force and effect.

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January 17, 2019

Gilberto Mendoza, President
World Boxing Association
Av. Aquilino de la Guardia & Calle 47 Ocean Business Plaza, 14th floor, Office 14-05
Marbella, Panama 0816-01091

Re: Association of Boxing Commissions New Ratings Criteria

Dear Mr. Mendoza:

The California State Athletic Commission (CSAC) and other commissions that regulate boxing in their respective state recognize that weight-cutting is a threat to the safety of boxers. The purpose of this letter is to inform you of new ratings criteria passed by the Association of Boxing Commissions (ABC) to address weight-cutting. The CSAC anticipates that the World Boxing Association (WBA) will utilize such criteria when sanctioning championship bouts in California.

The CSAC enforces its statutes and regulations, which include requirements related to the safety of persons under its jurisdiction. Pursuant to section 6307c, subdivision (a), of Title 15, Chapter 89, of the United States Code, the ABC was required to develop and approve by a vote of no less than a majority of its member State boxing commissioners, guidelines for objective and consistent written criteria for the ratings of professional boxers and that sanctioning bodies and State boxing commissions should follow the ABC guidelines. The criteria were adopted by the ABC in 2000 and further amended in 2002. In 2018, the ABC again considered amending the criteria and adopted the following new criteria to address weight-cutting, effective January 1, 2019:

- Any 8, 10, or 12 round championship Bout will be subject to a follow up weigh-in held at a time and place determined by the local commission. At this follow up weigh-in the boxers cannot exceed 10% of the Bout contract weight.
For example, if bout contract is 147lbs., $147 \times 10\% = 14.7\text{lbs.}$ Thus, this boxer cannot exceed 161.7lbs.
- If the boxer misses weight at this second day weigh-in the bout shall continue as a title fight only for the boxer that has made weight. If the over-weight boxer wins this bout he/she cannot claim the title. If the champion is the over-weight boxer and he/she wins the bout, the title becomes vacant. It should be noted there is NO opportunity to lose weight at this Second day weigh-in. It is a onetime weigh-in.
- There shall be a minimum FINE for missing weight on either day of at least 10% of the offender's purse which shall go to the other boxer. Commissions may also choose to fine and/or issue a suspension to the offender.
- The ABC shall recommend to the sanctioning organization(s) that any rated boxer who exceeds the contracted weight and/or the ten percent (10%) maximum allowable weight gain on the

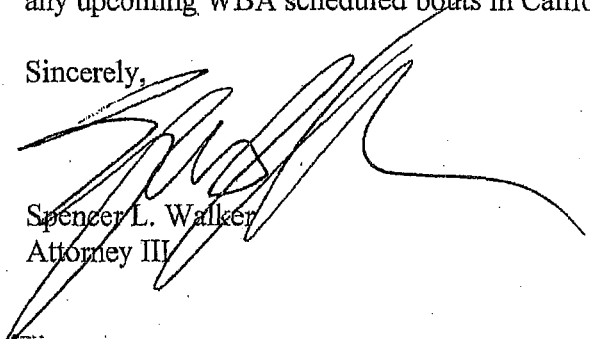
Gilberto Mendoza, President
World Boxing Association
Page 2

second-day weigh-in for any championship bout, be lowered in the ratings of any and all sanctioning organizations and not be allowed to participate in Championship bouts for twelve (12) months.

- The ABC shall recommend to the sanctioning organization (s) that any rated boxer who exceeds the contracted weight and/or the ten percent (10%) maximum allowable weight gain on the second-day weigh-in for a second occasion for any championship fight, be excluded from the ratings of any and all sanctioning organizations for twelve (12) months.
- These provisions shall be subject to and determined by any and all the sanctioning organizations.

To ensure that the WBA has updated its rules accordingly, provide me, as the CSAC's legal counsel, and Andy Foster, Executive Officer of the CSAC, a copy of the WBA rules, so they may be reviewed prior to any upcoming WBA scheduled bouts in California.

Sincerely,



Spencer L. Walker
Attorney III

cc: Andy Foster, Executive Officer, California State Athletic Commission

2018 Events by Commission	Boxing	MMA
Alabama	3	3
Arizona	9	18
Arkansas	5	10
Association of Boxing Comm	1	0
California	118	120*
Citiz Potawatomi	2	10
Colorado	18	21
Connecticut	1	0
D.C-Wash	3	2
Florida	33	51
Georgia	12	15
Hawaii	0	9
Idaho	3	8
Illinois	8	25
Indiana	17	11
Iowa	5	31
Kansas	10	16
Kentucky	11	19
Louisiana	5	24
Maine	2	5
Maryland	7	5
Mashantucket Pequot	4	0
Massachusetts	10	11
Michigan	9	24
Mille Lac Band of Ojibwe	2	2
Minnesota	3	12
Mississippi	12	1
Missouri	8	36*
Mohegan Tribe	2	4
Montana	0	0*
Nebraska	4	17
Nevada	21	49
New Hampshire	7	3
New Jersey	11	22
New Mexico	7	8
New York	28	50*
North Carolina	18	16

North Dakota	2	2
Ohio	10	24
Oklahoma	9	19
Oneida Indian Nation	3	0
Oregon	3	17
Pascua Yaqui	2	1
Pennsylvania	37	25
Pueblo of Pojoaque	3	2
Rhode Island	4	5
Seneca Nation	0	3
South Carolina	3	10
South Dakota	1	8
Tennessee	8	27
Texas	54	69
Utah-Pete Suazo	5	17
Virginia	9	26
Washington	6	16*
West Virginia	16	7
Wisconsin	5	20
Wyoming	2	5
TOTALS	601	739
* Additional Non Sanction MMA Bouts		
California	21	
Missouri*	41	
Mississippi	3	
Montana	7	
New York	3	
Washington	5	
* Missouri as of Sept-2018		
has been regulating Amat MMA Events		



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EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3258	2/1/2019 Time: 7:00PM	1/31/2019 Time: 2:00PM	JONATHAN CLUB 545 S. Figueroa Street Los Angeles, CA 90071	All Star	BOXING		Derek
3300	2/8/2019 Time: 7:00PM	2/7/2019 Time: TBA	SPORTSMEN'S LODGE - STUDIO CITY 12825 Ventura Blvd. Studio City, CA 91604	PR Sports	BOXING		Trisha
3265	2/8/2019 Time: 8:00PM	2/7/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3263	2/9/2019 Time: 4:30PM	2/8/2019 Time: 11:00AM	FANTASY SPRINGS CASINO 84-245 Indio Springs Pkwy. Indio, CA 92201	Golden Boy Promotions	BOXING		Lee
3214	2/9/2019 Time: TBA	2/8/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrect Promotions	BOXING		Derek
3286	2/9/2019 Time: TBA	2/8/2019 Time: TBA	STUB HUB CENTER 18400 Avalon Blvd. Carson, CA 90746	TGB Promotions	BOXING	Fox Sports	Claudia
3309	2/9/2019 Time: 7:00PM	2/8/2019 Time: 5:00PM	FOUR POINTS SHERATON 8110 Aero Drive San Diego, CA 92123	Dennis Warner	MUAY THAI / KICKBOXING		Derek
3257	2/10/2019 Time: TBA	2/9/2019 Time: 2:00PM	SAVEMART CENTER 2650 E Shaw Avenue Fresno, CA 93710	Top Rank	BOXING	ESPN	Alma
3289	2/16/2019 Time: TBA	2/15/2019 Time: TBA	MICROSOFT THEATER 777 Chick Hearn Court Los Angeles, CA 90015	TGB Promotions	BOXING	Fox Sports	Claudia
3290	2/16/2019 Time: 6:00PM	2/15/2019 Time: TBA	LA MEMORIAL COLISEUM 3911 S. Figueroa Street Los Angeles, CA 90037	Lion Fight Promotions	MUAY THAI	CBS Sports	Lee



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EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3221	2/21/2019 Time: TBA	2/20/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma
3292	2/22/2019 Time: 6:00PM	2/21/2019 Time: 10:00AM	SAVEMART CENTER 2650 E Shaw Avenue Fresno, CA 93710	TS-1 Promotions	MMA	DAZN	Trisha
3254	3/1/2019 Time: 7:30PM	2/28/2019 Time: 3:00PM	DOUBLE TREE HOTEL- SACRAMENTO 2001 Point West Way Sacramento, CA 95815	Uppercut Promotion	BOXING		Derek
3245	3/7/2019 CANCELLED Time: 6:00PM	3/6/2019 Time: TBA	FLORENTINE GARDENS EL MONTE 12051 Garvey Ave El Monte, CA 91732	Temple Muay Thai	Muay Thai	CANCELLED	Lee
3266	3/9/2019 Time: 8:00PM	3/8/2019 Time: 12:00PM	DOUBLE TREE ANAHEIM-ORANGE 100 The City Drive S. Orange, CA 92868	Thompson Boxing Promotions	BOXING		Claudia
3261	3/10/2019 Time: TBA	3/9/2019 Time: 12:00PM	CITIZEN'S BUSINESS BANK ARENA 4000 E Ontario Center Parkway Ontario, CA 91764	King of the Cage	MMA		Lee
3235	3/15/2019 Time: 7:00PM	3/14/2019 Time: 3:00PM	MARCONI AUTOMOTIVE MUSUEM 1302 Industrial Drive Tustin, CA 92780	Roy Englebrecht Promotions	MMA		Alma
	3/15/2019 Time: 5:00PM	3/14/2019 Time: 12:00PM	TBD	Legacy Fighting Alliance	MMA	AXS TV	Derek
3238	3/23/2019 Time: 4:00PM	3/22/2019 Time: TBA	KEZAR PAVILION 755 Stanyan Street San Francisco, CA 94117	Dragon House	MMA		Derek
3246	3/23/2019 Time: 7:00PM	3/22/2019 Time: 4:00PM	SAN MATEO EVENT CENTER 2495 S. Delaware Street San Mateo, CA 94403	Ambition Empire Promotions	BOXING		Trisha



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3296	3/29/2019 Time: 4:00PM	3/28/2019 Time: 5:00PM	PECHANGA RESORT & CASINO 45000 Pechanga Parkway Temecula, CA 92592	Bellator	MMA	Paramount Network	Claudia
	3/30/2019 Time: 4:30PM	3/29/2019 Time: 11:00AM	FANTASY SPRINGS CASINO 84-245 Indio Springs Pkwy. Indio, CA 92201	Golden Boy Promotions	BOXING	DAZN	Lee
3231	4/4/2019 Time: TBA	4/3/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma
	4/6/2019 Time: 7:00PM	4/5/2019 Time: TBD	PICO RIVERA SPORTS ARENA 11003 Sports Arena Dr. Pico Rivera, CA 90601	RED Boxing Promotions	BOXING		Trisha
3269	4/19/2019 Time: 8:00PM	4/18/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3303	4/26/2019 Time: 6:00PM	4/25/2019 Time: 10:00AM	GALEN CENTER - USC Campus 3400 South Figueroa Street Los Angeles, CA 90089	TS-1 Promotions	MMA	DAZN	Alma
3310	4/26/2019 Time: TBD	4/25/2019 Time: 2:00PM	CLUB CENTER EVENTS 217 E. Club Center Dr. Suite C San Bernardino, CA 92408	Garcia Promotions	BOXING		Lee
3299	4/27/2019 Time: 4:00PM	4/26/2019 Time: 5:00PM	SAP CENTER 525 West Santa Clara Street San Jose, CA 95113	Bellator	MMA	Paramount Network	Claudia
3236	5/9/2019 Time: 9:00PM	5/8/2019 Time: 3:00PM	HOTEL IRVINE 17900 Jamboree Road Irvine, CA 92614	Roy Englebrect Promotions	MMA		Alma
3304	5/10/2019 Time: 6:00PM	5/9/2019 Time: 10:00AM	STOCKTON ARENA 248 W. Fremont Street Stockton, CA 95203	TS-1 Promotions	MMA	DAZN	Alma



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3270	5/10/2019 Time: 8:00PM	5/9/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3232	5/18/2019 Time: TBA	5/17/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrecht Promotions	BOXING		Alma
3228	6/13/2019 Time: TBA	6/12/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma
3273	6/14/2019 Time: 8:00PM	6/13/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3282	6/29/2019 Time: 6:30PM	6/28/2019 Time: 12:00PM	OMEGA PRODUCTS EVENT CENTER 8111 Fruitridge Rd. Sacramento, CA 95826	Thompson Boxing Promotions	BOXING		Claudia
3274	7/26/2019 Time: 8:00PM	7/25/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3218	8/3/2019 Time: TBA	8/2/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrecht Promotions	BOXING		Alma
3227	8/22/2019 Time: TBA	8/21/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrecht Promotions	BOXING & MMA		Alma
3285	8/23/2019 Time: 8:00PM	8/22/2019 Time: 12:00PM	OMEGA PRODUCTS INTERNATIONAL 1681 California Avenue Corona, CA 92881	Thompson Boxing Promotions	BOXING		Claudia
3277	9/20/2019 Time: 8:00PM	9/19/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia



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EVENT NUMBER	EVENT DATE&TIME	WEIGH-IN INFORMATION	EVENT VENUE	PROMOTER	TYPE OF EVENT	BROADCAST	CSAC CONTACT
3224	10/10/2019 Time: TBA	10/9/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma
3278	10/18/2019 Time: 8:00PM	10/17/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3217	11/9/2019 Time: TBA	11/8/2019 Time: 3:00PM	GARDENS CASINO 11871 East Carson Street Hawaiian Gardens, CA 90716	Roy Englebrect Promotions	BOXING		Alma
3281	11/22/2019 Time: 8:00PM	11/21/2019 Time: 12:00PM	DOUBLETREE HOTEL - ONTARIO 222 North Vineyard Avenue Ontario, CA 91764	Thompson Boxing Promotions	BOXING		Claudia
3222	12/5/2019 Time: TBA	12/4/2019 Time: 3:00PM	THE HANGER - OC FAIRGROUNDS 88 Fair Drive Costa Mesa, CA 92626	Roy Englebrect Promotions	BOXING & MMA		Alma

